

Appendix C: Review Protocol

Reviewer:	
Name of Data Source being Reviewed:	
Sponsoring Agency:	
Policy issue relevant to data source <i>(reference to policy document)</i> :	
Brief description of data set: <i>(Include brief statement of purpose, common uses, and all major topics covered in the data set.)</i>	
1. Determine if data source should be included in catalog.	
<p>A. Does the data source allow you to identify people who are AI/AN/NA?</p> <p style="margin-left: 20px;"> <input type="checkbox"/> Yes <input type="checkbox"/> No (See below) </p> <p style="margin-left: 20px;">If not, can you focus on a specific geographic region with a large AI/AN/NA population?</p> <p style="margin-left: 20px;"> <input type="checkbox"/> Yes <input type="checkbox"/> No (Exclusion Criteria) </p>	
<p>B. Is a data set available to researchers?</p> <p style="margin-left: 20px;"> <input type="checkbox"/> Yes, available to public <input type="checkbox"/> Yes, available through data use agreement (please explain process): </p> <p style="margin-left: 20px;"> <input type="checkbox"/> Data are only available for government employees and contractors <input type="checkbox"/> Aggregate program report is available on paper only (Exclusion Criteria) <input type="checkbox"/> Data set is not available, but can request analyses <input type="checkbox"/> Data set is not available, but published tables and reports are available <input type="checkbox"/> Data set is not available at all (Exclusion Criteria) <input type="checkbox"/> Other, explain: </p>	<p>Is there a cost associated with use of the data set?</p> <p style="margin-left: 20px;"> <input type="checkbox"/> Yes (Cost: \$_____no cost) <input type="checkbox"/> No </p>

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C. Please list the relevant contact and location information regarding this data source. Please include contact information for any required data use agreements and the location of the actual data.
D. If data collection was privately funded , were the data collected primarily to fill advocacy needs, lobbying purposes, or to support corporate interests? <input type="checkbox"/> Yes (Exclusion Criteria), explain below: <input type="checkbox"/> No <input type="checkbox"/> Data are publicly funded
E. Total unweighted AI/AN/NA population in data source (<i>please include all possible breakdowns</i>): If unweighted n < 100 , would it be possible to combine this data source across multiple iterations of data collection to increase the size of the population? <input type="checkbox"/> Yes , explain below: <input type="checkbox"/> No (Exclusion Criteria)
F. What is the coverage of the data set? (<i>Check only one</i>) <input type="checkbox"/> National <input type="checkbox"/> Smaller geographic area of clear relevance to AI/AN/NA population <input type="checkbox"/> A specific AI/AN/NA subpopulation only (e.g., single tribe, Pacific Islanders) <input type="checkbox"/> None of the above (Exclusion Criteria)
G. What is the timeframe of the data set? <input type="checkbox"/> Mid-1990s or later (can be series that began earlier as long as continues past mid-1990s) <input type="checkbox"/> Earlier than mid-1990s If earlier than mid-1990s, can a strong argument be made to include this data set? <input type="checkbox"/> Yes Explain: <input type="checkbox"/> No (Exclusion Criteria)

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H. Is this a survey? <input type="checkbox"/> Yes <input type="checkbox"/> No	For survey data, is detailed documentation available (either hard-copy or electronically) for: Instruments used for data collection (examples of question phrasing)? <input type="checkbox"/> Yes <input type="checkbox"/> No (Exclusion Criteria) Data limitations (e.g., coverage, data completeness, response rates)? <input type="checkbox"/> Yes <input type="checkbox"/> No (Exclusion Criteria) Sampling design techniques? <input type="checkbox"/> Yes <input type="checkbox"/> No (Exclusion Criteria) <input type="checkbox"/> Not Applicable (e.g., Census) Weighting methods? <input type="checkbox"/> Yes <input type="checkbox"/> No (Exclusion Criteria) <input type="checkbox"/> Not Applicable (data were not weighted) Contact information for program officials? <input type="checkbox"/> Yes <input type="checkbox"/> No (Exclusion Criteria)
I. Is this program reporting data? <i>(Include program enrollment)</i> <input type="checkbox"/> Yes <input type="checkbox"/> No	For program-level data, is detailed documentation available (either hard-copy or electronically) for: Contact information for program officials (e.g., primary and secondary contacts, telephone numbers and email addresses)? <input type="checkbox"/> Yes <input type="checkbox"/> No (Exclusion Criteria) Data limitations (e.g., coverage, data completeness)? <input type="checkbox"/> Yes <input type="checkbox"/> No
J. Is this a registry (e.g., vital statistics, state cancer registry, etc.)? <input type="checkbox"/> Yes <input type="checkbox"/> No	
K. Is this a qualitative study (e.g., case studies, focus groups, cognitive interviews)? <input type="checkbox"/> Yes (Exclusion Criteria) <input type="checkbox"/> No	

**STOP AND DETERMINE WHETHER THE DATA SET WILL BE INCLUDED IN
THE CATALOG BEFORE CONTINUING**

Based on initial review, is this data source being profiled for possible inclusion in the catalog?

- Yes
- No, explain:

If not in the data catalog, do you recommend that this data source be included in the list of unreviewed data sources? (*Possible exclusions include qualitative, experimental, or methodological studies or studies with a significant lack of documentation.*)

- Yes, explain:

- No, explain:

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2. Describe data source to be included in the catalog.

A. How are AI/AN/NA individuals identified in the data set? *(Please note if separate multi-racial categories exist (such as American Indian and other vs. American Indian alone.) Also, if instructions for self-reporting are available, please include them here.)*

B. Does the data source allow you to identify members of a federally recognized tribe?

Yes (Please explain how they are distinguished:)

No

Does the data source allow you to identify members of a state-recognized tribe?

Yes (Please explain how they are distinguished:)

No

C. What geographic area(s) does the study cover?

National

State(s), specify:

County(ies), specify:

Reservation(s), specify:

Other region(s), specify:

D. What is the unit of analysis?

Individual

Geographical area (please specify:)

Household or family unit (please specify definition of household:)

Other, specify:

E. How are geographic areas identified? *(e.g., zip codes, census tracts, counties, county subdivisions, reservations, frontier areas)*

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F. What level of geographic analysis is possible?
G. What AI/AN/NA subpopulations are identified? <ul style="list-style-type: none"> <input type="checkbox"/> American Indian alone <input type="checkbox"/> Alaska Native alone <input type="checkbox"/> Native Hawaiian alone <input type="checkbox"/> Pacific Islander alone <input type="checkbox"/> Specific tribal affiliation: _____
H. Is participation in this data collection mandatory or optional? <ul style="list-style-type: none"> <input type="checkbox"/> Mandatory <input type="checkbox"/> Optional, with incentives <input type="checkbox"/> Optional, without incentives
I. Using the checklist below, please indicate all policy issues that are covered in this data source: <p><u>Health Status and Health Policy Issues</u></p> <ul style="list-style-type: none"> <input type="checkbox"/> Measurement of health status (e.g., self-reported, % with disability, mortality/morbidity rates, trends over time) <input type="checkbox"/> Disease-specific measurements (e.g., % with diabetes, TB, STDs, cancer, etc.) <input type="checkbox"/> Key health disparities of priority interest (e.g., prenatal care/birth outcomes, cancer mortality, substance abuse/alcohol use/mental health/suicide) <input type="checkbox"/> Factors contributing to measured health disparities (e.g., access to health care, insurance coverage, utilization rates, health care financing, socioeconomic factors, preventative measures (i.e., immunization rates) <input type="checkbox"/> Identification of evidence-based practices and programs that address causes of health disparities, result in positive health outcomes, and are generalizable/replicable <input type="checkbox"/> Role of traditional medicine in AI/AN/NA communities <p><u>Economic Well-being</u></p> <ul style="list-style-type: none"> <input type="checkbox"/> Income status (e.g., household income/poverty status, per capita income) <input type="checkbox"/> Unemployment rates <input type="checkbox"/> Economic assistance program participation rates (e.g., TANF/TTANF, food stamps) <input type="checkbox"/> Economic opportunity (e.g., number of businesses/jobs, work history) <input type="checkbox"/> Measurement of economic/employment disparities between AI/AN/NA and general population <input type="checkbox"/> Factors contributing to economic disparities (e.g. lack of child care arrangement, transportation barriers) <input type="checkbox"/> Identification of evidence-based practices and programs for reducing economic disparities and are generalizable/replicable

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(Policy Issues Checklist continued)

Education Levels and Opportunities

- Educational attainment (e.g., last grade completed, literacy/numeracy skills)
- Educational opportunities (e.g., Head Start, special education programs, school financing)
- Factors contributing to educational disparities (e.g., parents' education level, average education in city/county, education spending per capita, and other socioeconomic factors)
- Identification of evidence-based practices and programs that produce positive educational outcomes and are generalizable/replicable

Family Well-being

- Measures of well-being for families/households (e.g., families with low income levels, homeless families, teen pregnancy/birthrates, household size and composition)
- Factors contributing to well-being disparities of families (e.g., socioeconomic factors, education levels of family adults, housing quality, public transportation availability)
- Identification of evidence-based practices and programs that improve family well-being and are generalizable/replicable

Child Well-being

- Measures of well-being for children (e.g., children in foster care, incarcerated children)
- Factors contributing to well-being disparities of children (household composition, marital status of parents, foster care placement)
- Identification of evidence-based practices and programs that improve child well-being and are generalizable/replicable

Elder Well-being

- Measures of well-being for elders (e.g., elders with low income levels, homeless elders, elder abuse)
- Factors contributing to well-being disparities of elders (e.g., socioeconomic factors, living arrangements, ADL/IADL, family members in proximity, services available/used (Meals on Wheels/elder transportation))
- Identification of evidence-based practices and programs that improve elder well-being and are generalizable/replicable

Housing Issues

- Housing quality (e.g., rooms per person, running water, electricity, heat, age of building)
- Type of housing
- Housing ownership
- Rental unit quality and cost
- Homelessness

Demographic and Economic Indicators (e.g., age distribution, marital status, household composition)

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(Policy Issues Checklist continued)

Transportation Quality and Availability Issues

- Transportation quality (e.g., maintenance of roads, availability of paved roads, child restraint laws)
- Transportation availability (e.g., availability of public transit, vehicle ownership per household)

Justice System Issues

- Rates of involvement with justice system (e.g., arrest/conviction/probation/parole rates)
- Differences in resolution of arrest, by type of court system (e.g., federal, tribal, state, local)
- Lifetime probability of being a victim of a violent crime
- Lifetime probability of being a victim of a non-violent crime
- Domestic violence rates
- Child maltreatment rates
- Factors contributing to disparities in involvement with justice system and outcomes (e.g., family stability/foster care placement, family members' history of legal system involvement, race/ethnicity, truancy history)
- Identification of evidence-based practices or programs to reduce involvement with justice system, reduce recidivism, and are generalizable/replicable

Military Service/Veterans' Issues

- Military service rates (e.g., % served in military, % retired from military with benefits)
- Eligibility and use of veterans administration health facilities
- Eligibility and use of other veterans administration benefits (e.g. housing loans, educational benefits)

J. Describe data collection methodology (e.g., mode).

K. What is the response rate for this study? *(If possible, please provide any details on how the response rate was calculated).*

L. How frequently are the data collected?

- One-time effort
Year of data collection:

- More than once *(e.g., annual study, semi-annual study, longitudinal study, irregular points of data collection)*
Please describe *(include the years the data are available for and any plans for future data collections)*:

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SURVEYS ONLY

M. Describe sampling method, if any. (e.g., simple random sample, two-stage stratified sample)

N. Was the AI/AN/NA population oversampled?

- No
- Yes (Please describe:)

O. Does the methodology report include any of the following (please provide as much information as possible):

- Effective sample size (n=_____)
- Design effects (deff): _____
- Standard errors for estimates: _____
- Description of available power to detect differences (*This may be found in the sample section of a methodology report. Please specify variables used for calculation and for which groups differences are to be detected*)

P. If multiple years of data are available and are suitable for aggregation, what would be the result of the aggregation (e.g., sample size)?

Q. Summarize the strengths of the data source. (*Include areas such as coverage in terms of sample size and content about AI/AN/NA population, relevance to policy areas, amount of missing data, response rate, weighting, quality of documentation, psychometric assessments*)

Key Issues

- Large number of AI/AN/NA respondents
- Relevance to key policy issues, including:
 - Health
 - Child welfare
- Multiple years of data are available

Additional Strengths:

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R. Summarize the limitations of the data source. *(Include areas such as coverage of AI/AN/NA population, content pertaining to the target population, relevance to policy areas, amount of missing data, response rate, weighting, quality of documentation)*

Key Issues

- Small number of AI/AN/NA respondents
- Lack of relevance to key policy issues
- Multiple years of data are not available

Additional Limitations:

S. Was this data collection effort authorized by any specific legislation? *(Answer yes if Congress created a mandate to authorize this specific data collection effort.)*

Yes (Explain:)

No

T. Other comments?

U. Document materials in project files related to this data source, or related web links.