

APPENDICES

Appendix A: The Structure and Stringency of Work-Oriented Sanctions

State	Type of Sanction ¹	Time to Full Family	Minimum Duration (months)	Cure Requirements
Alabama	Gradual Full Family	3 months	3	Participation in activities for 2 weeks
Alaska	Partial		None	Immediate compliance cures sanction
Arizona	Gradual Full Family	2 months	1	Participation for 3 days
Arkansas	Partial		None	Participation for up to 2 weeks
California	Partial		None	Varies by county
Colorado	Gradual Full Family	County discretion	1	County discretion
Connecticut	Gradual Full Family	6 months	3	Not available
Delaware	Gradual Full Family	4 months	2	Participation for 2 weeks
District of Columbia	Partial		None	Participation for 5 days
Florida	Immediate Full Family		None	Not available
Georgia	Gradual Full Family	3 months	None	Participant must initiate compliance during 3 month sanction and participate for 2 weeks
Hawaii	Immediate Full Family		None	Participation for 10 days
Idaho	Immediate Full Family		1	Participation for up to 2 weeks
Illinois	Gradual Full Family	3 months	None	Not available
Indiana	Partial		2	Willingness to comply
Iowa	Immediate Full Family		None	Willingness to comply
Kansas	Immediate Full Family		None	Willingness to comply
Kentucky ²	Immediate Full Family		None	Participation for 2 weeks
Louisiana	Gradual Full Family	3 months	3	Participation for 2 weeks
Maine	Partial		None	Not available
Maryland	Immediate Full Family		None	Not available
Massachusetts	Gradual Full Family	30 days	None	Participation for 2 weeks
Michigan ³	Immediate Full Family		1	Willingness to comply
Minnesota	Partial		1	County discretion
Mississippi	Immediate Full Family		2	Participation required depends on activity missed
Missouri	Partial		None	Participation for 2 weeks
Montana	Partial		1	Willingness to comply
Nebraska	Immediate Full Family		1	Participation for 1 week
Nevada	Gradual Full Family	2 months	1	District office discretion
New Hampshire	Partial		1	Participation for 2 weeks
New Jersey	Gradual Full Family	3 months	1	Participation for up to 2 weeks
New Mexico	Gradual Full Family	6 months	None	Participation for 30 days
New York	Partial		None	Willingness to comply
North Carolina	Gradual Full Family	3 months	3	Not available
North Dakota	Gradual Full Family	6 months	1	Participation for 10 days
Ohio	Immediate Full Family		1	Willingness to comply at county's discretion
Oklahoma	Immediate Full Family		None	Participation for 2 weeks
Oregon	Gradual Full Family	4 months	None	Willingness to comply
Pennsylvania ⁴	Immediate Full Family		1	Not available
Rhode Island	Partial		None	Participation for 2 weeks
South Carolina	Immediate Full Family		None	Participation for 30 days
South Dakota	Gradual Full Family	1 month	None	Must begin participation prior to sanction period ending
Tennessee	Immediate Full Family		None	Participation for 2 weeks
Texas	Partial		1	Willingness to participate
Utah	Gradual Full Family	2 months	None	District office discretion
Vermont	Partial		None	Participation for 2 weeks

Appendix A (continued)

State	Type of Sanction ¹	Time to Full Family	Minimum Duration (months)	Cure Requirements
Virginia	Immediate Full Family		1	Demonstrate compliance after sanction period ends; the length of time depends on nature on non-compliance
Washington	Partial		None	Participation for 2 weeks
West Virginia	Gradual Full Family	6 months	3	Participation for an unspecified period of time
Wisconsin	Pay for Performance		None	Any participation
Wyoming	Immediate Full Family		None	Participation for 1 month

Source: Welfare Rules Database, Urban Institute 2000; State Policy Documentation Project.

¹Some states impose different sanction policies for different groups of recipients. If a state has more than one sanction policy, we list the most stringent policy and identify the alternative sanction policy and to whom it applies in a footnote.

²In Kentucky, an immediate full family sanction is imposed on families who do not complete an assessment. Families who complete an assessment and then fail to comply are subject to a partial, progressive sanction with benefits eventually being assigned to a payee.

³In Michigan, an immediate full-family sanction is imposed if noncompliance occurs within the first two months of receiving assistance. If noncompliance occurs after the first two months, families are subject to a gradual full family sanction, with the full family sanction taking place after four months of noncompliance.

⁴In Pennsylvania, during the first 24 months of benefit receipt, families are subject to a partial sanction. After the first 24 months, families are subject to an immediate full family sanction.

Appendix B: Approach to Repeat Acts of Non-Compliance

State	Change in sanction type	Longer Minimum Duration	Lifetime Ban on Receipt of Assistance	Stricter Cure Requirements	Reapply for Benefits
Alabama		X			X
Alaska		X			
Arizona	X			X	X
Arkansas					
California		X			
Colorado	X	X			
Connecticut	X			X	X
Delaware	X	X	X	X	
District of Columbia		X			
Florida		X			X
Georgia	X	X	X	X	
Hawaii		X		X	X
Idaho		X	X	X	X
Illinois	X	X			
Indiana		X			
Iowa		X		X	X
Kansas		X		X	X
Kentucky				X	X
Louisiana	X			X	X
Maine		X			
Maryland				X	
Massachusetts				X	X
Michigan				X	X
Minnesota					
Mississippi		X	X	X	X
Missouri		X			
Montana		X			
Nebraska		X			
Nevada		X	X		
New Hampshire					
New Jersey		X		X	X
New Mexico		X		X	X
New York		X			
North Carolina	X				
North Dakota		X			
Ohio		X		X	X
Oklahoma				X	X
Oregon				X	X
Pennsylvania		X	X		X
Rhode Island					
South Carolina				X	X
South Dakota	X			X	X
Tennessee		X		X	X
Texas		X			
Utah				X	X
Vermont		X			
Virginia		X			
Washington					
West Virginia	X	X		X	X
Wisconsin		X	X		
Wyoming					

Source: Welfare Rules Database, Urban Institute 2000; State Policy Documentation Project.

Appendix C-1: The Cost of Most Stringent Work-Oriented Sanctions for a Family of Three

State	Monthly Grant for Family of 3	Financial Penalty (Amount)	Financial Penalty (Percent of Cash Grant)	Effect on Food Stamp Benefit	Adult Loses Medicaid Eligibility	Effect on Child Care
Alabama	164	164	100	Reduced 100%	Yes	
Alaska	923	114	12	Partial reduction	No	
Arizona	347	347	100	Partial reduction initially	No	No effect
Arkansas	204	51	25	Partial reduction	No	
California	626	150	24	Partial reduction	No	
Colorado	357	357	100	Partial reduction	No	
Connecticut	543	543	100	Partial reduction	No	No effect
Delaware	338	338	100	Reduced 100%	No	No effect
District of Columbia	379	103	27	Partial reduction	No	Ineligible
Florida	303	303	100	Reduced 100%	No	
Georgia	280	280	100	Reduced 100%	No	Eligibility redetermined
Hawaii	570	570	100	Partial reduction	No	No effect
Idaho	276	276	100	Partial reduction	Yes	
Illinois	377	377	100	Partial reduction	No	No effect
Indiana	288	74	26	Partial reduction	Yes	
Iowa	426	426	100	Partial reduction initially	No	Eligibility redetermined
Kansas	429	429	100	Reduced 100%	Yes	
Kentucky	262	262	100	Partial reduction	No	
Louisiana	190	190	100	Reduced 100%	Yes	Ineligible
Maine	461	155	34	Partial reduction	No	
Maryland	399	399	100	Partial reduction	No	No effect
Massachusetts	579	579	100	Reduced 100%	No	
Michigan	459	459	100	Partial reduction	Yes	
Minnesota	532	181	34	Partial reduction	No	Eligibility redetermined
Mississippi	170	170	100	Partial reduction initially	Yes	
Missouri	292	73	25	Partial reduction	No	
Montana	469	119	25	Partial reduction	No	No effect
Nebraska	535	535	100	Partial reduction initially	Yes	No effect
Nevada	348	348	100	Partial reduction	Yes	No effect
New Hampshire	550	363	66	Partial reduction	No	
New Jersey	424	424	100	Reduced 100%	No	
New Mexico	439	439	100	Partial reduction	Yes	
New York	577	190	33	Partial reduction	No	
North Carolina	272	272	100	Partial reduction	No	
North Dakota	457	457	100	Reduced 100%	No	
Ohio	362	362	100	Reduced 100%	Yes	
Oklahoma	292	292	100	Reduced 100%	No	Eligibility redetermined
Oregon	503	503	100	Partial reduction	No	Ineligible
Pennsylvania	403	403	100	Partial reduction	No	No effect
Rhode Island	554	142	26	Partial reduction	No	Ineligible
South Carolina	201	201	100	Partial reduction	Yes	Ineligible
South Dakota	430	430	100	Reduced 100%	No	
Tennessee	185	185	100	Partial reduction	No	
Texas	188	31	17	Reduced 100%	No	
Utah	451	451	100	Reduced 100%	No	No effect
Vermont	622	62	10	Partial reduction	No	
Virginia	291	291	100	Reduced 100%	No	No effect
Washington	546	218	40	Partial reduction	No	
West Virginia	303	303	100	Partial reduction	No	No effect
Wisconsin	628	628	100	Partial reduction	No	No effect
Wyoming	340	340	100	Partial reduction	Yes	

Source: Welfare Rules Database, Urban Institute 2000; Welfare Rules Database, Urban Institute 1999; Typologies Project, Urban Institute 2000; GAO 2000; State Policy Documentation Project.

**Appendix C-2. The Cost of Most Stringent Work-Related Sanctions for a Family of Three
(Number of States)**

Amount of Financial Penalty	Total States	States with Full-Family Food Stamp Sanction	States that Terminate Adult Medicaid
< \$100	5	1	1
\$101-200	12	3	3
\$201-300	8	3	2
\$301-400	11	4	3
\$401 +	15	8	4
Total	51	19	13

Source: Welfare Rules Database, Urban Institute 2000; Welfare Rules Database, Urban Institute 1999; Typologies Project, Urban Institute 2000; GAO 2000; State Policy Documentation Project.

Appendix D: Pre-Approval Requirements and Approach to Exemptions

State	Pre-Approval work-related requirements			Approach to Exemptions (Universal Participation, Same as JOBS, Broader Participation)	
	Signed Employability Plan	Signed Personal Responsibility Contract	Orientation		Job Search
Alabama				X	Broader Participation
Alaska					Same as JOBS
Arizona		X		X	Broader Participation
Arkansas				X	Broader Participation
California			X		Same as JOBS
Colorado					Not Categorized
Connecticut					Same as JOBS
Delaware					Same as JOBS
District of Columbia					Not Categorized
Florida					Broader Participation
Georgia	X	X		X	Broader Participation
Hawaii					Broader Participation
Idaho		X		X	Universal Participation
Illinois					Universal Participation
Indiana				X	Broader Participation
Iowa					Broader Participation
Kansas				X	Same as JOBS
Kentucky		X			Broader Participation
Louisiana					Same as JOBS
Maine			X		Universal Participation
Maryland	X	X		X	Same as JOBS
Massachusetts					Same as JOBS
Michigan			X		Universal Participation
Minnesota					Same as JOBS
Mississippi		X			Same as JOBS
Missouri				X	Broader Participation
Montana		X	X		Universal Participation
Nebraska					Same as JOBS
Nevada				X	Universal Participation
New Hampshire					Broader Participation
New Jersey		X			Broader Participation
New Mexico					Same as JOBS
New York				X	Broader Participation
North Carolina	X	X			Not Categorized
North Dakota		X			Universal Participation
Ohio				X	Not categorized
Oklahoma				X	Broader Participation
Oregon		X		X	Universal Participation
Pennsylvania					Same as JOBS
Rhode Island					Broader Participation
South Carolina				X	Broader Participation
South Dakota					Universal Participation
Tennessee					Same as JOBS
Texas		X	X		Same as JOBS
Utah					Universal Participation
Vermont					Same as JOBS
Virginia	X				Same as JOBS
Washington					Universal Participation
West Virginia	X	X			Broader Participation
Wisconsin	X	X		X	Universal Participation
Wyoming					Universal Participation

Source: State Policy Documentation Project; Pavetti et al 1998; Thompson et al. 2000.