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CAPITAL CONSULTING MEETING

PERSONALIZED HEALTH CARE INITIATIVE WORKSHOP:
"UNDERSTANDING THE NEEDS OF THE CONSUMERS
IN THE USE OF GENOME-BASED HEALTH INFORMATION SERVICES"

Horizon Ballroom
Ronald Reagan Building and International Trade
1300 Pennsylvania Ave. NW
Washington D.C. 20004

Monday, July 7, 2008

1 LIST OF PARTICIPANTS

2 RICHARD CAMPANELLI, JD

3 Counselor to the Secretary for Science and Public

4 Health, U.S. Dept. of Health and Human Services

5 ERIC TOPOL, M.D.

6 Director, Scripps Translational Science Institute

7 Dean, Scripps School of Medicine

8 STEVE BODHAINE, MBA

9 Group President, Research/Product Development

10 Yankelovich

11 LINDA AVEY

12 Co-founder

13 23andMe, Inc.

14 ESTHER DYSON

15 EDventure Holdings

16

17 REBECCA FISHER, MLIS

18 Patient Advocate

19 MATTHEW HOLT

20 Co-founder Health 2.0 Conference

21 Senior Consultant

22 Professional Services Solutions, Inc.

23

24 DEVEN MCGRAW, JD, MPH

25 Director, Health Privacy Project

26 Center for Democracy and Technology

27

28 RYAN PHELAN

29 Founder and CEO

30 DNA Direct

31

32 JEFFREY GULCHER, M.D.

33 Chief Scientific Officer

34 deCODE genetics

35

36

1 REED TUCKSON, M.D., FACP
Executive Vice President and Chief of Medical Affairs
2 UnitedHealth Group

3 MARI BAKER
President and CEO
4 Navigenics

5 KATHERINE JOHANSEN, PhD
Senior Scientist
6 American Medical Association Program in Genetics and
Molecular Medicine

7
NANCY JOHNSON
8 Senior Public Policy Advisor
Baker Donelson

9
RONNI SANDROFF, MA
10 Director/Editor, Health and Family Information
Consumer Reports

11
ANGELA TREPANIER, MS, CGC
12 President, National Society of Genetic Counselors
Assistant Professor, Clinical Center for Molecular
13 Medicine and Genetics, Wayne State University

14 MICHAEL COWAN, MD
Chief Medical Officer
15 BearingPoint

16

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1 P R O C E E D I N G S

2 (12:30 p.m.)

3 DR. DOWNING: -- behalf of Secretary Leavitt's
4 Personalized Health Care Initiative and the Office of the
5 Assistant Secretary for Planning and Evaluation, we
6 welcome you here to the Ronald Reagan Building and the
7 International Trade Center. And thank you for joining us
8 for this afternoon's workshop on consumer genomic
9 information services.

10 Now, before we start the conversations for this
11 afternoon, we want to encourage you to engage in this
12 conversation, and we ask (inaudible) that your questions
13 today, you'll use the microphone; it'll be circulating at
14 the various time points during the afternoon.

15 This workshop is being broadcast via the web,
16 and there are a good number of those that are joining us
17 remotely and will be submitting questions, as well, so
18 those will be picked up. If you're listening in now, if
19 you visit the registration website, you'll be able to
20 access the email address necessary to submit your
21 questions. There'll be several periods during the meeting
22 today in which emailed questions will be entertained.

1 I'd like to turn now to Mr. Richard Campanelli
2 from the Office of the Secretary and the Secretary's
3 Liaison to the Secretary Advisory Committee and a number
4 of HHS Agencies. Mr. Campanelli was here today to offer
5 the welcome from the Secretary this afternoon. He is the
6 counselor for the Secretary in science and public health,
7 and this is where services that policy advisor in
8 representing CDC, NIH, FDA, and the Agency for Healthcare
9 Research and Quality. And prior to his service as
10 counselor, he served for nearly five years as a director
11 for Office of Civil Rights where he oversaw the
12 implementation of the HIPPA Privacy Rule, and now works as
13 our advisor in the role of Liaison to the Secretary on the
14 Personalized Health Care Initiative. Rick has an
15 anniversary coming up this month that I just recall
16 reading last night, and so we're very pleased that he's
17 had such a leading role in helping us facilitate many of
18 the aspects of the Personalized Health Care Initiative.
19 He has been a very important supporter and advocate for
20 personalized health care and joins us today in setting the
21 stage for this afternoon's workshop. So, Rick, we'll hand
22 it off to you at this point.

1 MR. CAMPANELLI: Thank you, Greg. It's good to
2 be with all of you. When Greg said, "Rick has an
3 anniversary coming up," I suddenly had this fearful
4 thought that I forgot my wedding anniversary. And I did
5 the calculation and no, that was March in case my wife is
6 watching, which I doubt. And I also want to say that we
7 have today helping to coordinate us and to help to
8 moderate, Admiral Mike Cowan, formally Surgeon General in
9 the Armed Services. And I just want to say that I have
10 never seen a crowd come to order better than when he just
11 said, "If you'd take your seats." There was a hush came
12 over the room, and I just want to say, Mike, that's quite
13 impressive.

14 On behalf of Secretary Mike Leavitt, let me
15 again welcome you all here and thank you for coming.
16 You've taken valuable time to be here. Many of you have
17 come from a long way away, and we are very grateful that
18 you are here participating and I know that it'll be a
19 valuable time.

20 All of us here are enthusiastic about the
21 potential for personalized health care. We certainly are
22 at HHS. The Secretary is personally committed to it; he's

1 made it one of his top ten priorities since coming here to
2 the Department to be Secretary. He created the
3 Personalized Health Care Initiative led by Dr. Greg
4 Downing to make sure that the wheels here at the federal
5 level are moving forward at the Department and elsewhere,
6 and also that they're moving forward together, sometimes a
7 great challenge. And along that line, I just want to say
8 my thanks to Greg, who I've had the good privilege of
9 working with since taking on this portfolio, to see the
10 good work that he's done in pulling together the various
11 parts of the department and also to put private
12 partnerships together so that we can all be moving toward
13 a goal that we all want to achieve. Also, I want to thank
14 Scott Boyle -- Dr. Scott Boyle, who did a lot of work in
15 putting this effort together.

16 The Secretary charged this Personalized Health
17 Care Initiative with laying the groundwork here at the
18 federal level, and then partnership with the private
19 sector for a better future with a new kind of health care,
20 truly individualized, personalized health care. We can
21 all see the prospects of much more individualized care,
22 much more effective medical therapies, earlier detection

1 of disease, new powers of prediction and prevention of
2 disease. We all want those things to happen, and rightly
3 we're quite anxious and a bit impatient for them to come
4 across. Each of us in this room from where we sit know we
5 are blazing a new trail, that's partly why it's so
6 exciting, and we want to bring in that future as
7 effectively as possible.

8 We're here today to talk about an essential
9 aspect of that future, namely, the interests and needs of
10 consumers as this new realm of knowledge comes online.
11 This intersection of genomics and consumers has
12 fundamental importance for personalized health care,
13 especially because of new opportunities for consumer
14 engagement and for prevention that it presents. In recent
15 months, we've seen that the traffic at this intersection
16 between genomics and consumer engagement has become quite
17 accelerated and there's been a lot of public awareness
18 about it. That's going to continue as it should. So it's
19 great that we're meeting today, that the Secretary's
20 Advisor Committee on Genetics, Health, and Society,
21 SACGHS, is meeting today, and tomorrow also, and to focus
22 on many of these related issues. And I'm glad to see many

1 of the SACGHS members here.

2 As we stand here today at this intersection of
3 consumers and genomic information, we're actually looking
4 at several different highways or roads that are converging
5 right to this space where we are. The first one is
6 genomic science. The completion of the Human Genome
7 Project marked a huge scientific accomplishment, but as
8 much as that was an accomplishment, that was just the
9 beginning. That was just a starting point. And we're all
10 hearing about new genetic findings almost every week. As
11 usual, new discoveries raise new questions, even as
12 they're providing new answers. And nothing about this
13 field is standing still, and there's no reason to think
14 that things are going to slow down anytime soon. That's a
15 good thing.

16 And as we should expect in any new field, how we
17 communicate about these developments and what people hear
18 is going to make a huge difference in whether consumers,
19 providers, and payers will quickly and with confidence
20 come to embrace the real potential that the advances in
21 genomic health and personalized health care have the
22 potential to provide.

1 The second highway that's converging on us in
2 this new and rapidly evolving -- the second highway that's
3 converging on us are the new and rapidly evolving
4 technologies that are being brought to (inaudible) in this
5 area. That includes technologies that were nurtured by
6 the Human Genome Project itself, like DNA microarrays. It
7 also includes model information technologies, including
8 both the rapid movement and exchange of information that
9 we now take for granted on the web, as well as new kinds
10 of information sharing and new powers of informatics.

11 Unlike many advances in the past, these
12 technologies are not just putting information into the
13 hands of researchers. It's not just specialists who are
14 experiencing the information explosion, it's all of us.
15 That makes us ask new questions, questions that are
16 changing all the time so we can better understand how the
17 end-users will be able to use that information to its
18 highest benefit in improving their health care and the
19 public's health care.

20 So this brings us to the third major highway
21 that's converging here on this intersection -- the
22 increased engagement of consumers themselves. Of course,

1 in almost every field, web-savvy consumers are not waiting
2 to be shown how the world is changing; they are leading
3 the change in creative ways that could hardly have been
4 imagined only a decade ago. This weekend I was up seeing
5 my mom in New Jersey. She is 81-years-old, don't tell her
6 I told you to say that, she thinks that's what the HIPPA
7 Privacy Rule is about. Anyway, she's 81, and her mom, my
8 grandmother, was a classic Italian lady from the old
9 country. When you said to her, "What's the recipe for,"
10 any given dish, you know, she would say -- you would say,
11 "Well, how much of a particular ingredient," you know,
12 "how much bread crumbs should I put in this thing?" and
13 her answer to every question no matter what you asked was,
14 "This much." She'd put out her hand and cup her hand, and
15 that would be the answer to everything. She just knew and
16 you'd have to be around her to get the information. But
17 this weekend an interesting thing happened where -- as I
18 was thinking about this talk -- is when I asked my mom for
19 one of her recipes and, you know, my mom just said --
20 well, she started to tell us, then she said, "You know,
21 it's really much easier than that. Just go on the web,
22 there's a lot of great recipes available." I thought, you

1 know, this is a sea change. And of course, she's also --
2 we talked this weekend about looking to the web to help
3 her make some choices in health care about a drug benefit
4 program that she's thinking about changing. There are so
5 many things that are changing, and my 81-year-old mom who,
6 you know, not a great fan of technological changes, she
7 knows about it and she's excited about it. And she knows
8 about changes that are being -- she has read up on
9 possibilities for genomic health, and she asked questions
10 about this and wonders where is it going and what does it
11 mean? It's very interesting that we're having that
12 conversation, and that's a really good thing.

13 In health care we're encouraging consumers to
14 take a more active role in their care. Their ability to
15 do so is based in large part on the information they can
16 access and use to make better health care choices. As
17 that happens, all of us in this space owe them the support
18 they need to make the best information and choices they
19 can that are there before them.

20 So we all stand together at this busy
21 intersection of genomics and consumer health today where
22 these three roads converge -- advances in genomic health,

1 new technologies that are being brought to bear in
2 applying that science, new opportunities and access for
3 consumers that take an active role in their own health
4 care. That's quite a busy intersection. And in this
5 context, we need to find ways to encourage the traffic to
6 move effectively and safely. That's challenging, but we
7 should expect these challenges whenever we get to new
8 spaces like this.

9 As Greg mentioned, I was the Director of the
10 Office for Civil Rights when the HIPPA Privacy Rule rolled
11 out, and I was there for its initial implementation for a
12 few years. There were two goals in that context that I
13 remember we talked about. That -- I have some analogy
14 that strike me as somewhat similar here. And we talked
15 about -- and sometimes they were talked about as competing
16 goals. There was the goal, of course, of protecting
17 health information; that's an essential goal. And at the
18 same time, there was a goal of making sure that the
19 information could still be both accessed and shared by
20 individuals so that that information would be helpful to
21 them. We didn't want to -- we wanted to protect privacy
22 and do it in a way that wouldn't impede access to health

1 care. And some -- a lot of folks talked about those as
2 balancing between those two goals, but we recognized --
3 and I think all of you recognized that we needed to
4 accomplish both of those goals. And it's similar I think
5 in some ways for the issues that we're thinking about now
6 where we have much more information, and we'll have much
7 more information available to all of us and especially to
8 consumers.

9 In the personalized health care environment, we
10 want to provide access to -- we want to help people be
11 able to be good consumers of health care. We want to help
12 them understand how they can improve their lives in so
13 many different ways. And we want to do that in a way
14 that's accurate, rightly communicated, and rightly
15 understood. These are challenges, but they are -- there
16 is great potential in the improvements in individualized
17 and public health that can occur if we accomplish both of
18 those purposes.

19 Today we're coming together to share our
20 experience and perspectives on how in this intersection to
21 put consumers first in personalized health care. We're
22 all working in different areas, but do have goals in

1 common for better health care and healthier population.

2 So let me just say a few words about the
3 workshop. We've given it the catchy title, "Understanding
4 the Needs of Consumers in the Use of Genome-based Health
5 Care Information Services." And we've got to work on the
6 marketing of that, but that is a mouthful. The key word,
7 though, is consumer and our key focus today. Our purpose
8 here is to look at the ways that genomic information is
9 going to reach consumers and then ask some basic
10 questions. What are the opportunities here for consumers?
11 What are the cautions that need to be exercised? What
12 tools do consumers need, and who can provide them? What
13 are our different roles and how can we work together?

14 I also want to keep our sights -- I hope that
15 today all of you will help work to keep our sights set on
16 the future. We were only a few years out from completion
17 of the Human Genome Project, we've arrived at a time when
18 some of the science and technology that was developed as
19 the result of that project is being made available. But
20 we are just at the beginning of the beginning. Among
21 those represented among us today are some who are already
22 providing those services directly to consumers. Thank you

1 for coming. We want to learn from you what we can so that
2 we can all learn from your experience thus far. We want
3 to learn from everyone in this room today so we can all be
4 better at forward thinking in this arena.

5 As the science and technology in this space
6 continue to evolve rapidly, we need to ask ourselves what
7 information will be available to consumers, in what ways
8 and under what conditions can it help consumers achieve
9 better health? And most of all, what can we do now to
10 help achieve the best possible outcomes as these new
11 capabilities and new opportunities come online? That's
12 the basic question for us today. In this area where
13 consumers meet genomic information, and where new consumer
14 knowledge is so important, what can we do now to make a
15 better future? We have a half day, and that's a tall
16 order.

17 Mike Cowan is our facilitator. Mike is an
18 Admiral and former Surgeon General of the Navy, so he'll
19 be using all his command skills to help us stay on course.
20 We've already seen the good work you've done that way.
21 Eric Topol from Scripps in San Diego will lead off with a
22 view of what's happening now and what we may expect in the

1 future. Steve Bodhaine from the Yankelovich Public
2 Opinion Survey Firm will provide us with a short portrait
3 of consumer understanding and attributes in this space
4 today. Then we'll have our three panels with Q&A
5 opportunities after each. And we'll wind up with the
6 discussion moderated by Mike Cowan, and Mike will be
7 coming up here in a minute or so to introduce our first
8 speaker.

9 Let me thank you all again for coming today.
10 The Secretary and the Department share with you a strong
11 interest and desire to see the day when consumers can
12 confidently rely on every increasing array of genomic and
13 technological advances to target preventative therapies,
14 prevention therapies, and so much more. Thank you very
15 much.

16 [Applause]

17 DR. COWAN: Well this is an exciting afternoon.
18 Again, thank you for being here. My role today will be
19 kind of the traffic cop. Those of you who have -- and
20 everybody's looked at the schedule and you see we have an
21 exciting topic, we have exciting speakers and panels --
22 and the audience -- I've looked through the credentials of

1 the people who have come here to represent the entire
2 professional spectrum of people who are interested in this
3 topic. And there's another 20 or more people who have
4 joined us virtually, and we will work to get them into the
5 discussion. So we have a big subject, lots of
6 ramifications, lots of people with passionate interest in
7 it. This is all good news, and Greg Downing, who was the
8 introducer, the gentleman in the yellow tie; Dr. Downing
9 is the Director of the Personalized Health Care
10 Initiative. I don't think I mentioned your name Greg, but
11 he's the leader of this whole effort today and has been
12 working to put this all together. So I will try to keep
13 us on track. We've all been to conferences and know that
14 there are riffs on the theme on that we can take, and we
15 shall. And I will talk some more about the ground rules
16 and how we will handle that in a moment, but what I'd like
17 to do is get us started right into the meat of things
18 after I make just a couple of quick announcements.

19 There are bathrooms that are real close to us,
20 but they're not for us. They are under restoration and so
21 restrooms are down the hall, down the elevators, bottom of
22 the elevators take a left, and they're sort of tucked up

1 under the elevators.

2 If you have cell phones and have not turned them
3 off or put them on (inaudible) already, would you do so?
4 Everybody's done so? Oops. I'll do mine in a minute.
5 And I think that's all of the housekeeping we need right
6 now.

7 We have two exciting sort of keynote talks to
8 get out our thinking juices flowing, and then we will go
9 into a first panel followed by a break, and two following
10 panels. And I get the privilege of introducing Dr. Eric
11 Topol. He's the Director at the Scripps Translational
12 Science Institute. He has about ten other titles there,
13 but if we read his titles he wouldn't have as much
14 speaking time and we'd be asking him to shorten it up. He
15 is also the Dean of the Scripps School of Medicine.
16 Anybody know a graduate of the Scripps School of Medicine?
17 Nobody's graduated there yet. It's a new medical school.
18 Eric is in the process of putting it together, and he's
19 putting it together with the future of genomics as being
20 an integral part of the future of medicine. I think it's
21 a very exciting project and I think you planned on saying
22 a word about. So with no further -- Eric, please.

1 [Applause]

2 DR. TOPOL: Well, thanks very much, Mike. And
3 I'm so glad to be here along with Rick and Greg and the
4 other organizer, Scott Boyle. And it is a very exciting
5 time in medicine. In fact, I don't know there's ever been
6 a point like this that we can say where so much is
7 happening so quickly. So I'm going to first get into --
8 to get my -- oh, here we go, okay. First get into what's
9 happening in this space to get us all on the same page and
10 how truly, as Rick mentioned, on a weekly basis this field
11 is changing. And -- okay, good. So it wasn't but eight
12 years ago, not far from here at the White House when the
13 big announcement about the code of human life is cracked.
14 And it's been really eight years, so that was June 26 of
15 year 2000, before we finally have seen what has been
16 termed by science the breakthrough of the year. In fact,
17 that's not only the breakthrough the year for 2007 as it
18 was announced in December, it will be the breakthrough of
19 the year for the next few years because so much is
20 happening so quickly in this space.

21 The two major reasons for this, as I think most
22 people here know, is that ultra high throughput genotyping

1 became possible. In 1997, just over ten years ago, we
2 could only measure one base-pair substitution at a time,
3 assay it, and defined Moore's Law, in fact, where there
4 would be about 256 by 2007. We're at a million or more
5 SNPs per individual that can be assessed.

6 And the other major thing that happened in this
7 space was that the genome, which has relatively
8 unmanageable information, 6.4 billion base pairs in the
9 diploid genome, was now managed by projects such as
10 Perlegen Science and International HapMap breaking the
11 genome into bins and being able to tag those bins, and
12 having only about 250,000 to 500,000 being able to
13 represent a window into the genome. And these two things
14 -- the convergence of the technology, along with the
15 breaking down of the genome into information bins allowed
16 a remarkable state in advancement of human genomic
17 knowledge.

18 Unlike any other field in science and biomedical
19 research where there's a hypothesis, this is one in which
20 the genome talks because there is no a priori hypothesis.
21 And the result of that has been a genomics gold rush,
22 which we labeled as such last summer, and it hasn't

1 stopped at all since a year ago. In fact, I want to just
2 briefly give you a table which shows on a weekly basis
3 since April 2007 -- just about a weekly basis -- over 40
4 diseases have been approached via these genome-wide
5 association studies relying on the high throughput SNP-
6 typing and the haplotype map information. And you can see
7 this transcends all different disciplines in medicines:
8 cancer, metabolic diseases included obesity and diabetes,
9 immune diseases such as Chrohn's and lupus and rheumatoid
10 arthritis; cardiovascular diseases such as heart attack,
11 atrial fibrillation. And this goes on -- even Restless
12 Leg Syndrome, which we didn't accept as a medical
13 condition until we knew the gene markers for this showed
14 up, and you can see that this goes to gallstone disease,
15 macular degeneration, and so on. And in fact, it's
16 virtually -- all the major cancers have been approached.
17 And just to take us up to date as of today, yesterday
18 Nature Genetics had another third major gene for obesity,
19 PCSK1. So this type of avalanche of new knowledge has set
20 a template which has never been replicated in the last
21 several decades, all in just a year-and-a-half time
22 because of these breakthroughs.

1 Let me use a few examples to hopefully
2 demonstrate that there is actionable information today for
3 consumers. So, for example, macular degeneration
4 affecting 9 million Americans; blindness, the leading
5 cause of blindness in our society -- we had no idea what
6 was the pathogenesis of this disease. We knew there was
7 this -- on the macula -- there was an inflammation, an
8 accumulation of this inflammatory material known as
9 drusen, and it led to eventual (inaudible) blindness. We
10 also knew that there was a series of environmental
11 factors, like smoking, high-fat diet, sedentary lifestyle,
12 obesity, hypertension that were correlated with macular
13 degeneration. But now we know the principle genes. The
14 principle genes of complement factors, which are the
15 underpinnings of this disease, and this is what occurs in
16 the inflammation pathway to be the root cause of macular
17 degeneration. Well, why is this important? Now we can
18 take a baby and say that that individual has 0 percent
19 change of ever developing macular degeneration, or we can
20 take an individual and find that they have a 400-fold.
21 And by the way, if that individual with the very high risk
22 smokes, that risk could go up to 10,000-fold. And indeed,

1 the environmental gene interactions have been assessed in
2 this condition. This was the first genome-wide
3 association study back in 2005, where we have the most
4 knowledge about those sorts of important interactions. So
5 already today we can give people who have compliment
6 factor risk variance a choice. If they smoke, for
7 example, they may have a much higher risk of going on to
8 blindness, whereas a cessation of smoking is an important
9 actionable item.

10 The chromosome 9p21 marker is a particularly
11 important one in the cardiovascular arena because it not
12 only catches the risk for heart attack, but also abdominal
13 aortic aneurysm and intracranial aneurysm. These are all
14 events that are very hard to predict with all the things
15 that we have today. When do arteries crack or rupture?
16 Such as occurred in the case of Tim Russert just weeks
17 ago. So this is a remarkable marker, 9p21, which shows a
18 risk with one copy of 70 percent -- 35, 40 percent
19 increase with two copies over 70 to a doubling of risk.
20 And it is of many different conditions, which I mentioned
21 are very difficult to diagnose.

22 What about diabetes? With over 20 million

1 Americans having diagnosed diabetes, no less; many more in
2 which this diagnosis is likely in the future or not yet
3 diagnosed. We now have over 20 genomic markers of bins in
4 the genome which correlate, each individually, somewhere
5 between 20 to 30 to 40 percent increased risk for one
6 copy, and this of course in many cases is additive. And
7 some work has been done to integrate the risk of these
8 different markers to show risk that ranges from 2-fold all
9 the way up to 20-fold on the basis of an additive
10 phenomena of different genomic markers.

11 What about breast cancer? It's the guidelines
12 that all women over age 40 are supposed to have a
13 mammogram every year. Is that really necessary when most
14 women carry no risk variance for breast cancer? And so
15 now we have over 20 different variants that have been
16 delineated, we can assess and partition the risk in women
17 whether they'll have breast cancer in their lifetime. And
18 indeed, a New England Journal paper just two weeks ago
19 modeled on this and talked about how what percent of the
20 population was really at risk when we use the rudimentary
21 genomic markers, no less the ones of the future.

22 The same is for prostate cancer -- just five

1 different SNP markers in prostate cancer has in an
2 additive way in this particular study published earlier
3 this year. One can find a population of men who have a
4 10-fold risk of prostate cancer, and this of course
5 overrides the knowledge of the PSA level in the blood or
6 other known clinical risk factors.

7 Now even beyond that study that was published in
8 January, we have 20 different markers in the genome for
9 prostate cancer, so our knowledge base has been greatly
10 expanded. So basically what is so remarkable about this
11 time in medicine is that our understanding has been
12 enhanced like no other and we have defined new genes and
13 new pathways that are truly the underpinnings of disease.
14 And so the human disease (inaudible) which is represented
15 here, and in fact that we now know certain pathways are
16 responsible for multiple diseases which we would never
17 have forecasted. In fact, none of these pathways were the
18 ones that had been theorized before genome-wide
19 association studies were performed. So this is quite
20 remarkable in itself. And basically, as Andy Pollack
21 reviewed in a recent Science Times, the textbooks of
22 medicine are being rewritten. The only problem is that

1 they can't be written fast enough because our whole
2 appreciation of diseases and health is being turned over
3 because of this vast avalanche of new information. I
4 don't want to submit to you that we know so much; in fact,
5 there are lots of inconvenient truths. We still don't
6 have complete cover of the genome, we haven't really
7 focused on insertions, deletions, copy numbers to a great
8 extent; there are many repletory elements and smaller
9 (inaudible) that we have little knowledge as is the case
10 for epigenomics and diplomics as well. But nonetheless,
11 we are now into the consumer era, the consumer empowerment
12 if you will. And this was forecasted in a very
13 interesting Forbes piece a year ago when this fellow wrote
14 that you can post on Craigslist, "Single, white male,
15 HNPCC free seeks single, white female, no BRCA1/BARD1."
16 And what he also wrote was kind of, you're going to end up
17 searching for genes on Google. Now this is of course an
18 area I'm particularly interested in and I thought the guy
19 was a little ahead of his time. Well, it wasn't very long
20 when I started thinking about this whole Google searching
21 your SNP variance, and then I found out that of course
22 like Wikipedia, there's SNPedia, and any consumer can go

1 to SNPedia and find every information that's ever been
2 published or presented about any particular SNP, which is
3 quite remarkable.

4 And so many different articles have focused on
5 this, such as the feature article in Wired, and of course
6 those in the New York Times that were associated with a
7 Pulitzer Prize in the past year about this whole
8 interesting phenomenon. And in fact, three companies:
9 deCODEme, deCODE genetics, 23andME, and Navigenics are
10 offering the genome-wide scans with either saliva or a
11 cheek swab up to a million SNPs, continual updating
12 through their internet browser setup at a cost, for some
13 consumers, is affordable.

14 And also DNA Direct is involved in this, not
15 only by offering special tests like the TCF7L2 in diabetes
16 or the 9p21 marker for heart attack, but also in helping
17 individuals interpret their genome-wide scan.

18 Now, there has been a reaction in the medical
19 community that we're not ready for this, and there have
20 been several articles such as "Risky Business" in Nature
21 Genetics, "Ready or not" in Nature, and "Letting the
22 Genome out of the Bottle" in the New England Journal.

1 These are just representative of the naysayers, if you
2 will. But actually, I tend to disagree with some of these
3 editorialists. In fact, I've had my genome scanned
4 through two different of these entities and I learned a
5 lot. So I present to you, for example, I had no risk
6 factors in my family of heart attack. It's an area that
7 I've worked on for the past 25 years. I knew had a risk
8 of cancer. When I got my genome-wide scan, I found that I
9 had two copies of 9p21, that was a big and important step
10 of knowledge just for me, no less to know at least I was
11 protected from some other diseases like obesity and some
12 immune (inaudible) diseases. And the ability to interpret
13 these data by these companies is actually quite
14 remarkable. What they offer for the consumer is a
15 terrific foundation for those who are not savvy, to
16 understand what this means, that it's probabilistic not
17 deterministic and many other things are still wanting in
18 terms of our knowledge base.

19 This is an example of the deCODEme to help me
20 interpret what is having two copies of 9p21 variant, a
21 risk factor for heart attack, what does it really mean?
22 Very graphic and very simple in all of the companies in

1 this space are remarkably consumer oriented.

2 So when I put this (inaudible) together at the
3 end of last year about what you can learn from a gene
4 scan, I thought (inaudible) this is a great movement. And
5 the reason it's great movement is it will help the
6 physician community that are so reluctant to any change.
7 And in fact, the concern here is that patients now are
8 coming to their doctor's office to get help and
9 interpreting their genomic data. And the doctor says,
10 "What's a SNP?" And this is a significant problem. And
11 what's going to change the medical community if not the
12 consumer movement? And in fact, that's paradoxical
13 because we look at this survey -- it'll be interesting to
14 see Steve's remarks -- this survey says, "Who do you trust
15 with your genomic data?" Thousands of individuals
16 responded; they don't trust their employer, they don't
17 trust their health insurer, as you might expect; they
18 trust the most, their doctor, interestingly who has very
19 little if not any knowledge of this field. They trust
20 their doctor more than their spouse and even researchers
21 studying genetics, which is quite remarkable. And of
22 course, in California, which is where I'm from and the

1 recent cease and desist order by the state was quite
2 surprising because this is, I think, represents a great
3 advance in medicine, and oriented and advocating the
4 rights of consumers. And this sense from the Department
5 of Public Health in California that we are no longer
6 tolerating direct-to-consumer genetic testing in
7 California is so amazing to me, in fact.

8 So as I close, I just want to leave you with
9 some examples of actionable information, why this is so
10 important today for those who are interested. One, for
11 example, the risk of diabetes or a heart attack, to know
12 that risk, to know that awareness -- those symptoms that
13 could be representing, for example, heart attack or heart
14 disease is quite important, no less the change in
15 lifestyle; the avoidance of 250,000 false positive
16 prostate biopsies a year, for example; the use of
17 ultrasound or MRI in those women who have significant
18 increased risk of genomic markers for breast cancer. And
19 the diagnosis of many elusive things, like abdominal
20 aortic aneurysm, Chron's disease, and atrial fibrillation
21 as the cause of stroke of unknown ideology. All these
22 things come out of a genome-wide scan. The benefit to

1 consumers, I believe, is quite extraordinary. First of
2 all, this is research-grade data. These are the same
3 platforms, the same ways that data were obtained for all
4 the genome-wide association studies that were published in
5 the leading peer review journals like Nature, Science, and
6 Nature Genetics. Secondly, it's optional. It's a right
7 to know, and it's a potential benefit of course in those
8 individuals who use the information in a guided way. And
9 the sad part is that physicians are uninformed, totally
10 for the most part resistant to change, but hopefully can
11 be prodded like the direct-to-consumer advertising model
12 with respect to learning more, and motivated to learn
13 about genomic medicine.

14 So I leave you with this representation of where
15 I think the field has been and where it's going. Would
16 you consider this hockey-stick plot, and this was alluded
17 to by Rick in his opening remarks. There was of course
18 this draft human sequence in 2000, and many people
19 including the public, have been disenchanted, no less the
20 medical community, that it has taken eight years to get to
21 the point where there's relevant information coming out of
22 studies to effect the practice of medicine, prevention,

1 preemption for the first time. And so in fact we are now
2 in 2008 well into this with consumer genomics, gene
3 specific clinical trials, which we're coordinating and
4 other centers as well. Over the next few years, the
5 ability to sequence the human genome -- whole genome
6 sequencing, finding those wherever (inaudible) and those
7 other inconvenient truths in 15 minutes is going to be
8 possible. Soon enough, over the next eight-year span,
9 we'll have a million people fully sequenced, and some
10 aspects of medicine, perhaps not all, will be routine,
11 individualized practice. So in that -- with that
12 framework, we set up a new medical school, Scripps School
13 of Medicine, where every student who enters not only faces
14 a five-year rather than a four-year curriculum, but has
15 deep exposure to sequencing, genotyping, and all the
16 ohmics including mass spec for metabolimics, and hopefully
17 will be a group of physician leaders in the future to
18 advance this field that needs leadership in the years
19 ahead. So I just want to thank my colleagues at our
20 program who have worked together to try to have a unique
21 program that's using the information of genomics today to
22 advance the field of medicine, and hopefully this

1 conference will achieve that laudable goal as well.

2 Thanks very much for your attention.

3 [Applause]

4 DR. COWAN: We did not rehearse Eric's and
5 Rick's comments, though they said many similar things. We
6 will pile metaphors up -- you get a hockey stick and
7 converging rivers, but I think those all help give us
8 visual images of -- a clarifying picture of a
9 complexifying field that's very early in its development.

10 Our next keynoter is Steve Bodhaine. Steve is
11 the Group President for Research and Product Development
12 at Yankelovich. This is an organization that's been
13 around since 1958 and specializes in collecting and
14 understanding consumer attitudes, beliefs, and
15 aspirations. They do interviews, they do surveys; and he
16 is going to share with us some insights on consumers'
17 interests in health and consumers' interest in genomic
18 information. So, Steve.

19 MR. BODHAINE: Thanks for bringing that up so
20 fast. I think I'd like to find out what kind of
21 enthusiasm gene Dr. Topol has for this topic. I think
22 it's impressive. We're delighted to be here. My purpose

1 today is today is to help you understand the voices of the
2 consumer, and I want to make sure you understand the
3 plurality of that statement because there is no such thing
4 as the consumer when it comes to health. As exciting as
5 this area is for the science of health, I think this is a
6 new day in consumer health. And we hope to share, in a
7 few minutes, a brief snapshot of who the consumer is and
8 where their heart and mind is relative to some of the
9 fascinating research being conducted today.

10 Now, I have the ability to deliver a one-hour
11 presentation in 25 minutes, which means that I will speak
12 faster and faster as I watch Keisha (phonetic) tell me
13 that my time is running out, so if you are translating
14 today, get your lips in overdrive because this is going to
15 be fast.

16 Let's break into this. At Yankelovich we have
17 been engaged in health and understanding consumer health
18 for some time. And I just put this up here to give you a
19 sense that this is not just coming from our back pocket,
20 we really have spent a lot of time and energy to
21 understand where the consumers heart and mind is relative
22 to health. And we're careful about the terminology we use

1 because words like health care and health mean two very
2 different things to the consumer. Wellness and well-being
3 are two different things to the consumer, and so we have
4 to be very careful with the words that we choose because
5 the consumer is going to react in a very different way.
6 And please note that I'm referring to them as the consumer
7 and not the patient. The day of the patient is gone; this
8 is the day of the consumer. In fact, it's the day of the
9 health collaborator. And so we're tracking this on a
10 continuous basis and we want to make sure that you leave
11 here today with a better insight of who these people are
12 and what's driving their (inaudible). I'm going to touch
13 on a few key things. One, we want to introduce you to
14 several different voices that exist in the marketplace.
15 When it comes to consumer health we're going to address
16 maybe four of the dozen or so key health trends that we've
17 been tracking. We want to then dive into a little bit of
18 research that we did around personalized medicine and the
19 consumers' level of interest and understanding and
20 engagement with genomic medicine. And then we're going to
21 get down to where the role of the physician might be in
22 the future.

1 So the key thing that we want to emphasize here
2 is that relevance is critical. We live in a day when
3 we're way beyond clutter in the marketplace. A good
4 marketer, when it comes to clutter, adopts two strategies.
5 I will speak loud and more frequently, which essentially
6 just adds more clutter to the marketplace. We live in a
7 time where the consumer (inaudible) active engagement we
8 call marketing resistance. They're taking active measures
9 to avoid our communication. Health has been notorious for
10 filling the airways with really lousy information from a
11 consumer point of view. I spoke at a conference not long
12 ago where one of my esteemed colleagues got up and was
13 pointing fingers at the marketers and saying that these
14 guys practice things like guerilla marketing and stealth
15 marketing and viral marketing. And I got up afterward and
16 I changed my comment. I said, "You're right, Kelly (sp)."
17 I said, "We do. In fact, the challenge with health is
18 that we're guilty of practicing confusing marketing and
19 confounding marketing and conflicting marketing. And
20 we've done a pretty good job of disengaging the consumer
21 in much of what we have to say." And so if we're going to
22 deal with this marketing resistance, we have to adopt some

1 new strategies.

2 And now, just out of curiosity how many of you
3 have signed up for the Do Not Call Registry? All righty
4 then. Just a brief moment. You do know that market
5 research is exempt from that, so when we call we'd
6 appreciate your candid responses. What you're really
7 signed up for is not to avoid research, but what you're
8 signed up for is to avoid being called at dinnertime about
9 something that you don't care anything about. And so
10 consumers today we understand that with TiVo and satellite
11 radio and Do Not Call Registries and anti-spam
12 legislation, we're taking active measures to avoid the
13 very things you're trying to communicate with us. And so
14 we have to make sure that in today's marketplace we are
15 more precise in defining who the consumer is and is not
16 and more (inaudible) we deliver to them than we've ever
17 been before. And further, we have to seek power to the
18 consumer and change the rules of engagement so that the
19 consumer begins to dictate how he or she plays in this
20 space. And when it comes to health, we're seeing that
21 happen in a very real way.

22 Well, let me talk to you a little bit about

1 relevance today and some of the voice of the consumer. We
2 did a study in 2007 in 17 countries with tens of thousands
3 of consumers. And what we were looking for is a way to
4 take a very heterogeneous population and put them into
5 homogenous buckets so that we could better understand how
6 to engage the consumer in health and in health care. And
7 so let me share with you six segments of the population.

8 Segment number one is a group we called "Leading
9 the Way." This is a group of people who get it. They
10 organize their whole life around health. Now, they may
11 have some chronic conditions, but they have a normal BMI,
12 they exercise on a regular basis, they are avid
13 information-seekers, they get their screenings as they
14 should. These people organize their life around health.
15 Maybe you know one of these people because there are not
16 very many of them in our country. In fact, they comprise
17 about 10 percent of the population. They tend to be a
18 little bit older, but the key thing with this group is
19 that they have an inter-locus of control and they have a
20 future orientation, which means you communicate into this
21 group that the reason that you'd want to get genomic
22 testing would be to help you avoid the future risk of

1 disease; that would work for this group.

2 Group number two is a group that we call "In it
3 for Fun." This group is otherwise healthy, but not
4 because health matters. They're healthy and they exercise
5 because they enjoy the competition. They want to look
6 good, they want to feel good, they want to have the energy
7 to compete. This is how they organize their lives. They
8 do practice good healthy behaviors, but this is not a
9 strong health mindset and orientation. And so if we're
10 going to reach out to them, delivering a message that
11 avoids the future instances of health risk is probably not
12 terribly important. We need to talk to them in terms of
13 what it means to their social life and how that might
14 impact their ability to compete and be aggressive in the
15 marketplace in which they operate. So this group actually
16 is good; we like them, but they're not going to resonate
17 very powerfully with health messages per sé.

18 The third group is the "Value Independence."
19 This is a fun group; we call them the do-it-yourselfers.
20 This group is so tired of science and medicine creating
21 confusion in their lives that they've determined that they
22 can figure it out on their own. This is the do-it-

1 yourself diet club. They mix and match until they find
2 that works right for them. Unfortunately, they continue
3 to get gain weight; they've not been very successful with
4 their do-it-yourself technologies and have created a whole
5 host of challenges for them and for their families. They
6 don't necessarily trust the voice of the physician. They
7 think in many cases that medicine and science are
8 overrated. And this is a group that's turning more and
9 more to alternative medicine and looking across the pond
10 for new kinds of remedies and interventions that may prove
11 to be a more positive intervention for them than
12 traditional medicine. Very interesting group, hard to
13 reach, they don't want to hear your voice. This is a
14 group that's going to pay an awful lot of attention to
15 social networks. These are bloggers-extraordinaire;
16 they're going all over the place looking for information
17 from people other than the scientist because they don't
18 know that truth is found necessarily in science. I've
19 been guilty of this -- well, I won't go into this story
20 because I don't have time, but another time.

21 The next group is a group we called the "I Need
22 a Plan." We lovingly refer to them as the undisciplined.

1 This is a group whose heart and mind know what to do but
2 whose body simply will not obey. They know that they need
3 to lose weight and they will start a diet, and then they
4 will stop a diet. They will begin to exercise and then
5 they will stop exercising. They need structure. They
6 have a very extra low locus of control. They need the
7 health care professional to intervene and help them to get
8 with the plan and stick with it so that it can have
9 success. These guys spend a lot of money on health; they
10 are actually very well informed, but they are looking for
11 partners who can help them start and finish something
12 successfully over time. We like these people a lot
13 because they are willing to engage. But this is a group
14 that doesn't need one more piece of information; they
15 simply need help in applying the information in their
16 life.

17 The next group is "Not Right Now." We refer to
18 these folks as disinterested. This is a group that is
19 relatively healthy, but keep in mind, the disease is what
20 happens to somebody else. They are a bit younger; they
21 are generation invincible and are not likely to engage
22 with health or health-related information at all. This is

1 a group in the world of food where we get all excited
2 about organic food and natural food; this is the group
3 that when Hardees rolls out says 940 calorie breakfast
4 burrito, they were in line four days a week because it
5 tastes good. This is a group that's going to do what they
6 want because it helps them feel good about themselves.
7 This is a group that has Aunt Sally. You know Aunt Sally;
8 she's 97-years-old, she started smoking when she was 3,
9 she drinks like crazy, but she is still ornery and full of
10 vigor and we're going to be just like Aunt Sally. This is
11 a group that is very difficult to reach because they're
12 simply not listening to health information. They're
13 potentially a train wreck in the future because they are
14 gaining weight and they are engaged in very unhealthy
15 behaviors for the most part.

16 The last group is a troublesome group. This is
17 a group that we call "Get Through the Day," often referred
18 to as given up. They have been afflicted with poor health
19 for the majority of their life; nothing they've tried has
20 produced a meaningful result. They are frustrated and
21 basically have resigned themselves to poor health for the
22 rest of their life. Unfortunately, they tend to be a very

1 expensive consumer in the health space; they have many
2 chronic conditions and they present themselves often in
3 the most expensive health care delivery venues possible.
4 And so they're a group that we have to pay a lot of
5 attention to. This is the group that disease management
6 companies focus a lot of energy and attention on. But we
7 understand that this group will never get anywhere on
8 their own; self-help tools will be completely
9 unsuccessful. This is a group that's very dependent upon
10 professionals to help them experience any kind of benefit.

11 Now, I throw these six out very quickly. We
12 have a ton of data behind each of these people. We've
13 looked at 40 different chronic conditions, we've looked at
14 weight management, smoking cessation, exercise, sleep
15 management, stress management, all kinds of things. As we
16 look at these kinds of people to understand how and where
17 and why then engage or disengage in the health debate.

18 And what I want you to take away from this is
19 that one message will not fit all, nor will one solution
20 fit all. And we have to make sure that we're reaching out
21 to these people in a very targeted fashion if we hope to
22 engage them in improving their overall health and

1 wellness.

2 And when I speak of wellness, I want to get into
3 some specific trends and some definitions. Number one,
4 we've been measuring for the last four or five years, the
5 evolving health mindset. What you need to be aware of and
6 what you're already probably very well aware of is that
7 health today is a holistic view. It is a combination of
8 mental, emotional, spiritual, and physical wellness. My
9 concern with this trend right now is that the mental,
10 emotional, and spiritual dimensions of wellness are
11 actually masking the physical reality of disease. We
12 asked people to tell us how many chronic diseases they
13 suffer from, with which they've been diagnosed by a
14 medical physician or professional, and what we're finding
15 is that people who have even more than three chronic
16 diseases are listing their overall health as being good or
17 very good. Now, why in the world is that? It's because
18 they have a positive outlook on life. It's because they
19 have a sense of purpose. It's because they have people
20 who love them. And besides, I don't feel any different
21 whether I take my hypertension medication or not. And so
22 what we're finding is that there's a huge emphasis on

1 that. In fact, we ask people, "What do you do to improve
2 your health?" And what do they tell us? "Oh, I need to
3 stop smoking. Need to lose weight. Need to exercise
4 more. Need to eat better. Need to get a little more
5 sleep." Very physical in its orientation. When we ask
6 them, "What's the most important things you can do to
7 maintain your health and wellness in the year to come?"
8 Number one on the list is to make sure I have good
9 insurance. Right behind that is to practice good hygiene
10 and personal cleanliness. We're glad that we're washing
11 our hands more. What's interesting is that exercise
12 doesn't even make the top ten. The diet barely cracked
13 the top ten this year; it was number 12 in 2005.

14 The physical reality is not nearly as important
15 to the consumer as the mental, emotional, and spiritual
16 dimension. If we're trying to change physical behavior,
17 the take-home message is that we've got to couch it into
18 the context of this mental, emotional, spiritual dimension
19 or we will not cut through the clutter or the resistance
20 in the marketplace. So keep that in mind. And, oh by the
21 way, I am not a patient and I am not a disease. And I
22 refuse to be defined that way. I am not a diabetic, I am

1 not a hypertensive, I am not dyslipidemic; I am Steve.
2 And, oh, by the way, I've got these things that interfere
3 with what I'm trying to accomplish in my life. If you can
4 help me with that, that's great. So keep that in mind as
5 we're engaging the consumer in today's health marketplace,
6 it is a holistic view. And we know that even those
7 individuals who are mentally, emotionally, and spiritually
8 engaged with health, the physical dimension factors in
9 very nicely because physical health -- a crisis actually
10 will disrupt or trump these other dimensions of health and
11 wellness.

12 Number two, the thing to keep in mind, in terms
13 of particularly genomic health and medicine and where
14 we're moving today is that home is becoming the center of
15 health. We are living in the world of the Baby Boomer.
16 Maybe you know one; they may be seated next to you. They
17 are kind and nice; be gentle with them. One thing we know
18 about Boomers is that we will never grow old. We refuse.
19 We are redefining retirement, we are redefining age. And
20 we are absolutely confident that we will never need to go
21 into long-term care. In fact, we don't want to. We've
22 been in to long-term care before and we don't like how it

1 smells. And we don't ever envision ourselves in that
2 environment. And so what we're seeing more and more is
3 that the home is becoming the center of health. I started
4 a hospice company some time ago, and what was interesting
5 is before World War II, people would pass away in their
6 own home. Post-World War II, the single leading
7 indicators where people would die was the availability of
8 the hospital bed. Medicine changed. Well, I think it's
9 going to re-evolve, that the home is going to become a
10 place where much of health is actually delivered. And so
11 we're watching that carefully. One of the reasons behind
12 that is because we live in a world of the multi-
13 generational caregiver. And perhaps you know them too,
14 they tend to be female. They're caring for an aging
15 parent, they may have an ailing spouse, they may have
16 children who are experiencing chronic disease earlier and
17 earlier, but they have their hands full. And the market
18 is recognizing that and is quickly coming to their aid and
19 looking for everything they can do to empower that
20 individual to maintain his or her own health and wellness,
21 as well as to be good custodians of the health of others
22 with whom they've been entrusted. So keep in mind that

1 the home is going to be the center.

2 We know that more and more of health care will
3 be delivered in the home. We're seeing advances in
4 telemedicine. The whole rise in in-home diagnostics is
5 very impressive and will continue to be there because:
6 one, it caters to the fundamental need of convenience; and
7 that's an important thing in the mind of the consumer.
8 Which leads me to the next thing, and that is the idea of
9 diagnosed need. In a very cynical world, which we've
10 trained the consumer to live in, we have determined that
11 we can trust ourselves as much as we can trust anybody
12 else. And so I want to be able to get a firsthand glimpse
13 of my own symptoms. I'll show you a slide here at some
14 point if I get to it, that shows us where the consumer is
15 going for health information and why they're going there.
16 There's a massive generational differential. The mature
17 generation still is a bit of the Marcus Welby, M.D.
18 generation where doctor knows best. The rising generation
19 may go to the doctor as the third or fourth voice in the
20 health continuum. That ought to cause a bit of fear, and
21 it's given how well some of the younger generation takes
22 care of themselves. But nonetheless, we are looking for

1 not just information but tools. And if I could just
2 submit one thing, we don't need probably another website
3 with health information; we can find cancer information
4 now I think on 200 million websites. Okay. It's
5 enormous. The consumer came to get a drink of water; we
6 turn on the fire hydrant. I went from a period of
7 complete disengagement to opening the internet and
8 creating a floodgate that makes it virtually impossible
9 for the consumer to differentiate what is truth and
10 fiction. What the consumer needs is accurate information
11 delivered through a credible source with passion over and
12 over again. But more importantly, I need the tools that
13 help me interpret that information in a personally
14 relevant fashion and give me the power to actually do
15 something with it. And our idea is not the BMI
16 calculator. Anybody gone on to do the BMI calculator?
17 Anybody ever play with that? Only two honest people in
18 the group. Yeah. What's interesting is that you take it
19 once and you'll find out that you're maybe not within the
20 normal range; it's amazing how fast you grow. You know,
21 you're now 6', now you're 6'4, and it feels a lot better
22 in that range. That's not going to cut it in today's

1 marketplace. The consumer needs to be endowed with tools
2 that empower them to take action and to monitor that
3 action. But it's not just the tools, we need improved
4 access to competent health care professionals who can help
5 us interpret and manage that information and help us
6 monitor our progress so that we really do achieve the
7 (inaudible) that we're seeking. And all of that needs to
8 sit in the world of personal health accountability, a term
9 that has not been introduced to the consumer but is coming
10 very quickly. We're tired of waiting for the federal
11 government to drive change, we're tired of waiting for the
12 state government to drive change, we're tired of waiting
13 for the municipal government to drive change. The
14 employer is now firmly engaged in this. I work with a lot
15 of employers who have launched massive health and wellness
16 programs to engage the consumers to change behavior, and
17 they're holding them accountable. And we may not like
18 their tactics, but nonetheless it's coming.

19 The next stage will be the individual themselves
20 because employers will look for ways to lessen the
21 liability of health care and responsibility for that.

22 The last piece is self invention, which is

1 interesting. We're figuring out new ways to invent who we
2 are, what we're all about, and there's a lot of
3 interesting medical information there that I won't touch
4 on because I've already had my ten minute warning.

5 We're going online because we wanted to research
6 specific diseases and illness, but interestingly, we want
7 to diagnose the symptoms that I have. Now again, a scary
8 thing, but we're going on and finding diseases that we
9 never had before.

10 Let me get into specifically some of the
11 research that we did around consumer genomic medicine. We
12 did fundamentally qualitative information for the purpose
13 of this to provide a snippet and insight into where the
14 consumer's head is. And what we find is that when we talk
15 about genomics, that the consumer has some degree of
16 familiarity, but very limited understanding. And so we
17 say that the familiarity with genetic testing is pretty
18 limited. And what we find is that we know a little bit
19 about what it is, but we don't necessarily know how it
20 will be used and how we can apply it to our own health and
21 wellness to our personal success. We are not aware that
22 there are companies out there who actually are doing this.

1 We think this is being done by lots of other people and
2 other institutions, and we don't really have a clue about
3 what the cost associated with genetic testing is. So
4 again, a very good indication, those of you who are living
5 in this space have probably done a lot more extensive
6 research, but we know that this is the beginning days for
7 genetic testing and the consumers are interested, their
8 curiosity is certainly piqued, but they don't know a lot
9 about it and necessarily how to take action. What's
10 interesting is that they give us a lot of the right
11 answers. You know, it's a procedure used to find out the
12 makeup of a person. It's completing a series of tests to
13 determine various things such as health concerns. They
14 can articulate at least the surface level of what this is
15 about, but again, don't know a lot about how to use it.

16 Who is it for? Well, everyone, some say.
17 Children, parents, and grandparents, people who are
18 overweight, babies and children, there's a whole range of
19 potential users of this kind of information. Why do they
20 get it? Some think it's a preventative measure, some want
21 to know how much time you have -- which I thought is a
22 little bit of a morbid thought, but -- should tell you to

1 use your time any differently. But they certainly see
2 advances in medicine. When we ask where do they go to
3 have it done, some are going to go to the doctor's office,
4 some to the hospital, some to the university hospital,
5 some to the specialist, some are going overseas, and some
6 in an approved facility. Not too many people are going to
7 a DNA lab, and certainly people are not thinking about
8 doing this in a third-party remote kind of fashion.

9 Am I willing to consider it? I'm interested,
10 but I've got to admit, I'm a bit skeptical right now as
11 the consumer. I don't know -- really, if I got a negative
12 result back I would probably still go talk to a physician
13 anyway just to be sure. And so what we're saying is that,
14 yeah, I'd be interested in considering this thing if I
15 have an increased element of risk. They want to know more
16 about the information. The biggest concern is about
17 accuracy. If I go and get this done, how do I know for
18 sure that it's me you're talking about, particularly if I
19 don't necessarily agree with the results. They're not as
20 concerned about privacy; they assume that's a given and
21 would expect you to take good care of that information.
22 But they are skeptical of getting something that doesn't

1 come directly from a physician. And again, we talked
2 earlier that the physician is my most trusted source of
3 health information, (inaudible) accessible, and there may
4 be a misplaced sense of trust there. But the law of
5 proximity is very much alive when it comes to health. And
6 consumers are going to trust that individual which is
7 closest to them and whom they believe is objective and has
8 their best interest at heart.

9 The (inaudible) genetic testing means, we don't
10 know how it's used. We hope that it's going to give us a
11 better understanding of my risk for disease, and that it
12 will help provide a blueprint for me to take more
13 preventative action to avoid the future instance of poor
14 health and to plan more effectively for my future, but I'm
15 worried that I won't be able to understand what comes back
16 to me. I don't know that I will be free from any kind of
17 discrimination if others find out that I may be
18 predisposed to a certain type of condition. And so the
19 hopes are there, the challenges are there, but they don't
20 weigh heavy on the mind of the consumer.

21 What does my doctor say? Well, again, we've
22 mentioned this before. The doctor's voice is very

1 important. But again, the rising generation is turning
2 more and more to the web. What's amazing to me is we look
3 at the consumer today, they are actually looking more and
4 more to the blog for an empathetic ear and they are
5 trusting people in these social networks as much if not
6 more than their physician when it comes to certain types
7 of conditions. They're looking for approbation around a
8 certain type of a new type of medical device or drug or
9 intervention based on what other people in the market who
10 are like them have to say, even if they've never met them
11 before.

12 So it's a great day for this. What we're
13 finding is that there's a market out there that consumers
14 are very interested, that they're excited about the
15 prospect, but it's a great unknown and there's still a lot
16 of learning to be had for them to take advantage of
17 genetic testing. The key is, give me the tools so that I
18 can interpret the information that I get and take the
19 appropriate kind of action. Again, many voices, many
20 consumers. Not every one of them is going to jump on this
21 and take advantage of it, and we have to recognize that
22 and make sure that we're targeting our efforts to

1 communicate with them and engage in the process going
2 forward.

3 So if you want to know more about the consumer, we
4 have a lot to say. Appreciate your time today, and we'll
5 turn it back. Am I on time? Good.

6 [APPLAUSE]

7 DR. COWAN: I did not know you could talk that
8 fast. I have a mint if your mouth is kind of on fire.
9 Thank you so much.

10 Those are our three presentations. I think
11 you've probably all noticed the same thing I did, there
12 was a great deal of convergence between the three. And
13 sometimes when speakers get up and say so much of the same
14 thing from their different perspectives, it can seem
15 redundant, but I would counter that some things are worth
16 redunding because that has set a tone that will then, I
17 think, generate a conversation that we are going to try to
18 bring out in the three panels.

19 So would Esther Dyson and your panel come on up?
20 And we'll go ahead and we'll shift the panels out as I
21 introduce them.

22 And again, one more for our speakers.

1 [APPLAUSE]

2 I hope that we have set a perception, and I
3 would be surprised if many of you would not give many of
4 the same points of view. You're all professionals in this
5 field from one aspect or another, that there is going to
6 be a very different role of the consumer going forward in
7 this particular aspect of medicine, others too certainly,
8 but certainly this one; and that there's going to have to
9 be a different professional approach to genomics-based
10 medicine than we have used in our traditional past.

11 I hope you have a feeling that we are early in
12 the game and that we are going to try to spend the rest of
13 our time looking through the eyes of the consumer. You
14 can go ahead and sit there. Yeah, Yeah, yeah.

15 We won't consider this a success -- this day a
16 success -- I'm speaking for Greg and his team planning
17 this -- we will consider the degree of success the
18 richness of the conversation we have. And this is not
19 just occupational therapy for us to (inaudible) away an
20 afternoon. You know, the history books of the Manhattan
21 Project have just recently come out. Enough time has gone
22 by and the historians asked the scientists, "You invented

1 a whole new field of science and then you invented
2 practical applications to it and then you (inaudible)
3 practical applications and you made them work. And you
4 did all that in about 18 months; how in the world did you
5 do that?" And they universally came back to the
6 historians and said it was the discussion, it was the
7 dialogue, it was the conversation. This -- I don't think
8 it's too much of a stretch to make at least an analogy to
9 the Manhattan Project. This is a huge sea change in
10 medicine. We are at the verge of it, and you are the ones
11 who will create it and you are the ones who will have the
12 discussions and have the dialogues. The scientist said,
13 you know, "We'd have a problem and we didn't know what it
14 meant. And then we would have these discussions and then
15 there would be a solution, and nobody really claimed to
16 know where it came from. It was all in the dialogue." So
17 I think this is a very important day.

18 We're going to go into the panels now so I want
19 to set the rules. So that's the expectation -- that
20 you're engaged, we have a conversation. Here's the rules.
21 One, of course, a pesky rule, but no hitting. Well, no
22 more than necessary. Second, I already asked, please

1 participate. When you have a question, we have
2 microphones that I don't see, but we will. Raise your
3 hand; we'll get a microphone to you. It's being recorded;
4 we want to keep this, we want to save it. And get a
5 microphone in your hand, tell us who you are and why you
6 fight -- who you are, who you work for, and then ask the
7 question. And I'll help moderate the questions, or feel
8 free to ask a particular panelist or the panel head.

9 Please be concise for the sake of time. We're
10 doing okay, but try to keep it in mind. We will -- and
11 now, here's my job. That's a parking lot; this room is
12 full of passionate people who have a lot of opinions about
13 a lot of things. Some of them directly bear on other
14 doings, some don't; all are important and we want to
15 capture everything, but we cannot take the discussions
16 down every lane. And so I will be very arbitrary and
17 capricious, I admit it right now, that if we're going off
18 in a direction or something seems irresolvable or for
19 whatever reason, we'll put things in parking lots. And
20 the reason we'll put it in the parking lot is so that we
21 don't lose it. We're early in this process, we're early
22 in the development of this branch of science and medicine,

1 and so nothing will be lost.

2 Are there any questions about the rules or the
3 engagement? What we're trying to do at this point where
4 we are? I see no dissent.

5 This panel -- I heard a dissent, what was that?

6 UNKNOWN: Speaking off microphone.

7 Not yet, but soon.

8 So the first panel is going to try to look at
9 consumer interest. The title is "What's the consumer
10 interest in genomic-based health information?" Esther
11 Dyson is at some level been involved with and reporting on
12 technology for awhile. I started to say a long time and I
13 thought that might be rude.

14 MS. DYSON: That's okay. (Inaudible).

15 DR. COWAN: Okay. Her gene -- well, and she
16 knows that her genome was sequenced and published as one
17 of ten volunteers on a personal genome project, so she's
18 got it both for personal and professional interest in
19 this. And Esther will then introduce the other members of
20 this panel. Each panelist will have an opportunity to
21 make some comments. These are a little bit scripted just
22 because we wanted to focus on the topics at hand, and then

1 we will open this back up for questions and answers.

2 Okay. Ms. Dyson, it's all yours.

3 MS. DYSON: Great. Good afternoon. I'm not
4 going to give a long talk, but what I am going to do is
5 stand up here so that I can keep order. And I do want to
6 keep order, not just for the panel, but for everybody. I
7 really would like this to be interactive.

8 So I want to start -- I know you're not
9 representative, but how many of you have had your genome
10 sequenced in some form or other? Okay. How many of you
11 would do it if it were free? And how many would never do
12 it? Okay. If anybody changes their mind during the
13 course of this panel, let us know because that would be
14 interesting.

15 What we're doing here today is having three
16 panels, and ours is pretty much what the consumers want.
17 The second panel is what the consumers are actually
18 getting, and the third panel is what the consumers are
19 going to get. So we're trying not to step on each other's
20 toes too much, so any panel could talk about all these
21 things. And what I'm going to do is have each panelist
22 introduce him or herself -- you can read the bios, but

1 there's probably a little color or subtlety that's missing
2 -- and answer the questions that are in the book. They
3 can spend maybe three, four minutes, I'm going to ask some
4 follow-up questions, then we're going to talk among
5 ourselves, and then we're going to bring in audience
6 questions. If somebody can't restrain themselves out
7 there, you can ask questions anytime because I want this
8 to, as Michael said, the value comes from the dialogue so
9 that's what we're going to try and do.

10 We're going to start with Rebecca Fisher, who is
11 what has been missing in many of the public discussions,
12 which is the actual voice of the consumer, the person
13 concerned; and then Matt Holt, a well-known health blogger
14 and (inaudible); and finally, Linda Avey, who is a co-
15 founder of 23andMe. I'm not going to talk about my own
16 bio except sort of by way of disclosure; I'm a member of
17 the Board of 23andMe so I'm going to be especially
18 vicious. Rebecca.

19 MS. FISHER: I don't remember what I gave to you
20 for the bio, so I'll recap by saying that I'm a 47-year-
21 old breast cancer survivor, BRCA1 positive, diagnosed at
22 the age 31 in the early '90s.

1 My two points today are meant to temper the
2 rhetoric about the excitement about all that we are
3 learning, which is not to say that I think it's a bad
4 thing; I think it's a wonderful thing, but I see the
5 naysayers that one of our presenters spoke about before as
6 being more proceed-with-caution-sayers, and I think I
7 agree with them. The reason that I agree with them is
8 that most consumers are not familiar with the methods or
9 even the vernacular surrounding genetic testing. The
10 methods that are used and the clinical utility, the
11 clinical validity, even the reagents that are being used,
12 are words that belong to something very foreign to most
13 people.

14 I'm a medical librarian by training, so most of
15 the terms come, you know, with difficulty but I can figure
16 out what they mean and I can also figure out where to find
17 out more about what they mean. But in this emerging world
18 of genomic information, there's a real gap between the
19 information that someone can download and the information
20 that someone actually needs to use to make valid decisions
21 about his or her health.

22 When my family became involved with linkage

1 analysis in the early '90s, there was no BRCA1. BRCA1 was
2 discovered in August of 1994. At that point, my family
3 entered a research program at the University of Michigan
4 which later moved to the University of Pennsylvania. I
5 have two sisters, one older, one younger. They both were
6 involved in the research and couldn't wait for the results
7 to be returned. As a result of their impatience, having
8 seen me go through bilateral mastectomies, a bone marrow
9 transplant, and two months of radiation, they went ahead
10 and had prophylactic mastectomy, both of them. When the
11 information came back from Myriad that our notation was on
12 an intron, which, you know, that's very odd for BRCA1 --
13 it was on an intron -- and it was not found in the
14 research setting, so not all research methods are the
15 same, which was news to us. But they were testing our
16 mRNA, they were not testing our genomic DNA. Most
17 consumers don't get that difference. My sisters are still
18 a little tiny bit upset that they don't have any breasts,
19 and I don't blame them.

20 The second issue that I'll talk about briefly
21 because I know Esther wants to move us on, is a friend
22 that I have who is a banker. She's a very bright woman,

1 very capable, 49-years-old. Recently -- very recently,
2 two weeks ago diagnosed with breast cancer -- Stage 1, but
3 they didn't get the margin so they were saying to her,
4 "What do you want to do? Do you want to go back and get
5 more surgery? Do you want to do another lumpectomy? Do
6 you want a mastectomy?" We had a conversation at a
7 Starbucks at which I was able to tell her about BRCA1 --
8 hadn't heard about it -- and didn't realize that this
9 might be a risk factor for her. "Well, Joann (phonetic),
10 what's your family history?" I asked her. "Well, my
11 sister had a glioblastoma when she was 18, my brother had
12 lymphoma at 22." I said, "Did you tell your doctor that?"
13 She said, "Yeah, and he just moved on." So what I'm
14 suggesting today is that there is a gigantic gap between
15 what someone can download, even what someone can find on
16 OMIM -- even what someone can find in gene reviews or the
17 new collaborations that are coming up. There is no person
18 standing at the point of decision for that patient. The
19 only person is going to be their genetic counselor or
20 maybe a medical librarian or, God love them, the physician
21 who took the time to learn that this is a subtle and
22 nuanced world, and we should proceed with caution. We

1 have no deadline. And those are my comments for you.

2 Thanks.

3 [APPLAUSE]

4 MS. DYSON: Those are compelling stories, but
5 the message I actually get from them is -- has very little
6 to do with direct-to-consumer genetic testing and probably
7 more with the overall level of knowledge not just among
8 consumers, but among doctors and other people. And so
9 what would your constructive advice to this room be about
10 how to help solve some of these problems?

11 MS. FISHER: Well, I guess I'd kind of disagree
12 that it doesn't have to do with it because no matter how
13 you get the information, whether it's direct-to-consumer
14 or through a research setting like we did or from your
15 physician, you are going to have information. What
16 concerns me is the commoditization of human life. That
17 concerns me greatly. And when a kit comes in the mail for
18 you to turn in a cheek swab and there's no human being
19 there, oh, yes, "We have people on call 24 hours,"
20 whatever -- that person is -- I'm just thinking that
21 person is not going to be equipped. If your own doctor is
22 not equipped, I have major concerns. And so I guess I

1 side with the proceed-with-caution-sayers.

2 MS. DYSON: But how do you get the doctor to be
3 equipped?

4 MS. FISHER: Well, that's the dialogue. That's
5 what the problem is. Doctors, a lot of them, get their
6 information and I see Father Fitzgerald out here -- he
7 knows it as well as I -- at Georgetown University School
8 of Medicine, in the cafeteria, that's where they get their
9 information. And that is something a medical librarian
10 will rip her hair out over, but that is the reality.

11 MS. DYSON: Okay. Well, we'll definitely come
12 back to that. Matthew, your turn.

13 MR. HOLT: Sure. So let me in two-and-a-half
14 minutes, if I can, say three things. I'm Matthew Holt, I
15 write the health care blog, I run the Health 2.0
16 Conference, and I would be running a genomics direct-to-
17 consumer genomics company in California; unfortunately,
18 I'm not a blonde female which is a major requirement as
19 we'll find out later.

20 First, a couple of things. People are going
21 online to the web to get information because they want
22 action and results out of what they're getting. They want

1 information which gets them to do something. And my major
2 concern at the moment about direct-to-consumer genetic
3 testing is it doesn't necessarily give you something you
4 can actually do out of it, but that's a question I think
5 that will evolve. And I think Eric Topol's talk was very
6 instructive about what's going to be coming. But if we're
7 going to be waiting for the wider point, which is doctors
8 to adopt all these new information technology and deliver
9 it in a human and humane fashion to patients, we're going
10 to be waiting a long while. In fact, for all of Eric's
11 new graduates to graduate and come through the system in
12 about 25 years, and by then we'll be dead or close to it.
13 So I believe that there is a lot that can be done online
14 in terms of tools and advocacy, which will be emerging as
15 either a market-based or maybe as a social insurance base
16 to technology to come. So watch that. And to my mind,
17 direct-to-consumer genomic testing is a big part of that.

18 Second -- two other things that are worth saying
19 very quickly. The first is that there's been a lot of
20 fuss about privacy online in general, and genomics in
21 particular. And the major fuss that I can see is about
22 the impact of disclosure of information. Unfortunately,

1 we live in a world -- or live in a country and society in
2 which the impact of information that you are not, you
3 know, involuntarily disclosing but forced to disclose by
4 insurance companies and others, can dramatically impact
5 your life. If you apply for individual insurance coverage
6 in most states in this country and you say you're a
7 particular disease, that either means you will pay a lot
8 more for that insurance or you won't be able to get it at
9 all. And that is out in the open and irrelevant to the
10 current discussion. Now, my view is that we need to fix
11 that first, and then work about genomics and privacy
12 second.

13 Secondly, there's obviously a lot controversy in
14 California and New York about the impact of, should
15 consumers be able to go out and order these tests
16 directly. So I am talking out of both sides of my mouth
17 here. I'm a good Marxist -- chemist-trained Marxist and I
18 believe in socialism and social insurance. And I also
19 believe in understanding what's cost effective in medicine
20 and what's not cost effective. I don't think there should
21 be a blank check but for the government to pay for all
22 medical care, but I think that stuff that has been proven

1 to be cost effective should be covered and it shouldn't be
2 impacted to the point of care by your -- the size of your
3 wallet.

4 So I believe in social insurance, and I don't
5 think it's clear yet as to whether most genomic testing
6 actually is cost effective, and I hope that the work that
7 Eric and others do, will figure that out. But having said
8 that, I don't believe in trade protection. And, you know,
9 if you are using the state and regulations as an attempt
10 to protect a profession or your economic interest, you
11 shouldn't be able to do that if there is a better, cheaper
12 way of getting things done. And I think that most of what
13 we're hearing at the moment in terms of restricting by
14 state licensure and other types of regulations to restrict
15 this kind of activity, as well as much other activity in
16 health care falls into that bucket. So I think in the
17 end, if consumers are going to be adopting genetic testing
18 in a large-scale format, it'll be done because it's done
19 in conjunction with the health care system and with their
20 current relationships with physicians. And I think that
21 all the direct-to-consumer testing companies here are
22 either adopting that position or will adopt that position.

1 But nonetheless, it doesn't mean it should have to be that
2 way. So with that, I'll shut up.

3 MS. DYSON: Okay. And how would you solve
4 Rebecca's problem of under-educated doctors, even if they
5 don't want anyone else doing it -- they're not capable of
6 doing it themselves?

7 MR. HOLT: Well, I mean, the first thing is you
8 have to introduce some level of competition into that, and
9 that could be competition from other doctors because there
10 are doctors who will get educated and medical groups and
11 organizations. And I will actually solve her problem a
12 different way. I think there is a huge need in this
13 country for medical advocates, and that's a -- in my mind
14 -- a perfectly fair commercial organization. There are
15 enough Americans, you know, who have the money -- if you
16 have the money to pay \$1000 or \$2000 for a genomic test,
17 you certainly have the money to pay \$50 or \$100 a month
18 for -- to handle advocacy issues for you. And I think
19 that that market will develop. And this is one of the
20 areas they're going to develop it for.

21 MS. DYSON: And as a good Marxist, what do you
22 think about the people who don't have the money for that?

1 MR. HOLT: I think if they need it and its cost-
2 effective, the government should pay for it.

3 MS. DYSON: If we can prove that it's cost
4 effective.

5 MR. HOLT: Well, I think, you know, at the
6 moment, this is an entirely different debate.

7 MS. DYSON: Yes.

8 MR. HOLT: In the moment, we pay for an awful
9 lot of stuff that isn't cost-effective and everybody knows
10 that, and Medicare writes the check every month. And I
11 think that should change, but that's not what we're here
12 to discuss --

13 MS. DYSON: Okay. Fair enough.

14 MR. HOLT: -- (inaudible) on that, I can give
15 you one, too.

16 MS. DYSON: You're right. Let's move on to
17 Linda Avey.

18 MS. AVEY: Thanks, Esther. And thanks everyone
19 for coming. This is a great group, it looks like. I'm
20 excited to hear your questions.

21 I come at this from a completely different
22 direction, I guess. From Rebecca having worked in the

1 research community for over 20 years and working very
2 closely with people like Eric and people who are really
3 trying to discover these genetic markers that hopefully
4 someday could lead us to personalized medicine and
5 personalized care. And it was while I was with technology
6 companies like Affymetrix and Perlegen that we kept
7 banging our head against the same wall of trying to
8 identify enough people who could be part of large-scale
9 studies so that we could make these discoveries very
10 quickly and utilize all these great tools that are being
11 developed. And it was because of that frustration that I
12 was sitting around talking with colleagues at Affymetrix
13 one day and, you know, how do we change this paradigm?
14 How do we move this beyond our current infrastructure of
15 typically NIH grants that get funded to a very few PhD's
16 typically who put in applications for them, and a lot of
17 times their budgets might get cut back so that they have
18 to cut back the number of people they enroll in their
19 studies. And it's all about statistical power, and if you
20 don't have that, you don't get to the endpoint you really
21 need.

22 So I'm really sympathetic to Rebecca's

1 situation. What I feel we're doing at 23andMe, is we're
2 really arming individuals with the information of their
3 genomes, but we're not really focusing so much on the
4 specific test. But what we're doing is giving our
5 customers information about what's coming out of the
6 research community. And as Eric demonstrated, there's
7 just a flood of data coming out right now, but it's
8 research results. It's not clinically validated yet. And
9 that's where we see what we're doing now with 23andMe is
10 providing a mechanism for taking these results and giving
11 them back to our customers but then asking them -- let
12 them be participants in a big part of this move from
13 research into the clinic and let them tell us what
14 diseases do you have? What problems are you having taking
15 drugs? Did you have a severe reaction? And once we can
16 compile all this information together, then hopefully
17 we'll get to the endpoints where people can start
18 understanding it better, understand their own genomes, and
19 then hopefully at the same time be working with the
20 medical community. It's going to take a very holistic
21 effort, as was mentioned before. We need to work together
22 as a community. No one player in this space is going to

1 make this happen. So we're very hopeful -- I myself
2 personally, I wasn't diagnosed with my WPW until I was 31.
3 I've had severe reactions to two different antibiotics, to
4 a point where I had drug-induced lupus. This has got to
5 stop happening. I don't want my kids to have to go
6 through the same problems that I've been going through all
7 through my adult life. So it's really a vision we have
8 for the future, and we're hoping that 23andMe will be a
9 platform to really gather up this information and put it
10 into the hands of the people it matters the most to.

11 MS. DYSON: Thanks. That was actually an answer
12 to the third panel, which was, what do people get
13 eventually? So let me ask you, what is it that -- because
14 you're the one on the panel who actually offers such a
15 service; what is it that people want when they sign up for
16 23andMe? Why do they do it?

17 MS. AVEY: Well, we're just starting to get
18 information back now, and the early things we heard back
19 were that they wanted more information. We started out
20 with the section of our website called the Gene Journal,
21 and this is where we do take these research results and we
22 translate them to our customers -- what does this mean?

1 What were the SNPs that were found in these genes to be
2 either an increased risk or a decreased risk for whatever
3 that phenotype is? And when they saw this, they wanted
4 more information. And so what we did is we broadened the
5 categories for what information we're reporting back with
6 a lot of caveats around that where some studies are well
7 designed, they have very large cohorts of people who are
8 enrolled, and they are replicated in other populations.
9 So those are really the -- what we call the established
10 research. But there's still a lot of information that
11 comes out in what we term preliminary research, which we
12 put these caveats around it and we have a star rating
13 system to make it very easy to understand for consumers
14 how they should be looking and viewing this information.
15 And we're now up to over 78 different Gene Journal
16 articles from 14 in November. And that's, you know, that
17 seems to be satisfying people. And we've overheard people
18 talking where they say, "Oh, that's just a one-star
19 study," I -- you know, we're already hearing that they're
20 starting to --

21 MS. DYSON: (Inaudible).

22 MS. AVEY: -- take this information in and

1 discriminate based on how we've been able to categorize it
2 for them.

3 MS. DYSON: So do you have any sense of how much
4 people use it for the medical side and how much for the,
5 like, the fun part -- your ancestry, seeing how you're
6 related to your siblings. That may change over time as
7 more people sign up, more family members, but can you talk
8 about that distinction?

9 MS. AVEY: Well, we just had a very interesting
10 story come up where a woman who was -- she also had breast
11 cancer in her 40's and she's been -- she's a very well-
12 educated, very articulate woman, and she took her
13 information back from 23andMe to her oncologist. And I
14 think she speaks to people at Memorial Sloan-Kettering and
15 a few other clinical centers, but her interest was that
16 she thought she was English, Irish, Methodist from her
17 background, but it turned out her maternal haplogroup,
18 which is information she found on the ancestry side of our
19 tools, indicated that she might have some Jewish ancestry.
20 And so she wanted to take that information back to see,
21 well, you know, I'd be interested to know, should I have
22 the BRCA test because of, you know, I might have this part

1 of my ancestry. So I think people are seeing this now all
2 in context. It's a very holistic way to look at your
3 genome, and you can't really separate out the two.

4 MS. DYSON: Yeah. Well, let's -- I want to come
5 back to that because I think narcissism is actually
6 underrated as a -- yeah. I see this happening -- I come
7 not just from the health care world, but from a more
8 general world where people are fascinated by the music
9 they like, the travels they take, their financial
10 information, and to some extent, your genome is just
11 another piece of consumer information about how
12 fascinating you are. And I think that's real, I don't
13 know -- whatever. I'd like to see if Rebecca has any
14 response to what we just said.

15 MS. FISHER: To the narcissism comment?

16 MS. DYSON: No, the other thing.

17 MS. FISHER: I'm sorry. I missed it.

18 MR. HOLT: I have a mirror for you.

19 MS. FISHER: I'm for it. You mean, how --

20 MS. DYSON: No, the other stuff --

21 MS. FISHER: -- oh, everybody's --

22 MS. DYSON: -- not just narcissism, yeah.

1 MS. FISHER: Oh, well, I wanted to say that I
2 think 23andMe's information support is really good, and
3 I've looked at it and I think it's a beautiful, beautiful
4 effort. And so I also want to just say, I think the
5 convergence thing that's going on is really a great thing,
6 and I'm very excited about it. My daughter has BRCA1
7 also, so it means a lot to us to have this information.
8 But I guess I just am still stuck on the fact that when I
9 look out there, I don't see what Matt referred to as,
10 like, an advocate. I don't see ombudsman, I don't see
11 that, and I'd like to see that.

12 MS. DYSON: Yeah. Well, I think -- I mean --
13 sorry, I'm not supposed to think, I'm the moderator. So
14 let me ask a question. If nobody's educated, does having
15 more of this information out there, and especially
16 information in the context of individuals, help people get
17 educated so that there will be more advocates in the
18 future? I mean, how otherwise can we foster this
19 education happening?

20 MS. FISHER: That is an excellent question. And
21 I think that what -- that question actually occurred to me
22 over the weekend as well in slightly different form, but

1 it's kind of something that came to Africa having 50
2 countries and 34 of them have more cell phones than
3 landlines. I mean, it's kind of, like, you know, you
4 don't have a phone book anymore but you have all this
5 connectivity. So I think what ends up happening is that
6 you have to come at it from both angles and make sure that
7 the information has an understanding under it. So it's
8 not just lots of this, but it's a deep understanding. And
9 I keep coming back to this term, legitimate complexity,
10 because people don't like that, but it's real. And if we
11 could somehow help people to understand, you know, we have
12 a star system, we have an evidence system, we have a
13 rating system. But guess what? It's harder than that.
14 And we just need to somehow get people to understand that.

15 MS. DYSON: Okay. Let me try an audience
16 question again. How many of you enjoyed studying
17 statistics? Ah, this is not a representative audience.

18 [LAUGHTER]

19 MR. HOLT: This is (inaudible).

20 MS. DYSON: How many of you found statistics
21 easier to understand in the context of sports -- baseball
22 averages, whatever? Okay. How many of you found it

1 easier to understand in the context of your own genome?

2 Okay. Leading question, but anyway, it was a try. Do you

3 --

4 MR. HOLT: (Inaudible) -- say something?

5 MS. DYSON: Yeah.

6 MR. HOLT: So there's actually a really
7 interesting comment. There's a group called the
8 Information Therapy Center in D.C., whose job it is is to
9 try to help, or to force, depending which way you look at
10 it -- the promotion of information as a therapy given at
11 the end of each clinical encounter. Same as a
12 prescription is given at the end of many clinical
13 encounters. And they had a conference last year, and they
14 actually had a group of sort of marketing people
15 explaining how you would make information about health
16 care fun and interesting. And I asked the question, which
17 is, okay, if you have to do this at a sort of fourth grade
18 reading level -- write information for health care that
19 because people find it very complex at a fourth grade
20 reading level, how is that, you know, you can do -- the
21 sports pages can have this incredibly complex information
22 about, you know, gun magazines, trucking magazines -- this

1 stuff is written at, like, a, you know, post-graduate
2 reading level and yet people get it. And part of it is
3 interest. And interest in health care, unfortunately,
4 correlates very much to, it matters to me now because I
5 have whatever condition. And part of what's going on in
6 general in health care, especially with the evolution of
7 the sort of the social networking and elsewhere, is that
8 we're seeing, you know, people helping each other through
9 that explanation when something happens that matters
10 because they typically have to make a decision.

11 I've just gone through this in my own household,
12 trying to find a surgeon who could do a particular type of
13 surgery, and there's really very little good information
14 out there. And I think it's a two-step process. One is
15 that we have to put out more and better information and
16 more and better raw data, which means that data somehow
17 has to be collected. And there's only two ways it'll be,
18 sort of, forced out of the health care system; one is by
19 regulation or one is by, sort of, consumer and payer
20 demand. And both of those have been slow, but they're
21 both coming.

22 And the second thing is that once that's out

1 there, we're going to see these advocates emerge. Now, at
2 the moment they're doing it kind of ad hoc, online,
3 unpaid. If you look at the ACOR, which I'm sure, Rebecca,
4 you've been involved in this. Which is the online
5 American Cancer Online Resources -- did I get that right?
6 Which is, you know, a million-and-a-half emails sent out
7 each month with people informing each other about cancer
8 and all different types of cancer treatment. To me, that
9 is, you know, unpaid advocacy. And what we haven't yet
10 had is the thing that we've had in financial services
11 where, you know, there's now Charles Schwab, you have
12 people you can talk to who will help make, you know, the
13 mumbo jumbo of the stock market explainable to you. And I
14 think that's going to happen, and if, you know, if the
15 health care professions don't start getting involved in
16 that in a big way, Fidelity or Charles Schwab or somebody
17 else will do it for them.

18 MS. DYSON: I just read a piece in the New York
19 Times about some minors somewhere who were suing somebody
20 for Morgan Stanley for giving them bad financial advice.

21 MR. HOLT: Look, no one's going to say that all
22 these advocates are going to get it right, or that there

1 aren't going to be self-interested, but that already
2 happens now. I mean, let's be honest about. We
3 understand there is (inaudible) practice variation in most
4 different types of medical care at the moment across the
5 U.S., if not more. And, you know, it's quite obvious that
6 there's self-interest going on there.

7 MS. DYSON: So maybe if you can go online and
8 get a second opinion that'll help?

9 MR. HOLT: That would be a very good start.

10 MS. DYSON: Okay. Linda.

11 MS. AVEY: Well, I think it's -- this is one of
12 the things that we are excited about is using the web to
13 present very complex information because you can do it in
14 layers and you can start out with, you know, kind of a
15 ranking system that gives people, kind of, the first pass
16 at the importance or the weight they should take that
17 information. But then, what we've tried to do -- and
18 we're just at the beginning of this and we're developing
19 and hopefully improving our product every month that we
20 have a new release -- but is to just build in these layers
21 where if somebody wants to get down to the SNP level, the
22 rs numbers that are part of a gene that were discovered in

1 a paper, we even give the references to all the papers,
2 it's all there. But it's just that we don't necessarily
3 want to confront everybody with it right up front, so
4 having this layering system we think is proving to be a
5 good model. And it's something that if you put out the
6 cookie crumbs for people, they will follow it to the level
7 that they're comfortable, but they don't have to at the
8 same time. So it's really, really hard what we're doing.
9 I'm sure Mari would say the same thing. And the folks
10 from deCODE, that this is highly complex information, but,
11 you know, just like the baseball statistics and everything
12 else, we think people once they get familiar with it
13 they're going to be more comfortable with the information
14 and they will start diving down deeper and deeper into it.
15 So we're actually very excited and think it's a huge
16 opportunity to educate everyone and bring up the whole
17 playing field so that we're all ready for this day when we
18 all hopefully have access to our genomes, whether it's 5
19 years from now or 20 years from now, and we can take that
20 information into our doctor and they'll know what to do
21 with that. But we can't sit and wait for that to happen.
22 If we wait for the medical community to be educated, you

1 know, the Scripps Med School is one of the first, but I
2 will be very curious to see how long it takes the other
3 med schools to step up and decide, this is really
4 important for our futures. And we, you know, we just
5 don't want to wait. And so this is one opportunity -- we
6 think 23andMe is completely optional. You -- this is
7 people signing up who are really interested in this
8 information, and it is about you. It's about you and your
9 genome, and it is narcissistic in a lot of ways, but we're
10 human beings, we're selfish creatures. That's the way we
11 operate. And we're very selfish about our families.
12 We've talked to some people that, you know, initially when
13 we were first starting the company whether or not they
14 were interested and they said, "No way. I'm healthy. I'm
15 fine." And one of those guys had a son who was diagnosed
16 with autism, and he came around full circle and said,
17 "Sign me up. Sign up everybody in my family. Anything we
18 can do, we are interested in participating." So things
19 change for people when there's a change in their health,
20 and they suddenly want more information.

21 Look at Michael J. Fox who, you know, turned his
22 whole life around and created his foundation which is

1 doing some amazing work. So we see that all the time, and
2 it's just -- it depends on where you catch someone in
3 their life.

4 MS. DYSON: So you start off as a benefactor and
5 become a beneficiary later. Let me -- I have one more
6 question right now, but then I'd like to encourage you to
7 raise your hands and the microphone people will show up.

8 So this question is kind of an essay question to
9 a yes or no -- an essay response to a yes or no question.
10 You take a person, they're slightly overweight, they don't
11 exercise enough, they don't get enough sleep, they drink
12 too much, they're your sort of typical person who knows --

13 MR. HOLT: You're kidding me.

14 [LAUGHTER]

15 MS. DYSON: -- who knows they should be behaving
16 better. So now they go online and they get the results
17 back. Maybe they don't have a higher risk, maybe they
18 have a lower risk -- do they -- how do they react? Does
19 it make it easier for them to "behave better"? Do they
20 say, "Oh, I'm at risk, I'm going to behave better," or do
21 they say, "Oh, I'm at risk, I guess I'll stop even trying"
22 if the risk was low. Can you just -- how do people

1 actually respond? And anybody - -I mean, I'm sure you
2 don't have total data, but I'd like to hear how you think
3 -- what the dynamics are.

4 MS. AVEY: Well, for us, it's still early. You
5 know, we just launched last November, and we are having a
6 user gathering Tuesday night -- tomorrow night, which
7 unfortunately, I'm going to miss. But we really do want
8 to start gathering that data. We really do want to ask
9 people, "What are you doing with this information?" and
10 hopefully we'll start learning that. And that will really
11 help us shape our tools going forward of how can we make
12 sure people are using this information properly, that
13 they're not over-using it, but that it's also informative
14 to them in ways hopefully that they can positively impact
15 their lives. But we have heard, you know, one case where
16 a guy who's in his 30's found out he had really high risk,
17 you know, the highest risk that we can see with our SNPs
18 that we have for Type 2 diabetes -- very healthy, fit,
19 great shape, and found out through his wife going in --
20 because she was pregnant to be tested for gestational
21 diabetes -- that he thought, "Oh, I'll prick my finger,
22 too." And he found out his blood sugar levels were higher

1 than hers, so for him it was a huge wake-up call that, you
2 know, he just had no idea. And then he now is watching --
3 he works at Google, so he has to really watch the free
4 food and, you know, has to be really careful about his
5 intake. So it's something that we're hoping that, you
6 know, as we can really stress the preventive measures that
7 are positive things that people can do, certainly talking
8 to their doctors about that. But, you know, like everyone
9 says, we all know all the things we're supposed to be
10 doing. But when you see that you do have a bit of an
11 increased genetic risk for something but you can do
12 something with your environment, I think that empowers
13 people even more.

14 MR. HOLT: Okay. You (inaudible) Esther,
15 because that's exactly what happened to me and that's
16 exactly what, you know, my situation when I had my genome
17 tested. And I don't know what to do because, yeah, I need
18 to go to the gym more and I need to drink less and eat
19 less, and the problem is I also have the life I have which
20 involves, you know, I'd have to make some changes and --
21 better than I was ten years ago, but -- and this is the
22 situation that most people are in. I mean, we're probably

1 in that -- what was the category from the Yankelovich, the
2 sort of "Can try harder" --

3 MS. DYSON: Yeah.

4 MR. HOLT: You know, could be better, whatever
5 it was. You know, a lot of people are like me in that
6 situation, so it's part of it. But this is part of a
7 wider -- for most people, this is part of a wider issue,
8 which is to do with, you know, general wellness, general
9 lifestyle, all kinds of things which taken massive changes
10 into behavior change, which we're very, very bad at doing
11 and there's no support to help us do that because all the
12 economic and cultural incentives are going in the wrong
13 direction in this country. So, you know, to my mind, for
14 most people, that's how the direct-to-consumer genomic
15 testing is going to be. It's going to be, yeah, it kind
16 of helps me, and maybe, you know, I did actually have --
17 you know, I did it with -- in conjunction with another
18 test where I found I had a high blood sugar rating or
19 whatever. But, you know, I've had my labs done recently
20 and I'm basically in the normal range for most things, but
21 I have some evident genomic risks. I don't -- it's hard
22 for me to say, "Okay, I should change my life," because of

1 something absolutely urgent. But I think there's another
2 category of people -- and obviously, Rebecca, (inaudible)
3 apply to you -- for whom it really does matter because it
4 really is urgent and this stuff is absolutely crucial
5 information about decisions they're making today or now.
6 And so I think you have to look at those two categories of
7 people different --

8 MS. DYSON: Right.

9 MR. HOLT: -- and then kind of assume that,
10 yeah, fat 44-year-old guys who don't get out and exercise
11 enough, you know, that's a more general problem and just
12 knowing the genome isn't going to solve that problem.

13 MS. DYSON: So the specificity of the
14 information didn't change your behavior?

15 MR. HOLT: No. Because I knew I should have
16 been exercising more and drinking less anyway.

17 MS. DYSON: Okay. I mean, I personally have
18 found I feel less embarrassed about avoiding fried foods
19 and, you know, taking the fat out --

20 MR. HOLT: So the reason you were eating fried
21 food was because, you know, you were embarrassed?

22 MS. DYSON: No. I'm less embarrassed. I still

1 -- I don't do it, but now I don't feel embarrassed about
2 taking the skin off the chicken, whatever, because I'm
3 spending so much time with health care people. Rebecca.

4 MS. FISHER: Well, like Matt was saying, when my
5 family gets sick, we really get sick. So I don't know
6 what I would think if just a casual finding came back, but
7 I think it kind of speaks to the whole phone book in
8 Africa thing, whereby, you know, the patient is going to
9 be curious. I mean, for lack of a better word, they're
10 going to be curious so they're going to agitate for more
11 information and they're going to bring that to their
12 doctors. And the doctors are going to hopefully learn so
13 that they can do their job better. And I think that's
14 actually a good thing.

15 MS. DYSON: Okay.

16 MS. FISHER: Thanks.

17 MS. DYSON: So do we have some questions here?
18 Yes? Great. Can the mic people -- if you all raise your
19 hands -- I don't know how many mics there are, I'm going
20 to try and -- Eric -- the beard over there and then the
21 guy in the aisle. And remember to follow Michael's
22 instructions. Eric has already been introduced, but --

1 DR. TOPOL: Thanks very much, Esther. I -- just
2 a few comments. I agree completely with Matt about the
3 vacuum of people to help with patient advocacy. But I
4 wanted to go on a couple point. One is in the diabetes
5 story that you ran through and that Linda mentioned. That
6 is that not only do we know about markers, but we now know
7 different pathways of diabetes and we know if some are
8 particularly sensitive to medicines that exist today that
9 can be used to prevent the diabetes. So we haven't done
10 those types of studies to use Metformin or ACE inhibitors
11 or (inaudible), so that's opened up a whole new area is to
12 finding the specific type of pathway that engenders risk
13 of Type 2 diabetes.

14 And then I wanted to ask Rebecca, because Myriad
15 was one of the early entries into this whole environment,
16 and you would think that this test which costs \$3500 or
17 \$4000, they would fess up and say, "This is not a classic
18 mutation," to have had it colored your experience of this
19 intron perhaps private mutation in your family. Was that
20 communicated? Because if it wasn't, that was really
21 unfortunate.

22 MS. FISHER: Dr., do you mean did Myriad

1 communicate that it was on an intron?

2 DR. TOPOL: Yes.

3 MS. FISHER: Yes.

4 DR. TOPOL: And that it wasn't a classic, prior

5 --

6 MS. FISHER: Yes.

7 DR. TOPOL: -- described --

8 MS. FISHER: Yeah. I probably didn't

9 communicate it very well, but what happened was that the
10 university setting was testing the mRNA. When it went to
11 Myriad, when everybody got fed up with waiting, and it
12 came back -- having paid the money -- they did disclose
13 that. And they are the ones that told us that this had
14 occurred. What's interesting there -- and I'll make this
15 very brief, but Dr. Barbara Weber is a good friend of
16 mine, and she was at Penn at the time. Her lab is the one
17 that was testing the mRNA, not the genomic DNA. She felt
18 that that was such an important aspect of the testing,
19 that had a patient outcome, she brought me back to her med
20 students for four years running to tell them story. So I
21 don't think she would mind my sharing that with you today.

22 MS. DYSON: Okay. The gentleman in the aisle

1 and then the gentleman with the beard over there. Great.

2 DR. LICINIO: Hi. I'm Julio Licinio, I'm
3 Chairman of Psychiatry at the University of Miami, and I'm
4 also editor of two journals on Molecular Psychiatry and
5 Pharmacogenomics Journal. So Molecular Psychiatry, which
6 I started 13 years ago, I was just doing the back of the
7 envelope numbers here, would probably publish, like, you
8 know, 1500 papers in these 13 years. And I go over each
9 one of them and (inaudible) the ones that are not
10 accepted, so I probably went over 5000 papers in
11 psychiatric -- most of them in psychiatric genetics, and
12 there is a lot of, like, non-replication and things come
13 now and then they're not there and the (inaudible) now is
14 this and then it's that, and the relative risk, you know,
15 is 2 percent, 10 percent, 20 percent, and varies from
16 paper to paper. Then another one doesn't find it and the
17 field just goes, and that's how we proceed because, you
18 know, there's always a new report proving or disproving
19 or, you know, non-confirming or confirming something.

20 So my question is that even though the idea is
21 very attractive, the issue of clinical validation, I find,
22 is very troublesome, at least in some fields. I know that

1 if you have the monogenetic gene if you have the, you
2 know, breast cancer or something like that, but for common
3 complex diseases, what comes out in research does not
4 necessarily apply to a real life clinic. So I'd like to
5 tell you just briefly, Linda, that I went to 23andMe to
6 the site, I am the most technologically, you know,
7 addicted person. I live in the internet, I do everything
8 virtual, I go for everything new so I filled in
9 everything, you know, pulled the (inaudible) in front. At
10 the very last moment, you know, confirm this -- I didn't
11 confirm. I quit, which was the very first time I think in
12 my life that I quit something that's technologically
13 based. And my thinking was this: my family risk is heart
14 disease, so everybody in my family has heart disease,
15 people diet in their 40's and have a little bit of some
16 atypical pain and it's a horrible heart attack and they
17 die like flies. So anyway -- so my thinking -- and
18 correct me if I'm wrong, is this: if I have a genetic
19 predisposition, I am going to become more neurotic and I
20 should lose weight and have a better life and exercise, et
21 cetera. If I don't have the risk, should I just be lazy
22 and fat? So, which I don't think I should. So I didn't

1 take the test and I dropped 14 pounds and I exercise very
2 regularly, so I actually thank you for it, you know, for
3 the service which I benefited from without being tested.

4 [LAUGHTER]

5 And then my other concern is this. Are you 100
6 percent certain, you know, mathematically, you know,
7 absolutely, you know, convinced that the data will not be
8 hacked, stolen, passed on to somebody else, or,
9 inadvertently, you know, gotten by some third-party? And
10 that was another factor for me, so I thought, you know,
11 it's not really going to change my life because for me
12 specifically, I don't have any monogenetic disease -- we
13 don't have in the family (inaudible) complex, you know, .
14 So for those, I have to do what I have to do anyway
15 whether I have the risk or not.

16 MS. AVEY: Great.

17 DR. LICINIO: And then I have a potential
18 problem with the privacy.

19 MS. DYSON: So, 100 percent? That was a
20 question.

21 MS. AVEY: Well, first of all, I mean, just the
22 -- you know, It's interesting to hear that you went

1 through the whole process and ended up not signing up.
2 And that's something that we like to hear, that people do
3 go through and they really think about it . And if you
4 decide at the end that it's not for you, then you
5 absolutely should not do it. So that's -- it's good to
6 hear that people do come to that conclusion because we do
7 say that this is not for everyone. And so one other thing
8 that's been interesting watching my father -- my -- on the
9 male side of my family, the men also die like flies. And
10 my dad is turning 79 at the end of this year, and he's
11 frankly shocked he's still alive. He thinks he's going to
12 drop dead of a heart attack every single day. So it, you
13 know, it's different for every single person. And I think
14 this is just an option that people have, who really are
15 curious and do want the information that this is -- that
16 we make this available to them. So, you know, I can't
17 argue with your decision. And if it was helpful, I'm glad
18 you went through the process, but --

19 MR. HOLT: I do think you should send him the
20 \$900 if you got fit anyway.

21 MS. AVEY: Yeah.

22 MS. DYSON: \$999.

1 MS. AVEY: Or donate it to a charitable cause.
2 But -- and then just on the security of the data, you
3 know, we put so many measures into place. And I think the
4 banking industry has done a phenomenal job of really
5 developing online tools that people have gotten
6 comfortable with. You know, when we first came out with
7 websites to buy things online I think people were very
8 afraid to spend to money, but they're, you know, "I'm
9 going to put my credit card online?" and what we notice is
10 that people question new things. But if you look back at
11 the old way of doing things, it's just as, you know, there
12 are just as many issues. If you let someone walk away
13 with your credit card in a restaurant, who knows what they
14 could go buy? So it's something that, you know, I think
15 we really are very concerned about that and we look to
16 other industries that have already played in this space
17 and have developed a lot of the technologies and we -- you
18 know, we -- that's first and foremost for us is the
19 maintaining the privacy and the security of our customers
20 data. But that said, what we're also finding is that
21 because we allow people to share certain portions of their
22 genome -- we have two different levels of sharing, either

1 more the modest and the basic versus a little bit more
2 extended sharing -- almost -- it seems like we're, you
3 know, a lot of people are opting into that. So it does
4 seem like the minute people get their genetic information
5 -- and we find this within 23andMe, that the minute a new
6 paper comes out, we're all running around the office,
7 "What do you have? Here's what I have." And people want
8 to know, you know, what do you have, what are your risks
9 for something, and it's -- I think it's going to become
10 more the common vernacular, that people are going to start
11 talking about this.

12 MS. DYSON: Yeah. Okay.

13 MR. HOLT: Can I just jump on that privacy --

14 MS. DYSON: Sure. Yeah.

15 MR. HOLT: -- thing for a second because that's
16 really important to realize, that there is a big divide
17 amongst consumers about this. And there's another company
18 which is not in the genetics space, but (inaudible)
19 patients like me --

20 MS. DYSON: Yeah.

21 MR. HOLT: -- which has -- which many of you may
22 be aware, which is the social network for people with very

1 severe chronic conditions like Parkinson's and ALS, and
2 they're very explicit there. When you sign up for this
3 site, you are going to be giving to other people in that
4 community but basically anybody can join, incredibly
5 detailed information about incredibly personal parts of
6 your life when you have that disease. And yet they've
7 found that people have found it so valuable that they're
8 sharing all kinds of (inaudible) about themselves. And it
9 comes back to the core problem, what if this data got out?
10 Because, you know, banks do get robbed, sites do get
11 hacked, data does get left around, even though, you know,
12 we know that happens -- what is the possible consequence
13 of this data getting out? And I think the main issue here
14 is most people in this country are mostly concerned about
15 their future ability to get access to health care and
16 access to health insurance. And that's a separate problem
17 which we need to fix anyway.

18 MS. DYSON: Yeah. Then I must say, I was really
19 disappointed. I -- my COBRA ran out last month, so I went
20 through the process of getting personal individual
21 insurance. And I asked these guys, "Would you like a copy
22 of genome?" And none of them wanted it.

1 MR. HOLT: None of them have a clue what to do
2 with it. They (inaudible) --

3 MS. DYSON: So --

4 MS. AVEY: On the flip side, I just want to
5 throw something else in because the -- it seems that the
6 government and the NIH's answer to, you know, being --
7 full disclosure and being transparent, is putting a lot of
8 genetic profiles on the web. And dbGaP is a place now
9 that's going to be collecting all of these bits of
10 information on many, many people. And to me, what seems
11 to get lost is who are the people whose genomes are being
12 put out on the web. And if you talk to people like Neil
13 Risch and others that are statistical geneticists, they
14 will say, "With about two SNPs and a little bit of
15 phenotypic information, I can identify that person and
16 suddenly I have their entire genome." So this answer that
17 we're putting out de-identified information -- you can't
18 de-identify --

19 MS. DYSON: Yeah.

20 MS. AVEY: -- genetic information. So, you
21 know, I think it's more important that the consumer
22 maintains the control of their information. If they want

1 to share it, it's completely up to them, but to have this
2 answer that it's going to be -- that you sign up for a
3 study, you kind of sign away your life and your genome
4 goes up on the web, we just don't know that that's a
5 viable option.

6 MS. DYSON: Over here. And then the --

7 UNKNOWN: Yeah. I'd like to respond to that.

8 MS. DYSON: -- purple shirt.

9 MR. PODOLSKY: Doug Podolsky, Consumer Reports.

10 Linda, have you found that your customers want genetic
11 counseling, and do you offer one-on-one genetic
12 counseling?

13 MS. AVEY: So, so far, again, it's really early
14 in the process. We haven't had any direct requests for
15 genetic counseling, per sé. Some of the questions have
16 come up -- interestingly, most of them have come along the
17 lines of the genealogy side, where, you know, people have
18 gotten their haplogroup assignment and are really
19 surprised by the information and they find that very
20 interesting and compelling. And they may have done
21 another service where they got a little bit -- not quite
22 the same information because our mito -- especially for

1 the mitochondrial markers, we study more than just the
2 ones in the hyper-variable regions. So sometimes people
3 get a little bit different haplogroup assignment. So, so
4 far, we have not gotten a lot of requests for genetic
5 counseling, but that said, we're wanting to work -- again,
6 we look very broadly at this. We want to do education on
7 a very broad level, and because we're compiling and
8 aggregating all this information together anyway, we might
9 as well leverage it to produce tools and to have
10 conversations with genetic counselors, physicians,
11 whomever we can have discussions with in a big way. So
12 we've had several webcasts with NSGC; anyone who's
13 interested can sign up and be part of the webcast. Now
14 that we have a demo account, people can sign up without
15 having to pay anything, and through the genomes of the
16 Mendel family, we've had some interesting comments on
17 that. Like, the Mendel family are part of your demo
18 account when you set it up and one woman wrote in saying,
19 "I'm related to the Mendel's," and she was very excited.
20 So we had to kind of explain that they're there for demo
21 purposes, and she's probably not related to them, but --
22 MS. DYSON: Maybe she is, they're real people.

1 MS. AVEY: Could be. So, you know, I think
2 having that tool available now let's people sign up, they
3 don't, you know, they can get access to our tools and see
4 all of the information that we share with our customers,
5 how it's formatted, how you're able to look across
6 different generations, compare siblings; there are so many
7 tools that we have for families that we're finding people
8 are very interested in. So it's a good question, though,
9 and we're kind of anticipating how we can work with all of
10 the different groups in the genetic counseling field.

11 MS. DYSON: And in a 23andMe survey it would be
12 really nice to ask people, have you talked to a doctor
13 beforehand? Now that you got results, will you talk to a
14 doctor? And just do some genuine data collection on that
15 point.

16 MS. AVEY: Yeah. And we've gotten quite a few
17 researchers already who want to write some grants and come
18 get funding to do some work with us, where we're happy to
19 develop those types of surveys. Exactly.

20 MR. EVANS: Yeah --

21 MS. DYSON: The purple shirt was first.

22 MR. EVANS: Right. So --

1 MS. DYSON: But you have to say who you are.

2 MR. EVANS: I'm -- yeah. My name is Jim Evans,
3 I'm a medical geneticist and I'm a naysayer.

4 [LAUGHTER]

5 I think that the --

6 MS. DYSON: Great. Nice and clear.

7 MR. EVANS: -- I think that the emphasis on mass
8 marketing the appeal of individual genomics takes our eye
9 off the real value of this type of endeavor. I think that
10 GWA studies and understanding our SNPs and the association
11 with disease has incredible potential for illuminating
12 disease, for medicine from the public health perspective,
13 for drug targets, et cetera. But I would submit that the
14 slide we saw, for example, of Dr. Topol's risk as defined
15 by 23andMe, telling him that he has gone from a 42 percent
16 to a 54 percent risk of a coronary artery -- of coronary
17 artery disease, is essentially meaningless information.
18 And if everyone embraces that information with the same
19 enthusiasm that I hear being advocated, and those
20 individuals who embrace with the same enthusiasm a
21 reduction in their risk from 54 to 42 percent, we're going
22 to have a lot of people using that as reasons to not

1 exercise, et cetera.

2 And I think this kind of effort takes the eye
3 off the ball of where the real benefit of genome-wide
4 association studies, SNPs, et cetera, are. I think that
5 before we start marketing it, perhaps we should actually
6 find out -- we've put the cart before the horse. We
7 should actually find out if people will respond in the
8 ways that are so, kind of, magically suggested, that
9 they'll exercise more, that we hear anecdotes when they
10 find out that they're at increased risk.

11 MS. DYSON: But let me ask you about myself.
12 Why don't you think I should be able to do this without --

13 MR. EVANS: Oh, I think that's fine. What I
14 think you deserve, though, is I think you deserve a clear
15 explanation and not, kind of, a marketing ploy that this
16 is useful medical information because it really has not
17 been shown to be useful medical information. It's fine if
18 you want to do it from a recreational standpoint; I'm all
19 for that if you want to spend your money that way. I
20 would argue that, again, finding out that you've gone from
21 a 42 to a 54 percent risk of heart disease is essentially
22 meaningless for you. For the population it's important;

1 for you, it's meaningless.

2 MS. DYSON: Yeah. And I don't really see anyone
3 telling me that that eight point differential is
4 significant.

5 MR. EVANS: Oh, I think that's the entire -- I
6 think that's a huge amount of the appeal that these
7 companies are banking on to get people to send them \$1000.
8 There is this real appeal to, this is going to be useful
9 medical information, and I think that it's rather
10 disingenuous to suggest that, oh, we aren't really giving
11 you anything that's medically useful. Of course you're
12 trying to maintain you're giving people medically useful
13 information. And I would just debate that there really is
14 substantial meaningful information here medically.

15 MS. DYSON: Have you read the content of these
16 sites carefully?

17 MR. EVANS: Oh, very carefully. Yes.

18 MS. DYSON: Okay. Well, we'll just have to
19 disagree, and I'll ask the guy next to you to give his
20 question.

21 MR. GUTTMACHER: Okay. (Inaudible) although I
22 do agree with everything Jim just said.

1 [LAUGHTER]

2 I think that you can, if you read the sites
3 carefully -- this is not what I actually -- if you read --

4 UNKNOWN: Speaking off microphone.

5 MR. GUTTMACHER: Oh, excuse me. I'm Alan
6 Guttmacher, Deputy Director of the National Human Genome
7 Research Institute at the NIH.

8 If you read the sites carefully, it's extremely
9 well worded. If you walk away from the sites with a
10 general impression, it may not always match exactly what
11 the wording is.

12 But what I've actually asked for the mic for is
13 just to comment on something that Linda said about Dr.
14 Risch's access to dbGaP. Of course, that is a limited
15 access database. He would have to show his -- what his
16 (inaudible) research use of it was before he was afforded
17 on that information, and he would have a users agreement
18 before he did that, which amongst other requirements,
19 would require that he said he was not going to use it try
20 to identify individuals. If he did that and the federal
21 government were of his doing that, then we would take a
22 number of steps to follow up on his misuse of such

1 information. Just to give some -- does that mean it can't
2 be done? Of course not. But it would violate research
3 ethics, et cetera, just as other violations of research
4 ethics, it would be fought up to -- with quite fully.

5 MS. AVEY: And I'm just curious if the -- when
6 the people signed up to be part of the studies, if they
7 knew that they're information would be accessible?

8 MR. GUTTMACHER: Well, if the informed consent
9 process for the studies was not appropriate for its use in
10 this way, then in fact it is not placed on dbGaP. That's
11 something we look -- we look at all of the studies which
12 apply to be listed on dbGaP, and we've rejected a number
13 because the consent was not appropriate.

14 MS. AVEY: That's great.

15 MS. DYSON: The waving hand right in the middle
16 of the room there. Thank you. No, no -- actually, yeah.
17 Right there.

18 DR. KHOURY: My name is Muin Khoury, I'm the
19 Director of the National Office of Public Health Genomics
20 at CDC, and I'm one of the naysayers according Dr. Topol's
21 slides.

22 Actually, the word naysayer is more like what

1 Rebecca was talking about, this sort of, being careful,
2 proceeding with caution type person. And want to echo a
3 little bit what Jim Evans said. And I don't have any
4 problem with people spending \$1000 or \$2500, I mean, we
5 buy a lot of useless equipment all the time anyway. But
6 in this case, genomics can really make, sort of, the next
7 10 to 20 years very exciting if we do it the right way.
8 There is a lot of discoveries being happening, and the
9 value of the information that's currently out there is not
10 there yet. And I have to echo Jim and Alan Guttmacher and
11 others, and the reason why I say that is because the --
12 from three fronts, just want to summarize briefly what I
13 said in that New England Journal of Medicine paper. We
14 don't know if the information we get from one company is
15 the same we get from another company. We don't have a
16 good handle on the oversight and, sort of, the analytic
17 performance of these essays. Because of the changing in
18 the literature, if you tell me today my risk of heart
19 disease goes from 42 percent to 51 percent, tomorrow you
20 might say the reverse based on the next paper that's
21 published.

22 More importantly, we have really no clue as to

1 whether this information provides additional value to your
2 existing risk factors for that disease. As a matter of
3 fact, from all we know, I mean, I've seen the Type 2
4 diabetes literature, the heart disease literature,
5 prostate cancer, and all these wonderful papers that Dr.
6 Topol was mentioning earlier -- if you do a good analysis
7 of the area under the curve, there is no more prediction
8 to be had for all of these diseases on top of what you
9 already know, which is your family history, your age,
10 sometimes race and ethnicity, sometimes traditional risk
11 factors. I mean, we know that from the Framingham risk
12 factor profiled for cardiovascular disease.

13 I have no problem with people spending money,
14 but people have to exercise, eat well, and do the right
15 things from a public health perspective -- work, and
16 reduce the burden of disease at the population level. And
17 whether or not your additional 1½ or 2 percent is going to
18 make or break, you know, that, has to be researched, and I
19 sort of applaud the effort to do more research to figure
20 out the impact of this information. But whether consumers
21 should pay for that while research is being done, I have a
22 problem with that because research by definition means --

1 I've been cut off.

2 [LAUGHTER]

3 Thank you.

4 MR. HOLT: We're out of time, but I'll just say
5 quickly, I mean, it seems to me that you're kind of in a
6 sensible place, which is that, yeah, it's a question of
7 who pays for this, right? Because there's a lot of stuff
8 that comes out of the health care system in general. When
9 I say stuff, I mean both diagnostic tests, procedures, and
10 who knows what, which is a very limited or debatable
11 value. And, you know, we know this from Joe Winberg's
12 (phonetic) work at Dharma (phonetic) for over the last 40
13 years. So the question is, you know, which side of the
14 line is this NIH funded research studies -- is this like
15 the rest of the world where we have private enterprise,
16 you know, using consumers or not using consumers, funding
17 research (inaudible) whoever bought, you know, many
18 information technology products. If you bought a Windows
19 product within the last 20 years you probably actually,
20 you know, are a consumer paying for research. You know,
21 it seems to me that -- it's a question of who funds this
22 and at what point does this become part of the general

1 medical mainstream? That's the question. This is going
2 to really explode when Medicare and insurance companies
3 decide that, you know, paying for one of these \$2000
4 genetic tests is going to be the way to go and it's some
5 natural thing that gets done as part of the general
6 medical procedure process. And that happens with many
7 different technologies and many different types of
8 activity in health care when their clear value has been
9 assessed. So it seems to me that's the dividing line of
10 the question, not whether or not, you know, it should be
11 paid for by consumers or private industry or NIH. It's
12 question is when does it become part of the general
13 mainstream that, you know, the whatever society it is
14 recommends that 50 or 30 or 20 or 0 years of age you get
15 this -- you get your SNPs done. And it seems to me,
16 that's the real dividing line questions because that's
17 when we're going to start spending real money and making
18 our friends here very rich or not.

19 MS. DYSON: You must have a response to this,
20 Linda.

21 MS. AVEY: Yeah. Just really quickly. I think
22 Eric wants to say something too. But I, you know, I just

1 -- I feel like we always do these research studies, and
2 I've been looking at these and working with people for
3 over 20 years who do this kind of research, and I think
4 that there's time and it's an opportunity now to do it a
5 little differently and to try something new because we've
6 been doing the same thing for a long time. And this is
7 why whenever somebody tries something new, that a lot of
8 naysayers pop up and say, you know, let's question this.
9 Which we're very open to the questions and we welcome the
10 debate because we want to do this well, we want to do it
11 right, we want this to be meaningful for people. We're
12 not just doing this to make a buck; believe me, that's not
13 our goal whatsoever. We're here to make a difference.
14 Individuals seem to want to participate. When you talk to
15 people who have been sick, who have had cancer, who feel
16 like they can now participate in something that might be
17 meaningful, that they could be -- you know, that they
18 could have an active role. And the traditional research
19 paradigm, unlike things like the Framingham study which
20 are more unusual and atypical, we don't have a real way of
21 tracking people prospectively. And being able to develop
22 a long-term relationship with them and find out, when did

1 you get the disease, when did you take the drugs, track
2 all that information in a very concise and centralized,
3 standardized way. Most epidemiologists would love that,
4 so we're -- we just want to create a mechanism to enable
5 that, and then we'll work with the researchers and the
6 experts in the field and say, "Here we are, we've got x
7 number of people in our database who are willing to share
8 information; what would you like to ask them?"

9 So it's a new twist, and we knew we were going
10 to get arrows in the back. We're still going to get
11 arrows in the back, but we're going to do it.

12 MS. DYSON: Okay. As I said, benefactor today,
13 beneficiary tomorrow. I've been asked to read as the
14 final question a question from Kenneth Offit of the
15 Memorial Sloan-Kettering Cancer Center; he's Chief of the
16 Clinical Genetic Service. And if you want to put this
17 into the record, you might. But let me just -- it's a bit
18 too long to read, I'm just going to end with the
19 conclusion which is really the conclusion question for the
20 panel.

21 Is this -- and trying -- this is your chance to
22 summarize, say something witty, you know, whatever.

1 "I would ask the panel" he says, "is this the
2 time for," and I guess this "either caution, consumer and
3 provider education, and not-for-profit marketing of
4 research data?" I'm sorry.

5 UNKNOWN: (Inaudible).

6 MS. DYSON: I'm just trying to read this thing.
7 I think, basically, it's the -- I can't really see whether
8 this means not-for-profit marketing or not-for-profit
9 marketing of research --

10 MR. HOLT: (Inaudible) -- is it a time
11 (inaudible) --

12 UNKNOWN: (Inaudible).

13 MR. HOLT: Not for profit marketing. Right.

14 MS. DYSON. Yeah. Whatever. You can answer it
15 whichever way you want, so, Rebecca.

16 MS. FISHER: Don't look at me. (Inaudible) give
17 it to Matt --

18 MS. DYSON: Should --

19 MS. FISHER: I guess I --

20 MS. DYSON: I think there's a question whether
21 it's proper to bring profits into it. And --

22 MR. HOLT: I mean, great, good luck, welcome to

1 America. I mean, what part of the health care system does
2 not have for-profit marketing in it? And that includes,
3 by the way, almost everyone in the non-profit sector of
4 the health care business. I mean, you know, great idea --
5 Memorial Sloan-Kettering. You've seen that building, I
6 mean, come on.

7 [LAUGHTER]

8 That's not how this country works. I mean, you
9 know, fantastic in other places, but, you know, and we
10 need to have naysayers, we need to have debate, we need to
11 have sort of people shining bright lights at this -- as
12 they should the rest of the health care system as to
13 exactly what's going on and where the money flows, and,
14 you know, whether it's doing good or not. Exactly. But
15 to say that people shouldn't do for-profit businesses in
16 this is ridiculous given everything else that happens in
17 health care and the rest of society.

18 MS. DYSON: Thank you. That was clear.

19 Rebecca, anything else?

20 MS. FISHER: I'm still not sure that I
21 understand the question. But, in general, I agree with
22 Matt. I think, you know, free enterprise is -- has made

1 us a really great country and we should continue with that
2 paradigm. We just need to do it carefully.

3 MS. DYSON: Thank you. Linda?

4 MS. AVEY: Yeah, I'll voice the same thing.
5 That we, you know, we really think at the end of the day
6 what will make 23andMe a successful company is having a
7 really great user interface where we make this information
8 really clear for people. We hope the costs continue to
9 drop, which we think they will. It's the -- historically,
10 if you look at the cost of genotyping over the last ten
11 years, which was shown, it's dropping tremendously. And
12 so we really think the value of this is having a lot of
13 people engaged and willing to share information. And as
14 long as they're willing to do that, we think there is a
15 way to do this. And if you try to do this in a not-for-
16 profit way, which we talked about when we first started
17 the company, can we either split out a not-for-profit side
18 of 23andMe or do something a little differently, and the
19 problem is that when you're running a not-for-profit, it's
20 really hard to hire really good engineers, it's really
21 hard to build a really strong team to build what you need
22 to get people to want to participate in the first place.

1 So you're kind of between a rock and a hard place. So we
2 felt like we can be a company that does good and does
3 well, and that's really our mission. And, you know, we're
4 going to be voicing that more and more and wanting to do
5 our own studies that hopefully we will be able to do some
6 funding and as -- hopefully we're successful. So we're
7 sensitive to that problem, but we're -- you know, we think
8 it's free enterprise; it's America.

9 MS. DYSON: Let me thank the panel for being a
10 great panel. I think we need to move forward with free
11 enterprise, free consumers -- all in the context of having
12 more panels like this so that people understand what
13 they're doing and what the implications are. Thank you
14 very much. And thank the audience.

15 [APPLAUSE]

16 DR. COWAN: Thanks to the panel. We'll have a
17 break now. we have a 15 minute break; that'll bring us
18 back at five till 3:00, please. If you can do that; I
19 know 15 minutes is short.

20 [BREAK]

21 DR. COWAN: Our second panel is going to
22 concentrate on quality standards and genetic principles.

1 Dr. Reed Tuckson is going to chair the panel. This panel
2 will be addressing -- where'd I go, lost my -- addressing
3 issues on genetics, health, and society.

4 Dr. Tuckson chaired the Secretary's Advisory
5 Committee on Genetics, Health, and Society. So without
6 expanding anymore, I'll let him take over, introduce his
7 panel, and we'll get started.

8 DR. TUCKSON: Thank you. Good, good. Good
9 afternoon. Good afternoon.

10 AUDIENCE: Good afternoon.

11 DR. TUCKSON: Now, you're all going to wake up
12 one way or the other, so we're just not having -- and if
13 we could the people in the back to come on in because I'm
14 not going to have my first panelists talk to confusion.

15 Now, we're going to change the order a little
16 bit because we decided that we wanted to. And so we can
17 do that.

18 There are two issues really before us in this
19 section. And again, just to orient you -- is the testing
20 process reliable and is the information's privacy
21 maintained? And so I'm going to break those into two
22 distinct sections. And we're going to start with this

1 question of is the testing process reliable? Well, this
2 has been, as all of you as astute observers know, a
3 fundamental issue in this field for many years. I think
4 most of you are familiar with the work of something called
5 the Secretary's Advisory Committee for Genetic Testing,
6 which was formed several years ago. And that Advisory
7 Committee's whole function was to try to get at this
8 question of the adequacy of the oversight of genetic
9 tests. And that is work that continues forward to this
10 day.

11 The question then becomes is, is it in fact true
12 that genetic tests are reliable? And one of the good
13 things that is occurring in this area to give us a better
14 sense of it and to give us greater assurances, is the new
15 Secretary Advisory Committee on Genetics, Health, and
16 Society. The audience being extremely populated by many
17 of those good people and former colleagues of mine, I see.
18 They have put forward an important report to the
19 Secretary, which is now being analyzed by the Secretary's
20 Office. I will tell you that that report does raise some
21 important issues about the adequacy and the reliability of
22 the oversight of genetic tests. In fact, I see government

1 holding up a copy of it right now. Government is in the
2 room.

3 And so the real issue is that there are issues
4 here, and so without going further into it, we have some
5 perspectives. Question related number two is, do
6 consumers really care if it's reliable? Do consumers
7 really have a position on this? Don't most people just
8 say, "Well, of course the government has taken care of all
9 of this." Don't most people say, "I don't know any
10 difference between genetic tests and all the other tests,
11 I just assume it's a holistic -- big hole -- and somebody
12 is taking care of it." So the question becomes, do
13 consumers really care if it's reliable, or do they just
14 expect it.

15 And then finally, do consumers actually perceive
16 that there is a problem? Whether they care about it or
17 not, do they think there is a problem? And if they think
18 that there is a problem, do they perceive it in a way that
19 is determinant? Does their perception of reliability
20 cause them -- or their perception of non-reliability or
21 uncertainty -- cause them to act or not act in a certain
22 way?

1 And so those are some of the questions that
2 would logically derive from our organizers asking, is the
3 testing process reliable?

4 Let me then switch to the second half of their
5 challenge to us. Is the information's privacy maintained?
6 Well, a big contextual issue here is of course whether or
7 not you will be discriminated about because of the
8 information. We are all, I think, celebratory of the GINA
9 Bill, and that was a long-fought effort by a lot of
10 people, many of whom are in this room today. And so at
11 least that starts to give us some sense as we frame this
12 conversation around protection of misuse of the
13 information. Still, is privacy maintained in fact, and is
14 it maintained in a way that is more or less stringent than
15 in other areas of medicine? We come back to this question
16 of genetic exceptionalism; is the privacy of information
17 in genetics more or less maintained than in other areas of
18 medicine. And secondly, is this an issue of concern for
19 consumers, and is their concern determinant. Do people
20 worry about this privacy of information, do we have any
21 sense that the GINA Bill has taken away the concern around
22 misuse, and now it's a question of essential, just privacy

1 for its own sake. And is that concern determinant? Does
2 it result, or will it result, for example, in an
3 unavailability of this information for coordination of
4 care and disease management resources.

5 I think most of you in the audience are aware
6 that today's health care system with chronically ill
7 people -- the health care system is organizing itself to
8 be able to use data and information to help navigate
9 people through a fragmented care delivery system, helping
10 to get people to the full array of the services -- the
11 comprehensive services that may be associated with their
12 clinical condition. Will concern for privacy cause the
13 unavailability of that information to be used for these
14 critical purposes and have an interesting inadvertent
15 result? And that being, that people with chronic disease,
16 people with complex illnesses who need lots of care
17 coordination, won't be able to get it because of people's
18 concern around privacy, thereby not making the information
19 available, and then compromising health status.

20 Will people's concern about privacy result in
21 the unavailability of this information to share with
22 family? And so what will it do to family dynamics at

1 Thanksgiving dinner? And will it mean that there will be
2 some people at dinner who will hope that certain people at
3 dinner, like the moderator, will be quiet and just not
4 talk about things. Will there be some family members who
5 will notice that other family members have gotten
6 prophylactic surgery, and thereby will have information
7 that they wished that they had not had. And what does
8 that do to the dynamics of family life?

9 And finally, will this concern cause a chilling
10 effect on public health surveillance and population-based
11 prevention and research? And so the question is,
12 ultimately, do anxieties have determinant outcomes in this
13 field?

14 Well, with that as a table setting, let me turn
15 to our speakers. Do not be distracted by the agenda on
16 your program because it's wrong. Our first speaker is
17 going to be Jeffrey Gulcher, who is the Chief Scientific
18 Officer for deCODE genetics. Not only is he here because
19 he's one of the founders of deCODE, but he's also here
20 because his colleague is stuck in Switzerland.

21 DR. GULCHER: Iceland.

22 DR. TUCKSON: Same thing.

1 [LAUGHTER]

2 DR. GULCHER: Not if you've been to Iceland,
3 sir.

4 DR. TUCKSON: A long way away is the point. So
5 we're very pleased, though, that Jeff is here. And Jeff
6 is going to really focus in a bit on this issue of
7 reliability of clinical tests. And we're very happy that
8 you are here, Dr. Jeffrey Gulcher.

9 DR. GULCHER: Thank you. I just want to point
10 out that we've spent a lot of time thinking about genetic
11 risk test because we're also a diagnostics company and we
12 make available through our reference laboratory,
13 laboratory-derived tests for genetic risk for individual
14 diseases in addition to deCODEme, which sums up those
15 diseases and adds some additional diseases that we have
16 not yet developed tests for, and offered it as an
17 individual set of tests. But when it comes to
18 reliability, it's really important to emphasize that the
19 genetic risk tests that we're all putting together are
20 risk factors, they're risk markers. They are not
21 pathoneumonic for a disease, so therefore they're not
22 really a true diagnostic from that point of view. They're

1 certainly not a determinative test either from a genetics
2 point of view because this is not like the Huntington's
3 disease gene, that if you are positive for that single
4 gene for Huntington's, you will get Huntington's no matter
5 what you do. Conversely, if you don't have a mutation in
6 that gene, you will not get Huntington's disease no matter
7 what you do. For the common diseases it's an interplay
8 between genetics and the environment, and no single gene
9 is going to determine absolutely whether or not you're
10 going to develop a heart attack or a stroke. So really
11 these tests, when we put these tests together, and in some
12 cases these are single or two-marker tests, and other
13 cases they are eight-marker tests. For example, in our
14 prostate cancer test, eight markers together define risks
15 compared to the general population of developing prostate
16 cancer, anywhere from .4-fold up to 7-fold. So for a
17 patient who has a high risk for prostate cancer, they're
18 not going to be told that you're definitely going to
19 develop prostate cancer. And for somebody who has a lower
20 risk based on a genetic profile, that patient is not going
21 to be told that you are immune from prostate cancer, and
22 therefore you should not get PSA testing, for example, as

1 a screen.

2 Just as physicians -- Dr. Topol will tell his
3 patient who has the upper quartile, quintile of LDL
4 cholesterol, he's not going to tell the patient, "You are
5 definitely going to develop a stroke or an MI," right?
6 "But we need manage that risk factor for you." And the
7 patients that he has -- or the lower quintile of LDL
8 cholesterol, he's not going to tell them, "Let's not
9 pursue any other risk factors or manage your other risk
10 factors" because the number one cause of death in patients
11 with a lower quintile of LDL cholesterol is still MI and
12 stroke. Right? So as physicians, we know how to deal
13 with risk factors, we know how to manage them low-risk or
14 high-risk; the key is to be able to put those together in
15 the context of other risk factors and use them to
16 prioritize patients to those who deserve maybe more
17 attention when it comes to earlier diagnosis of cancer, or
18 to motivate them to change their lifestyles or manage
19 those other risk factors (inaudible). If indeed the
20 information does add new information that's not already
21 being assessed, and Dr. Khoury would suggest that maybe
22 some of this information is redundant with what we're

1 already capturing today with either family history or the
2 other risk factors. And I would contend the important
3 thing to realize is these common genetic risk factors are
4 adding something much beyond family history. They do not
5 account for the vast majority of family history, these are
6 not rare variants of high effect, and if you look at paper
7 after paper, our own discoveries and others, it does not
8 capture family history. So family history alone will not
9 substitute for this genetic profiling. Conversely, 95
10 percent of prostate cancer patients do not have a family
11 history of prostate cancer -- of diagnosed prostate
12 cancer. And so you can't rely just on that. If these
13 tests are useful for those who don't have a family
14 history, it's adding -- by definition, it's adding
15 additional information -- risk information beyond family
16 history, so they're not substitute, although they can be
17 interchanged.

18 So when it comes to reliability, it's important
19 to communicate to the patient and to the physician that
20 these are not determinative. So if somebody says, "Is
21 this a reliable test? This is going to predict that I'm
22 going to have a stroke?" No, you can't say that. You can

1 only say that this is a risk ratio compared to the general
2 population risk and there are other risk factors that need
3 to be measured -- environmental risk factors and other
4 things -- and there are many genetic risk factors that we
5 do not know yet. But still this information may in
6 certain cases be useful to act upon through your
7 physician.

8 Now let's move back -- so that's reliability in
9 terms of the interpretation of the information, but then
10 there's been some suggestions by others that maybe we
11 can't measure the genotypes -- the genetic information,
12 very accurately, or that we can't really tell the FDA or
13 CMS how accurately we do measure. That's what the so-
14 called analytical validation component of a diagnostic.

15 The analytical validation for a genetic
16 test -- the reliability of that measurement of that
17 information is much easier to measure yourself or
18 determine it's accuracy yourself as a laboratory, much
19 easier to demonstrate to the FDA or CMS that you are
20 accurate because genetic information -- it's pretty cut
21 and dry, at least these single-based changes that Dr.
22 Topol mentioned. Very easy. Sequence-based -- you

1 sequence the genome -- or sequence that one little
2 location in a set of patients, which is considered by the
3 FDA the gold standard for genotyping, and match it with
4 your genotyping platform. And what's the concordance
5 rate? And the concordance rates I would guarantee for all
6 three of our companies is very, very high indeed -- 99
7 percent -- 99.9 percent plus. But it's easy to
8 demonstrate to the regulatory bodies how accurate that is,
9 and to communicate that to our patients. So when we talk
10 about reliability we can measure reliability; much more
11 reliable than demonstrating how reliable can we measure
12 CRP or other -- or even LDL cholesterol or other
13 biomarkers that fluctuate and have interfering substances
14 within the sample that you're measuring. A lot easier to
15 describe that and document that.

16 Let's move on to the clinical validity; that's
17 the second piece of CMS or FDA when it regulates a
18 diagnostic. The clinical validity -- and if you move to
19 my first slide -- I just want to summarize. The genetic
20 risk tests that we provide are very well clinically
21 validated indeed. If the definition of clinical validity
22 is that you discover them in one population and then you

1 replicate them in multiple populations. That's the
2 definition. We're not talking about clinical utility;
3 we'll get to that later. But clinical validity, does it
4 replicate, does it have the same effect in multiple
5 populations? And so for the markers that we provide,
6 these same set of markers have been replicated in multiple
7 populations. In some cases they've only been tested in
8 Caucasian populations; other cases, they've been tested in
9 other ethnic populations and been replicated, but the
10 point is, they are clinically validated in the populations
11 that are being claimed.

12 So when you sum up all of the patient
13 populations that are behind, let's say the diabetes
14 markers or the prostate cancer markers, you realize that
15 the number of patients and controls together, are in the
16 tens of thousands. In many cases, you have over 10,000
17 patients behind that. For the MI test, for example, or
18 5,000 patients versus 30,000 or 40,000 controls. So you
19 have a lot of data behind them -- larger data sets behind
20 these tests than for most FDA approved diagnostics and
21 therapeutics. So they are well validated from the
22 standpoint of replication, and then when it comes to

1 estimation of what that risk really is, we're not using
2 200 or 300 patients to estimate what is the true relative
3 risk of this particular genotype in these Caucasian
4 populations, we make use of these full tens of thousands
5 of patients to estimate that relative risk. Right? Just
6 as a clinical trial uses thousands of patients to define
7 what the relative risk reduction is due to a drug, all
8 right, but these are tens of thousands that are estimating
9 this particular risk across populations, and we think
10 that's a pretty good estimate. To have a higher precision
11 than that, we'd have to 500,000 patients or so. Right.
12 So we think that the clinical validity for many of these
13 tests is already there. And I should also mention that
14 these markers can -- you can demonstrate with these large
15 population sets that they are independent of each other,
16 meaning that they don't -- they're not synergistic or
17 redundant with each other. And so therefore you don't
18 have to come up with complicated models of how to put
19 these eight different prostate cancer markers together to
20 define the risk for that particular patient, you can first
21 convert the odds ratios that we typically report in all of
22 our publications to risk ratios -- relative risk compared

1 to the general population so that you have a standard
2 population by which the risk is compared. And then
3 because there are independent risk factors for prostate
4 cancer, you can simply multiply the genotype specific
5 risks for each of those eight markers together to define
6 the composite genetic risk compared to the general
7 population. This is what physicians have been doing for a
8 century -- multiplying independent risk factors together
9 to define composite risk. So we think that's a way in
10 which -- think it's easy for physicians to in general
11 understand how we're doing this, as long as we're
12 transparent on how we define clinical validity.

13 If you go to the next slide, this answers the so
14 what part, which is really important to have in this
15 discussion about analytical and clinical validity because
16 if this stuff -- if this information is not useful in
17 certain circumstances, then why are we even having this
18 discussion? Should we wait until another 50 different
19 genes for Type 2 diabetes have been discovered? Or is
20 this information useful today? If we had waited for the
21 assessment of HDL or some subparticle sizes for LDL --
22 should we have waited before we measured total cholesterol

1 or even LDL cholesterol, waited for the additional nuances
2 of cardiovascular risk? No. We use the information as we
3 discover it as long as it adds something new; and I would
4 contend that it does indeed add something new. The heart
5 attack variance that Dr. Topol mentioned -- we're talking
6 about a 1 -- it's a modest risk, 1.3 to 1.5, depending on
7 the age of onset of risk. But this is an independent risk
8 factor, independent of LDL cholesterol, hypertension,
9 smoking, family history -- risk factors that are routinely
10 measured but this is not routinely measured. It adds
11 something. There is a recent study that showed --
12 prospective study that showed, yes, there wasn't much of a
13 change in the AUC for cardiovascular risk, it only went
14 from 62 percent to 64 percent, not significant. But there
15 was a significant re-classification of patients between
16 the low, intermediate, and high-risk categories based on
17 ATP3 criteria, which most physicians use today.
18 Substantially -- about 15 percent of patients got
19 reclassified. So here's an example where there is
20 something you can do differently about it; you can change
21 the target level of LDL cholesterol if a patient rises to
22 a different class. Prostate cancer, eight markers that

1 define this risk that I mentioned. Breast cancer, we're
2 about ready to launch a test for breast cancer --
3 individual test. Eight markers that -- 5 percent of the
4 general population is at 2-fold risk for breast cancer
5 independent of BRCA1 and BRCA2. This is for more of the
6 late-onset breast cancer, which has a much bigger public
7 health impact than the rare form -- early-onset form of
8 breast cancer. And so it provides another way of
9 assessing risk that compliments BRCA1 and BRCA2 for the
10 different -- for the usual form of breast cancer. Type 2
11 diabetes, 10 percent of general population -- or pre-
12 diabetics, actually convert at a very high rate to Type 2
13 diabetes. Fifty to 70 percent absolute risk within three
14 to four years; this is based on the DPP and DPS study, a
15 clinical trial where the genetic markers were added.

16 And then finally I want to mention before I go
17 to the case study, atrial fibrillation, we discovered
18 markers for atrial fibrillation that we then asked the
19 question, what's the clinical utility? Applied them to a
20 series of stroke cohorts, and identified that there's a
21 large portion of patients with cryptogenic stroke that are
22 not being diagnosed with having atrial fibrillation. They

1 go in and out of atrial fibrillation. The public health
2 impact of not making the diagnosis -- proper diagnosis of
3 a fibrillated stroke is immense because anti-platelets do
4 not work very well for prevention of stroke related to AF.
5 But Warfarin does, it cuts down stroke risk by about 60 to
6 70 percent. If -- in order to use this test today in the
7 health care system today, we estimate 150,000 patients
8 would be diagnosed with atrial fibrillation related stroke
9 that are not already being diagnosed, and it could save
10 Medicare \$1 billion a year if applied in that particular
11 manner. So it can have an impact, but only if you pick
12 certain niches where there is a clinical utility that you
13 can demonstrate.

14 Next slide. So finally, I just want to give you
15 a case study, which was my own. I have a family history
16 of prostate cancer, but it's the late-onset version. My
17 father had prostate cancer when he was over 70-years-old,
18 a benign form. The AUA Guidelines would not suggest that
19 I be concerned about earlier onset prostate cancer because
20 my father had such late onset, and the guidelines suggest
21 that if you only have a family history of a father or a
22 brother over the -- of prostate cancer with onset younger

1 than 65, that you consider doing PSA testing at an earlier
2 age than normal. Normally, it's recommended that you
3 start getting PSA testing at 50; if you are at higher
4 risk, it's suggested that you get PSA testing at 40.
5 Since I'm more compulsive, I went ahead and got my PSA
6 tested anyway at 42, and I was completely below normal.
7 Then I got my deCODEme results back when we updated it
8 with the eight markers, and my relative risk was now 1.88
9 just on the basis of my genetic profile alone. Lifetime
10 risk for a white male is 16 percent, so I'm double that
11 risk. And by the way, there are no other risk factors for
12 white males when it comes to prostate cancer. There's not
13 some other identifier that can help my physician decide,
14 do I want -- should I test or not? Also, the markers
15 suggest that I had moderately increased risk for
16 aggressive versus non-aggressive prostate cancer. So the
17 high risk prompted my primary care physician to refer me
18 to a -- sorry -- the high risk prompted my primary care
19 physician to go ahead and measure my PSA. I'm only 48-
20 years-old so I normally would not have had my PSA tested
21 at this time. My PSA was high-normal at 2.5; the range is
22 from 0 to 4. Some people use different cutoffs depending

1 on additional risks, like family history. But the high
2 risk prompted my primary care physician to refer me to a
3 urologist. The high risk prompted him to recommend a
4 ultrasound-guided biopsy, which was positive for
5 intermediate grade prostate cancer with about 20 percent
6 of my prostate is filled with cancer. If I had not had
7 this information, my primary care physician probably would
8 not have ordered the PSA, he probably would not have
9 referred this normal range PSA -- high-normal range PSA to
10 a urologist for additional evaluation, and maybe my
11 urologist would not have recommended an ultrasound-guided
12 biopsy. Two weeks ago, I was scanned -- I had a bone scan
13 and I had a normal CT, so it doesn't look it has spread as
14 far as we know. And then in two weeks I'll have my
15 prostate taken out with a radical prostatectomy. But
16 here's an example where this information can indeed be
17 useful, but only in certain circumstances. We're not
18 suggesting that everybody be screened, but in certain
19 circumstances, this information can interact or work
20 together with already established guidelines.

21 DR. TUCKSON: Well, thank you very much. And
22 thanks for sharing such a comprehensive range, not only of

1 the technical but the personal, and we very much
2 appreciate that.

3 When we get to the question period, I'm going to
4 ask you some issues regarding, again, from the consumers
5 perspective, how does the consumer know that the test --
6 and your test -- do what they say they do? You've also
7 opened up the Pandora's box of the reliability and the
8 interpretation of information which we may get to. But at
9 a very fundamental level, you seem like a nice guy, deCODE
10 seems like a pretty nice company. But again, how does the
11 public know, and is there adequate oversight that says
12 that somebody is checking on you despite the fact that
13 you're such a lovely person?

14 Ryan Phelan, founder and CEO of DNA Direct,
15 would you carry this on for us?

16 MS. PHELAN: I'll try. Thank you for including
17 me here today. My company, DNA Direct, does a little bit
18 of a different service in the genome-wide arrays that
19 you've heard about here today. We actually offer services
20 that we call medical diagnostic tests -- genetic tests
21 that help people make health care and medical decisions.
22 We're not the lab; we are genetic experts, we're comprised

1 of medical geneticists that act as our medical director
2 and guide our clinical protocols, and genetic counselors
3 that interpret and provide information to consumers. I
4 started this company just over four years ago, and so we
5 actually have real on the ground experience talking with
6 consumers, patients, and providers every day.

7 And I thought what I would do is share with you
8 a little bit about what I've learned from our customers.
9 And also, I'm (inaudible) with all these things that I'm
10 thinking about in response to so many of the thoughtful
11 questions raised here today.

12 Now, I'm going to start with actually something
13 that Rebecca raised, which is, our company does BRCA
14 testing. Now again, we work with Myriad Genetics as our
15 lab, and we help people with that very important decision
16 early on, of whether or not testing is important. I'm
17 going to talk about that a little bit because to me that's
18 what is involved in, is the testing process reliable? Dr.
19 Gulcher has done a great job talking about the accuracy,
20 the clinical and analytical validity of these tests, which
21 run 99.9 percent molecular diagnostics. But it's the
22 whole process that I think consumers need greater

1 understanding and awareness of, and in a sense, should
2 actually drive for even a better quality and standards in
3 this industry. So I started the company because I knew
4 people were not getting access to some of the medical
5 genetic tests that I thought were really useful, that
6 medical guidelines were established saying people within a
7 certain protocol with -- where testing would be relevant.
8 And our company does the same kind of assessment for
9 determining who is appropriate for testing by
10 demonstrating the pros and cons of testing and helping
11 people really make an informed consent. And I believe
12 that that has to be a really important part of any testing
13 process.

14 So what I have up here on this slide is just a
15 handful of the questions that consumers raise every day.
16 And we know this both from phone calls we're getting, from
17 emails, and from where people look on the site. So
18 obviously, can I trust this test? Can I trust this
19 company? Will my results be kept private? What is your
20 privacy policy? Will this test actually help me make a
21 better health care decision? Is this test going to be
22 covered by insurance? Will this test give me peace of

1 mind? These are the kinds of questions that consumers
2 have, and that companies have to responsibly provide
3 answers to, with transparency. And I believe that where
4 our industry is going, now that there are even more
5 reputable companies, I believe, coming into the space, is
6 really trying to create some industry guidelines, sort of
7 a best practices. So our company provides full
8 transparency around our policies on our site, and I
9 believe this is going to be an increasing standard that
10 will happen.

11 You have the next slide? I also think that it's
12 important here today to talk about this field of genetic
13 testing with a little bit greater distinction. And so
14 I've done sort of a sampling of a very crude way of
15 categorizing testing. So on the very bottom, I've put
16 down diagnostic testing for very targeted genetic
17 diseases, and I've included in that as an example,
18 Huntington disease. And as Jeff mentioned, this is a
19 highly deterministic test, it's one where people who are
20 carrying the mutation will in fact at some point in their
21 life develop Huntington's disease. And what I've put on
22 the right-hand side are examples of support services that

1 I believe have to be provided in order to offer that
2 testing in a responsible manner. So on the very right-
3 hand side, it says, "in person consultation;" I'm assuming
4 in a physician's office with health care professionals
5 doing some kind of physical and mental and emotional
6 assessment of this particular patient in order to
7 determine whether or not Huntington's disease testing
8 would be relevant and useful to them. That's standard
9 clinical practice, and that's part of the medical
10 guidelines. But as we move further up the ladder of
11 genetic testing -- and where we're going today into the
12 consumer world, we're seeing predictive testing
13 (inaudible) for serious health care conditions, like BRCA.
14 And probably many of us in this room would debate whether
15 or not BRCA testing needs to be done in a physician's
16 office face-to-face. Well, the truth is, in major
17 academic centers all over this country, even they are
18 having to often utilize genetic counselors by phone. Some
19 people prefer them -- prefer the phone to a face-to-face,
20 and in addition, it can reach a much greater audience of
21 people with very limited genetic expertise. At DNA Direct
22 we do everything by phone, but we do pre and post-test

1 counseling by phone and by web. So that's an example of
2 where we're starting to see a virtual provider actually
3 filling a clinical need. And as we go up the ladder, I've
4 got genetic (inaudible) carrier, risk assessment for
5 things like Cystic Fibrosis or for pharmacogenetic
6 testing, for Warfarin, or for Tamoxifen testing. At DNA
7 Direct we do that without a phone consult, per sé, being
8 required but with physician oversight. Those are supposed
9 to be tic boxes by the way, I've got to fix that and with
10 web support. And then as we go up that ladder where you
11 see genome-wide testing, I've included genome-wide arrays,
12 like some of the companies that we've discussed here today
13 -- but also, full gene sequencing. I think that what's
14 going to happen is there's going to have to be a different
15 level of support in order to responsibly provide that
16 service. At some point, today we may say that there are,
17 you know, a handful or a dozen tests of SNPs that have
18 clear, clinical implications, but if we fast forward 18
19 months, 5 years, those tests are going to become more and
20 more predictive and they're going to have greater and
21 greater weight. And the question is, at what point does
22 that testing require physician involvement, at what point

1 should it require a genetic consult or medical advice, as
2 Rebecca was mentioning -- or a health advocate. At what
3 point are there intermediaries that help some of these
4 consumers: 1) make a decision whether or not testing is
5 going to be helpful and relevant and appropriate to them;
6 and 2) what are they going to do with the information once
7 they get that result, do they have any kind of safety net
8 of people that they can actually to?

9 DR. TUCKSON: Ryan, before you go on --

10 MS. PHELAN: Yeah.

11 DR. TUCKSON: -- before you go on, let me just
12 make sure -- because you mentioned that some of these, you
13 said, should have check --

14 MS. PHELAN: Yeah. Yeah.

15 DR. TUCKSON: Are you saying that the --

16 MS. PHELAN: Those little funny boxes on the
17 right.

18 DR. TUCKSON: So -- oh. The funny boxes on the
19 right?

20 MS. PHELAN: The -- those little --

21 DR. TUCKSON: Okay. So they're the stars?

22 MS. PHELAN: The stars were meant to be stars.

1 DR. TUCKSON: Okay.

2 MS. PHELAN: That I'm saying are condition
3 dependent.

4 UNKNOWN: They look like little windows.

5 MS. PHELAN: And the little windows are --

6 DR. TUCKSON: Those are checkboxes.

7 MS. PHELAN: -- or doors were supposed to be
8 checkboxes. Sorry about that.

9 DR. TUCKSON: Okay. Good. So it's right in the
10 handout, people have, by the way, over here.

11 MS. PHELAN: Yeah.

12 DR. TUCKSON: Good. Keep going.

13 MS. PHELAN: Okay. So this is kind of a wild
14 leap at -- with really no setup for this. But this is an
15 idea; it's called DNA Perspectives. It's a concept that
16 DNA Direct is working on, we're inviting industry-wide
17 collaboration with non-profits, with academic
18 institutions, and others to actually help consumers
19 identify whether or not a test is going to be useful,
20 responsible, and relevant to them. So this is really a
21 placeholder; we're starting this around -- just with
22 gathering information from different experts on

1 Alzheimer's testing. That would be with the APOE gene.
2 And what you see here is an expert's rating system. So
3 this would be actually provided -- this information, this
4 score, would be done by a dozen or so medical experts from
5 around the country. Their discussion regarding whether or
6 not they believe the APOE gene has scientific validity,
7 would be completely transparent to anyone who wanted to
8 look on this wiki. And we're in the process of doing
9 this. So we did a placeholder here in this mockup saying
10 the community could probably agree -- the scientific
11 community -- that APOE gene is highly correlated with the
12 scientific validity for Alzheimer's. But the predictive
13 value, I'm just -- we're giving a random 25; it's probably
14 a lot lower in predicting who will actually ultimately get
15 Alzheimer's and who will not. And hence, the clinical
16 utility with there being no known therapeutic intervention
17 for Alzheimer's, would probably be viewed by the
18 scientific and medical community very low. But I show you
19 as an example the personal utility. With a score of 75,
20 if we ask consumers -- and there have been studies called
21 the REVEAL Study that show this -- that consumers would
22 actually say, knowing my predisposition for Alzheimer's

1 disease would be highly useful to me as a consumer. And
2 what I'm trying to do here is to show that there are going
3 to be services like this, whether or not it's DNA
4 Perspectives or DNA Perspectives grows and it morphs into
5 something that could be something that the industry
6 actually comes together with, with government and non-
7 profit agencies actually really build an independent
8 ratings system. This is where we have to go because this
9 question about how do you know what one test, one company,
10 one service, one variant -- what's the real usefulness of
11 it? I think there's going to be a lot of public debate on
12 this. And I don't think we can wait and say this all has
13 to be done before anybody does any testing. The testing
14 is happening, information is happening, it's getting to
15 the consumer. But meanwhile, we need to be able to figure
16 out how can people actually start to look at what experts
17 are saying about this, and then ultimately, how can
18 consumers wade in and provide their own information, their
19 own feedback, on the usefulness of these tests and of the
20 actionability of these tests.

21 So we're going to be launching this fall with
22 literally just this one gene variant with our scientific

1 community inviting consumers to participate in this
2 discussion, and I'm really putting this out as a
3 placeholder to people here in this audience who may know
4 of other industry-wide initiatives. People have talked a
5 lot about the need for a ratings system, but I believe
6 that we need to start to make this happen and to see what
7 are the components that are really going to make a
8 difference for the end-user, who is the consumer, the
9 patient, and the provider, I think.

10 DR. TUCKSON: Well, thank you very much. I'm
11 going to come back and ask you to delve a little bit more
12 -- when we get to the question period -- around those
13 consumers that are on the phone. What are they really
14 saying to you about what level of ease or dis-ease they
15 have about this reliability business and this privacy
16 business. So just know I'm going to come back.

17 For our last presenter, Deven McGraw, is
18 Director of the Health Privacy Project, The Center for
19 Democracy and Technology. Deven.

20 MS. MCGRAW: Okay. Thank you very much. I
21 wrote down a couple of things in that during the first
22 panel that I thought were really interesting. The one was

1 from the Yankelovich survey data -- people assume medical
2 privacy. I think that's a really interesting point, and
3 I'll come back to it in a minute.

4 I think the other piece that was interesting was
5 that to the extent that we've delved at all into privacy
6 and security issues, we kind of went sort of more to
7 towards the security pieces -- the data is secure, people
8 can't hack into it or it's encrypted or whatever. And we
9 see a distinction between privacy and security, but both
10 are quite important and I'll go into that in a little bit
11 of detail, too.

12 And the other thing that I thought was so
13 interesting about the marketing presentation that we got
14 and what the different types of consumers, is just how
15 valuable data that could target marketing and advertising
16 would be. Which, if none of us had a sense about just how
17 valuable that identifiable data about what people might be
18 predisposed to get in the future or even what particular
19 conditions they have, would be to advertisers, you know,
20 there's certainly good evidence for that. So I don't
21 think anyone in this room would disagree with the
22 statement that the privacy component is very important, as

1 is the security. And the truth is is that what
2 protections we have are a bit of a mixed bag. There's
3 some better news today than there was in the past because
4 of the passage of GINA, but what so often is the case is
5 that we are either understating or overstating the amount
6 of protection that we do have. And the protections really
7 are important to think about in two ways. One is, what
8 can people do with the information? This is the privacy
9 piece. What are the permissible uses of health
10 information, whether it's genetic information or
11 information about health status? The second question is,
12 if you've got that information, to what extent can it be
13 used in ways to harm you? And this is what people tend to
14 focus on most; can it be used to discriminate against me?
15 Can it be used to hurt me in terms of getting health
16 insurance? Can it be used to hurt me in terms of
17 employment? Can my employer fire me or not give me
18 promotions? Et cetera.

19 The good news about GINA is that at least with
20 respect to health insurance and with respect to
21 employment, you can no longer use a piece of genetic
22 information for discrimination purposes in health

1 insurance and in employment. But we didn't quite finish
2 the job; we still have some work to do because, number
3 one, if you have the manifestation of the condition for
4 which you have the genetic marker, the information -- that
5 is that you've been treated for a certain condition, that
6 you have a chronic condition -- isn't in fact protected
7 under GINA, and the extent to which a health insurer can
8 use it for underwriting -- sorry, Reed -- underwriting
9 purposes or the extent to which an employer can use it if
10 they are able to obtain it for employment purposes kind of
11 depends. You know, we have the Americans with
12 Disabilities Act on the employment side; there are some
13 protections on the insurance side under HIPPA, some under
14 some state laws, but it's a very incomplete picture. So
15 while we have taken care of some things with respect to
16 genetic information, we still have the problem that Matt
17 raised, which is that the information once you actually
18 have a condition can often be used in ways to harm you.

19 Now, getting to the point about HIPPA, that
20 privacy is assumed. It's so interesting because the point
21 there, I think, is that people often assume that when they
22 are entering their health information on a website or even

1 with respect to the information that their physicians or
2 hospitals have about them, that that information can only
3 be used in certain ways. And typically people really
4 significantly underestimate the extent to which health
5 information can be lawfully used. And the point I'm
6 making more than anything is that I'm the transparency
7 point -- is for consumers to have a much better
8 understanding of what are the permissible uses of their
9 information, and not so that when they're seeking care,
10 when they're seeking to get a genetic test, they have an
11 absolutely complete understanding. And I couldn't agree
12 more with the folks who said earlier that if you've met
13 one consumer with respect their privacy concerns, you have
14 met one consumer with respect to their privacy concerns.
15 There are an awful lot of people for whom -- who are
16 willing to disclose a fair amount of information about
17 themselves in the interest of whether it's furthering
18 research, whether it's as part of a social networking
19 site, et cetera. Again, since I'm a privacy advocate, I
20 think that's nuts. But there are people who will do that,
21 but the policies about what that information even to the
22 extent that it's disclosed by those folks will and won't

1 be used is really important, and it's not just a matter of
2 what the legal regime is. I mean, how many -- you know,
3 in terms of even just looking at a privacy policy and
4 understanding what it is that the company that you're
5 entrusting your information with can and can't do with
6 your data, you know, there's lots of evidence out there
7 about how people don't tend to read them and if they read
8 them they don't understand them. I don't know when the
9 last time was that you signed up for something and, you
10 know, just scrolled through that privacy policy and
11 clicked that box at the end. I've done it myself. It's
12 not the most protective way to do this.

13 So getting to HIPPA, that is the federal law
14 that we have that governs the uses of information, the
15 privacy protections, the security pieces that need to be
16 in place for covered entities. A lot of the folks who are
17 obtaining medical information now are not currently
18 covered under HIPPA. HIPPA's coverage is pretty limited -
19 - it's hospitals, it's physicians, it's pharmacists, it's
20 labs, but it's not everyone who's now in this space to
21 protect this information, which then puts the onus on the
22 consumer to be that much more aware of what are the

1 potential uses of this information? Again, because it is
2 so valuable. I think the other thing to keep in mind with
3 HIPPA is that because genetic information by itself
4 without a link to some other piece of information isn't
5 necessarily identifiable, again, depending on its context.
6 Identifiable information is also not protected health
7 information under the law. So the bottom line being is
8 that we sort of have a patchwork of protections here, so
9 when the question comes up for consumers, you know, "Will
10 my information be kept private?" The best advice that I
11 contend to give people in this context is, "Well, that
12 depends. Who has it? Who's holding it? Is it linked to
13 other identifiable information? For example, is it part
14 of your medical record or is it part of a research study
15 where it's in a great big databank?" So I think we have
16 some work to do in terms of being able to assure people
17 that when they're getting these tests their information
18 will in fact be kept private and secure, and that to the
19 extent that there will be uses made for it to treat them
20 or to help pay for their care. These are the ways that
21 the data can be used, and these are the ways that the data
22 cannot.

1 DR. TUCKSON: Very good. Thank you for a very
2 interesting first round. As the audience starts to think
3 about what it wants to ask you about, let me -- as
4 promised, Jeff, let's go back to this issue of getting
5 beyond your competitors ex who's not as nearly as nice of
6 guy or company as yours is. How do we -- what is your
7 view as a private-sector person trying to run your
8 business and provide an important service to the American
9 people -- what is your view of the adequacy of oversight
10 that can give a consumer, your momma out there somewhere,
11 confidence that the test does what it's supposed to do?

12 DR. GULCHER: Good question. I think, you know,
13 currently the oversight for a test sold to an American or
14 whose results are given to an American is that CMS or FDA
15 have to have certified or -- they're the ones that
16 regulate laboratory derived tests or testing kits, and
17 those are already in place. What we've tried to do is
18 emphasize that we're CLIA compliant in the context of CMS
19 and FDA. And now whether or not consumers understand all
20 of that, you know, that's a different story, but we try to
21 emphasize that there is a regulation that covers
22 analytical and clinical validity with laboratory-derived

1 tests and that's the extent of it. But if the question
2 then becomes, is there a need for further oversight or
3 beyond what oversight already exists, I guess that's a
4 different question for the consumer.

5 DR. TUCKSON: Let me just ask, Sarah Carr
6 (phonetic) just remind me for my information, is the
7 report from the Secretary's Advisory Committee with its
8 recommendations to the Secretary, is that up yet online or
9 is it dependent upon waiting for the Secretary's Office?

10 MS. CARR: It's online.

11 DR. TUCKSON: It is online. So I would urge --
12 first of all, I would urge all of the private-sector
13 companies that are doing this work to review, if you
14 would, the Secretary of Health's Advisory Committee on
15 Genetics, Health, and Society -- easy to find; and look at
16 the report on the recommendations regarding the adequacy
17 of oversight. And I think that the question becomes, if
18 private-sector is convinced that there may be an issue
19 here of a few holes, that we might want to have private-
20 sector come forward and partner with public-sector to
21 hurry up and plug those holes and try to get this thing
22 done. I won't say any more as the moderator because I'll

1 start to sound like what I am, which is an advocate. But
2 I am concerned, and I believe that this needs to get dealt
3 with in an expeditious way and that the Secretary's office
4 shouldn't be down here trying to figure this thing out, I
5 think the public-sector should step up to the plate and
6 help to close that deal. Do you have a comment to make on
7 that?

8 MS. PHELAN: I do. And I think the private-
9 sector is stepping up to the plate and, to some degree,
10 trying to figure out where the regulatory environment
11 currently has left off and where the industry can try to
12 help create guidelines and, you know, best code of
13 practice and things like that. So I think you'll be
14 hearing more about that.

15 DR. TUCKSON: Good.

16 MS. PHELAN: But can I take a cut at your answer
17 on do we have enough regulation for the consumer to
18 decide?

19 DR. TUCKSON: Yes.

20 MS. PHELAN: So, right now, the unfortunate
21 thing in this industry is that these terminologies: FDA
22 oversight, FDA approved laboratory tests, CLIA -- these

1 don't mean anything to the consumer. So at DNA Direct, we
2 offer tests that are done in CLIA labs and with medical
3 guidelines established. And we put all that on the site,
4 but it doesn't stop a consumer from looking at another
5 website for a genetic test for -- I'm just going to use a
6 random thing like, you know, for baldness -- male-pattern
7 baldness -- something that may or may not have scientific
8 rigor, and looking at it and saying, "Well, my assumption
9 is this -- it's on the web, it should be regulated by the
10 government." And I think this is really what caused
11 California to actually step up with its cease and desist
12 letters that it issued to a number of companies over the
13 last two weeks. Is, you know, a question was, "Are they
14 providing these with medical oversight or are they doing
15 it in CLIA labs," but also, this big question that
16 ultimately all of these companies, all of us have to
17 demonstrate, is are the tests that are being offered
18 scientifically valid?

19 DR. TUCKSON: Well, I appreciate the point. And
20 we'll get into some -- obviously we're getting into some
21 very interesting issues here. You know, you said, "I have
22 to wonder," I was very much impressed by your slide of

1 your test case that you're going to do on Alzheimer's,
2 APOE, I thought that was pretty good. I kept wondering
3 the level of education that the consumer would have to
4 have to be able to deal with that. I mean, you're right
5 there, you've got the words, and I'm sure there is an
6 explanation of clinical utility and clinical validity --

7 MS. PHELAN: Oh, yeah. It's --

8 DR. TUCKSON: I'm still trying to think back to
9 the so-called average American. It's like, you know,
10 you've got to work your way through it, so unless you can
11 figure it out to know whether you're in a risk or not
12 risk, I mean, in some level it seems to me there ought to
13 be a common (inaudible) that says, "Hey, this is
14 legitimate." And you shouldn't as a consumer have to sort
15 of be lucky enough to be able to stumble into whether or
16 not you're in shaky ground or not.

17 But let me ask you, when the people call you all
18 -- and I'm not sure what population of people call you --
19 what are they saying? Is there anxiety on their part
20 around -- and I doubt it, but let me just ask -- you know,
21 reliability, validity, and/or privacy; what happens in
22 those conversations?

1 MS. PHELAN: All right. I think that looking at
2 the Yankelovich study, I have to say that our population
3 has always been what I refer to as the rightly worried,
4 which is not a particular category that you had, but it's
5 one we use. And these are people who have a known
6 personal or a family history of a medical problem. And to
7 answer the literacy question, it cuts across all
8 educational, social strata. And it's because they have an
9 underlying concern about a health care issue, and what
10 they do is they read up. And so, believe it when somebody
11 has a family history of cancer -- early-onset breast
12 cancer -- they are going to learn about the BRCA gene.
13 Now, you know, should they all have to wade into that
14 level of depth? No. But for those who want to, they need
15 to. And any site or service has to be able to provide
16 that.

17 When consumers are approaching testing, they do
18 it very thoughtfully. This is not a booming business of
19 people throwing down \$3000 for testing for no good reason.
20 Believe me, people think through genetic testing. They
21 think through the pros and cons, they think through the
22 privacy issues, they think through privacy even in their

1 own family. They want to know, if I test what does that
2 mean to my other family members, do I need to provide them
3 with the information around the results? I mean, these
4 things have a lot of implications. They think through
5 their insurance, what's going to happen if they have not
6 yet had cancer or known anything symptomatic, and that's
7 part of what we do and it's called counseling. It's to
8 help people really way that and think through it.

9 DR. TUCKSON: Well, thank you. I must say, I
10 was very impressed with Eric's example that he went out
11 and -- Dr. Topol -- and did his own and he looks at these
12 probabilities and -- and you've talked about probabilities
13 and you're made some decisions based on probabilities.
14 And I continue to wonder, how does the public know that
15 those probabilities are right? I mean, upon what -- who's
16 -- you're making a -- I mean, there's so much
17 subjectiveness here for a person, and at the end of the
18 day, okay, it's 1 in 6; well, who says it's 1 in 6? How
19 do I know that's right? Who are these people that are
20 saying these things? And is there any argument about --
21 is it really 1 in 7 or 1 in 8, did somebody's paper
22 disagree with Bob Smith's paper on that? How do I go back

1 and actually know that? This is fundamental. But Deven,
2 as you look at this stuff from a macro-policy point of
3 view, "If you've met one consumer," you say, "you've met
4 one consumer." How does one then suggest to the extent
5 that you would advocate for any level playing field of
6 public policy; how do you make public policy when you have
7 this range of, not only genetic variation, but personal
8 decision-making variation?

9 MS. MCGRAW: Right. Well, you know, one thing
10 is to consider that there ought to be a baseline below
11 which -- you refer to it yourself, the sort of baseline of
12 either oversight, a set of sort of ground rules that all
13 the companies in this space, the health care providers
14 have to follow. That's certainly the pattern that we've
15 got, you know, in terms of our own privacy laws in this
16 country. There's the federal baseline of HIPPA and some
17 of the states have chosen to go beyond it, and some
18 providers in fact even go voluntarily beyond it. And then
19 the ability of folks to, with all of the right information
20 and tools in hand, to be able to make decisions that are
21 sort of very individually centric and be able to say, you
22 know, for me, I'm okay with sticking my entire genetic

1 sequence on the web. I'm okay with that; I'm even okay
2 with sticking my name on the end of it. You know, you're
3 permitted to do that, but that doesn't -- even if there
4 are some -- there is some variability in terms of consumer
5 taste and concerns, it doesn't absolve us of the
6 responsibility for creating at least a set of rules below
7 which, you know, no one should fall. So --

8 DR. TUCKSON: All right. Well, the floor is
9 open, and I can't believe it, but Kevin -- Father
10 Fitzgerald is first in line. And we can only go wonderful
11 from there. And you'll be next.

12 FATHER FITZGERALD: Thank you. Kevin Fitzgerald
13 from Georgetown University and also from the Secretary's
14 Advisory Committee on Genetics, Health, and Society.
15 Question which could be for any panel, but since this
16 panel is more focused on the consumer, I thought it was
17 more appropriate here. People are talking about doing the
18 good; no one doubts that someone wants to start a company
19 to do something wrong or evil or bad. All right. So no
20 one's questioning that; the question is, how do you
21 determine the good? Who is good? Who is deciding what
22 the good is? Especially in a situation where we have such

1 problems that we see all the time, in research in
2 particular, with what we call therapeutic misconception.
3 Is that a concept familiar? This is basically, you know,
4 someone comes into a phase one trial, you go through all
5 the informed consent forms, you sit down with them, you go
6 through the entire thing, they go through the six months
7 of chemotherapy or whatever it is -- if it's oncology --
8 they come out, six months later you go back and you ask
9 them, "Why did you go through that?" And they say,
10 "Because I thought it would do me some good," in spite of
11 the fact it was a phase one trial. So what -- and this,
12 again, we heard before, you know, "This is probabilistic,
13 it's statistics." True. It is statistics, but it's not
14 baseball we're talking about. If you have a debate
15 between whether batting average is better than on-base
16 percentage is better than slugging percentage, that has
17 some significance in some part of the world. We're
18 talking about people's health, their own understanding of
19 their well-being and who they are. How do you address
20 that concern in your industry? Do you address it, and if
21 not, what are you going to do?
22

1 DR. GULCHER: Yeah. And let's (inaudible) our
2 industry, I'm not sure what you're referring to. If we
3 talk about the need, the un-medical need for risk
4 assessment, okay, that's a medicine-wide issue. Right?
5 And a demand for that, that's the basis for why all these
6 studies have been done -- the genome-wide association
7 studies have been done. That's what we're searching for
8 here, right, risk assessment. So it's not just somebody
9 creating a new industry out of -- and trying to create a
10 need that doesn't exist; there is a need. Right? As I
11 mentioned with prostate cancer, you have very limited
12 information that you can impart to a patient to help
13 decide how vigorously do you search for cancer. All
14 right. And the best treatment for prostate cancer is
15 early detection, so I would contend that actually there is
16 more of a demand from physicians and the health care
17 system for this kind of information, rather than the
18 industry sort of pushing it on to consumers or patients or
19 physicians.

20 FATHER FITZGERALD: Well, okay. But that's
21 still in a sense doesn't somehow recuse you of the
22 responsibility for addressing it.

1 DR. GULCHER: Oh, no. Yeah, okay.

2 Responsibility to make sure the information that we create
3 is reliable, and I think we described that. Is it useful?
4 That -- whether or not it's useful really is between the
5 physician and their patient, right, or a guidelines among
6 professional societies or whatever, and this information
7 feeds into those guidelines, right, because it's setting
8 an additional risk -- it's adding additional risk to other
9 things that are already being assessed, and that may
10 trigger whether or not you do something different with
11 your physician. But we're not telling patients what to do
12 with this information other than act on it only in the
13 context of a physician, right? We don't -- we offer
14 genetic counseling, but we don't pretend to think that our
15 genetic counselors are going to tell patients what to do
16 with this information. They may help try to frame what
17 risk means, but it's really the physician who can work
18 together with the patient to act on that information, just
19 as physicians act on other risk information. It's just
20 another clinical risk, there's nothing new about that.

21 DR. TUCKSON: Then the issue then ultimately --
22 and we raised it earlier, is, again, how do we educate the

1 physician to know what to make sense of it and upon what
2 database does the physician make those choices? I think
3 it gets down to, again, I think that as we get ready for
4 Muin's question, it's the notion of how much oversight
5 does there have to be with, you know, heavy-handed
6 government looking out for vulnerable people. And the
7 Lord knows that if there were ever a vulnerable people,
8 this is a case of vulnerability versus having the industry
9 (inaudible) large, sort of, say, "Okay, we're going to put
10 some best practices" -- I think you used that word, Ryan
11 in your -- you know, in terms of industry standard best
12 practices, so you don't have to have the poor Secretary of
13 Health have to come in and ride roughshot over this thing.

14 DR. KOUHRY: Just to elaborate a bit more on
15 your clinical validity and utility issues. I mean, if
16 we're looking for credible information that -- as a
17 consumer who is savvy with numbers -- I mean, I love
18 numbers, but it's, you know, I'd like to get sort of the
19 most up to date information that's credible for my own
20 health care and disease prevention. Now, the problem with
21 the existing literature right now -- and you've alluded to
22 it -- it's risk factor information. I mean, right now, we

1 have a database of about 35,000 genetic association
2 articles, and, you know, you do the meta analysis and the,
3 you know, all the GWA's, and you put them together. And
4 then you try to go from replication to a risk estimate for
5 an individual. And the three companies do it in slightly
6 different ways, and I've had reporters talk to me where
7 they took the three tests and they got three different,
8 you know, sets of advice from three companies. It's the
9 same genome; however, it depends on how you read the
10 literature and how you put the information together. I
11 mean, one company puts out lifetime risk estimates. The
12 other company puts out incidence rates over the next ten
13 years. Basically, the data that are used for the second
14 tier analysis is not from these papers, it's from existing
15 data sets, like, see registries for cancer incidents in
16 the population. And then you extrapolate from here and
17 there, and when you say your lifetime risk for Type 2
18 diabetes is 1 out of 3 as an average, that's an average
19 risk for Type 2 diabetes for a person born today, not the
20 person who is 50-years-old who might be taking your test
21 tomorrow morning. So I think playing with numbers -- this
22 is not BRCA1 or Huntington disease anymore where you

1 follow the modes of inheritance, its chromosomal dominant
2 and recessive. This uses extraneous pieces of information
3 to arrive at these clinical validity estimates, and
4 without industry wide standards -- even with the best
5 possible intention -- there's going to be severe variation
6 that is going to be translated to different sets of advice
7 from one group to another, and perhaps different courses
8 of action.

9 One more thing. Clinical utility, you told us
10 your wonderful story about prostate cancer. I mean, I
11 don't know if we replicate your story a 100 times and we
12 do a clinical trial about a situation like yours, whether
13 or not there will be clinical utility from having had your
14 genetic test done. I mean, I'm not questioning your own,
15 sort of, decision for what you're going to undergo -- and
16 that's strictly a personal decision, but there has to be
17 some clinical trials to accompany this kind of individual
18 thinking because at the end of the day somebody has to pay
19 for these procedures. And if we're going to label the
20 whole population into risk strata across thousands of data
21 points, we're all going to be at increased risk of
22 something and decreased risks for something else. So

1 unless we standardize this and collect the kind of
2 information that we're going to use for medical practice,
3 it's going to be a mess out there.

4 DR. TUCKSON: That's a very, very thoughtful
5 question. Let me ask you, Ryan, how --

6 DR. GULCHER: Are we allowed to respond to it?

7 DR. TUCKSON: Go ahead. Okay. Sorry. We got a
8 couple minutes. We go -- by the way, I was given leeway
9 since we started late, we get to go until 10 after, so,
10 but Ryan let me just -- and before we get to you. How
11 freaked out are your counselors by the first part of
12 Muin's question and saying -- I mean, do you feel like
13 when your folk are sitting there on the phone doing this
14 counseling interaction, that you're sometimes sitting on
15 what could or could not be a shaky database around which
16 you are giving this kind of advice.

17 MS. PHELAN: No, not at all. But that is
18 because we're not in a shaky territory. We're not doing
19 genome-wide arrays across the board, so I'm really not the
20 one to answer your question. But I do have an opinion
21 about the difference between clinical utility and personal
22 utility, and you saw that up in my slide. I think that

1 personal utility is something that we're all going to have
2 to wrestle with here. As all of this testing is coming
3 aboard, people like Jeff -- if I can just use you an
4 example as a consumer for a minute -- are going to find
5 value with some of this information, that they may make
6 health care choices with. It may be very different than
7 what would be reviewed as clinical utility down the road,
8 and I think that is something that -- you know, that is
9 going to be a tension that we have right now because it's
10 going to take a long time for some of these new
11 technologies to actually get all of the way through to
12 where there's proof of clinical utility. And so it's not
13 what I do.

14 DR. TUCKSON: Great. Thanks. Jeff.

15 DR. GULCHER: Yep. Well, first of all, the last
16 statement you made that, oh, if we do deCODEme or 23andMe
17 or Navigenics, we're going to find out that, oh, I'm at
18 higher risk for some things and I'm a lower risk for other
19 things. Well, that's the nature of the beast, right?
20 That's the whole point, right? You have -- we have
21 differences in risk, right? Some of us will be at higher
22 risk for cancer, other people will be risk for

1 cardiovascular disease, et cetera. And isn't it better to
2 know that, understand that risk early on so that you have
3 the opportunity of either preventing those diseases, or
4 maybe you're more highly motivated to finally quit your
5 drinking and excess eating. Okay. Or you can do
6 something about it with management with your physician, or
7 early detection in the context of cancer. So that's the
8 whole point. The question is, is that -- is the magnitude
9 of this risk high enough to act on, does it save money in
10 the health care system overall, does one need to do a 15
11 to 20 year outcome study, right, those studies don't
12 exist. Same thing for prostate cancer; there is no such
13 thing as a long-term outcome study for prostate cancer,
14 right? But yet, there are guidelines that suggest once
15 you achieve a certain risk -- 20 percent lifetime risk for
16 breast cancer, then you should have -- and I think a lot
17 of companies like Reed's pay for extra attention, extra
18 MRI screening in addition to the usual mammography for
19 breast cancer. But you have to reach a certain risk,
20 right, before that happens, and that risk is dependent on
21 various things, which can also include validated markers
22 for genetic risk that can put you up to that threshold.

1 And then you fit into the established guidelines that say,
2 once a woman achieves a certain five-year risk or lifetime
3 risk, these are what the recommendations are.

4 DR. TUCKSON: Well, this is fascinating, and I
5 think that Muin's ending point was, at the end of the day,
6 somebody's got to pay for these assumptions. And so, does
7 CMS, with all of its active budget problems right this
8 second, does CMS actually start to say, okay, if you have
9 this kind of a mathematics that you put on your slide --
10 something like it -- and I don't want to make it personal
11 to you, but just say you put mathematics up there that
12 come up with a number, at what point should the public
13 insurance reimburse that prostatectomy, and how do you,
14 sort of, make those decisions as a society struggling with
15 some real choices. So I think your answer was responsive,
16 and I think Muin's ending thought was also very important.

17 As we get to these last couple questions, Deven,
18 I just want to make sure that I ask you real quick,
19 though, one thing I was going to make sure we get at, and
20 that is -- I'm -- so I'm going to flip this whole thing
21 around. Where everything here has been cautious and
22 conservative, and at the end of the day, how do you -- as

1 somebody who I think is an advocate for caution -- can we
2 pile on so much caution that we just stifle this whole
3 dangone (phonetic) thing and we don't wind up with diddly
4 squat?

5 MS. MCGRAW: Well, I certainly hope not. I like
6 to label myself as the privacy advocate who, like was said
7 in the very beginning, I don't believe in using the word
8 balance because I think you can have privacy protections
9 and advanced medicine through increased knowledge and
10 grabbing on to the most promising information that's out
11 there, whether it's genetic testing or what it might be.
12 But you have to really focus on both because without
13 consumer trust in either the testing enterprise or the use
14 of the information, we really won't be able to move this
15 forward in ways that we want to. And too often, the
16 balance question means that, well, we won't -- you know,
17 we have enough privacy and security and we need to --

18 DR. TUCKSON: Diddly squat, by the way, is a
19 highly technical concept.

20 MS. MCGRAW: It is.

21

22

1 MS. AVEY: I just thought I would comment on --
2 I don't know if this is on -- but Muin's point. We take
3 that --

4 DR. TUCKSON: Right. Would you tell us your
5 name?

6 MS. AVEY: I'm sorry. Linda Avey with 23andMe.
7 And the comment about a person getting the testing done
8 with the three companies and getting some differential
9 data back, we fully admit that that is the case. And in
10 fact, Mari Baker and Ryan Phelan and Jeffery Gulcher and
11 I, along with the Personalized Medicine Coalition had a
12 breakfast this morning that -- and this was really started
13 by Navigenics -- they realize the importance of all of us
14 working together in this new nascent industry, that we
15 need to develop standards. So that is something that
16 we're working on. We're really excited to have the PMC
17 take the charge on this because they're a neutral body and
18 they can bring in some of the other stakeholders in this
19 space who really want to have a voice in how we set up
20 these standards. But we do realize that that is a problem
21 right now, and that's why we need to work together,
22 because we do have to make certain assumptions. Do we

1 look at lifetime risk, do we look at risk over ten years?
2 Those are assumptions that we can all come together as a
3 community and decide what is the best way to do this, and
4 then we will conduct it that way. So I just wanted to
5 make that point.

6 DR. TUCKSON: Well, I think that should be
7 applauded. And I would just say, ya'll better really
8 start moving fast.

9 [LAUGHTER]

10 Because it's so necessary. And that's
11 responsible behavior, but ya'll got a whole big gap to
12 hurry up and close or else somebody else is going to try
13 to close it for you.

14 Last comment.

15 MS. JOHANSEN: Katie (phonetic) Johansen from
16 the American Medical Association. Two quick questions --
17 one for Jeffrey. I'm curious about what the reaction of
18 your primary care physician was when you brought in your
19 deCODE results, and whether you think that that is -- was
20 a general reaction or whether that was specialized because
21 you obviously were an employee of deCODE. And then the
22 next question is for Ryan, and maybe it's more of a

1 comment, but I question the appropriateness of having on
2 your DNA prospective sheet, the last question about
3 personal utility because I think by including that
4 question about, you know, would this information be
5 helpful to you, with a test that has very low predictive
6 value and low clinical validity, I think that question
7 implies that that test is going to give you the answer to
8 that question when really the low predictive value and the
9 low clinical validity just don't add up for that test.

10 DR. TUCKSON: Those are good. First and then
11 second. Good.

12 DR. GULCHER: Yeah. So you would say I was
13 stacking the deck on my primary care physician, I guess.
14 Although the -- when it comes to -- and he was very of
15 course intrigued by the reports that I brought him. But
16 the urologist, I think, is the more interesting -- how his
17 behavior changed -- that normally, somebody with a PSA of
18 2.5 in my age range, he would not have acted on, and he
19 was more interested in the genetic profiling as being the
20 determinative of whether or not he would biopsy or not.
21 But I should mention, there was a preventive cardiologist
22 that had a patient brought in a PSA of 3, who was 55-

1 years-old and dint have any other risk factors, and he had
2 ordered deCODEme for the patient in the context of
3 cardiovascular profiling, and then the patient had higher
4 risk for prostate cancer. And he was just biopsied last
5 week and had even more cancer in his prostate than I had.
6 But when it comes to, you know, this type of information,
7 how do we educate physicians, or inform them at least of
8 this, we try to encapsulate what the information is. We
9 try to document the clinical validity, okay, with all of
10 the different articles. And we're not talking about the
11 35,000 different genetic association articles that Muin
12 was talking about. We're talking about, this is a
13 different era, which I think Dr. Topol addressed. We're
14 now talking about markers that do indeed replicate; we're
15 not talking about the articles that end up somehow on
16 molecular psychiatry that don't necessarily replicate,
17 right? We're talking about articles that get published in
18 peer-reviewed journals like New England Journal and Nature
19 Genetics where the standard now is much higher,
20 admittedly, than even two or three years ago --

21 DR. TUCKSON: Jeff, one just -- just -- would
22 your -- based on your guesstimate on your conversation

1 with your urologist, what would he or she have said if the
2 biopsy had a 1 percent of --

3 DR. GULCHER: Right. Or was low-grade?

4 DR. TUCKSON: Would you think that he or she
5 would have changed her advice to you?

6 DR. GULCHER: Oh, absolutely. If it were a low-
7 grade tumor or there was no tumor, then, of course he
8 wouldn't have recommended a prostatectomy. Because it was
9 intermediate-grade and had, you know, 15 percent in my
10 prostate --

11 DR. TUCKSON: Okay.

12 DR. GULCHER: -- that by itself, you know,
13 indicates --

14 DR. TUCKSON: Okay. Last half, and then we're
15 closing off.

16 MS. PHELAN: I'm going to partly answer his
17 question about what do physicians do with this
18 information. We do outcome studies -- not a study, but
19 outcome research on our customers. What do they do with
20 medical information that they get from DNA Direct? The
21 vast majority share it with their physician, no surprise
22 with the Yankelovich document. And when asked, did the

1 physician find it of help? Very high -- 80 percent
2 satisfaction. And did they use it to make a better health
3 care choice? Very high numbers. So these are people who
4 take that information to their doctor and use it for
5 health care decision-making. And yes, the personal
6 utility is a little confusing up in that one, but again,
7 it was a placeholder so we'll work on that one.

8 DR. TUCKSON: Thank you. And would you give our
9 good panel a round of applause?

10 [APPLAUSE]

11 DR. COWAN: If I could get your attention,
12 please. It's been a long day and we're kind of getting
13 tired, but we're down to the last lap and we want to get
14 everybody out of here on time and get through the program.
15 We will wrap this up at 5:30. I know people have
16 airplanes and transportation arrangements, so we will not
17 let this drag on. But if you could help me by taking your
18 seats so we can get started with the last panel I would
19 rally appreciate it.

20 There will be some overlap here; we've gone over
21 many of these issues with the other panels, and certainly
22 with the questions and answers. But as I said at the

1 beginning, some things are simply worth redunding.

2 This is a panel on what's available now and
3 what's available in the future. It'll be chaired by Nancy
4 Johnson, who is currently a Senior Public Policy Advisor
5 at Baker Donelson. Her background is 24 years in Congress
6 -- I heard a Congressman say one time that being in
7 Congress working is like dog years, so one year seems to
8 last as long as seven. So I don't know what the math is
9 on 24 years, but congratulations on such a wonderful
10 career and thanks for being here. She's had a long-term
11 interest in health care, being a sponsor and supporter for
12 things like mental health, (inaudible) legislation,
13 Patients' Bill of Rights, and my personal favorite,
14 Taxpayer Bill of Rights; has had many awards to include
15 the National Patient Advocate Foundation and as with the
16 other panels, she will introduce the other panelists and
17 we will go ahead and get started.

18 MS. JOHNSON: Thank you. We are the last. And
19 as we start -- but -- the questions have been wonderful,
20 the presentations have been wonderful; I certainly have
21 gotten a lot out of my afternoon. And I want to put this
22 last discussion -- well, in fact, the whole afternoon's

1 discussion in a slightly different context.

2 If we are to continue to see breakthroughs in
3 medical science, if we are to speed the delivery of those
4 breakthroughs to patients, if we are to provide access to
5 affordable health care to all Americans, then we must
6 abandon our illness treatment model of health care.

7 Furthermore, if we are to afford the kind of health that
8 science and universal coverage will provide for this
9 nation, we absolutely must abandon our illness treatment
10 model of health care. Over the course of recent years --
11 recent decades, I guess I would say, we've learned to keep
12 a lot of people alive. And we have fundamentally altered
13 the kind of care that most people need. And in so doing,
14 we have created what I call the 80/20 problem. Some
15 people say it's not quite 80/20 it's 75/25, whatever. The
16 bottom line is that whether it's the public system or the
17 private system, 75 to 80 percent of our dollars go to 20
18 to 25 percent of the people. And that's because we are
19 trying to manage people with multiple chronic illnesses
20 only after they get sick enough to go to the doctor -- in
21 other words, with an illness treatment model. So the good
22 news is that in a reformed -- in a health and wellness

1 centered model, patients have to be more active. You
2 cannot manage someone's chronic disease if they do not
3 want you to manage that chronic disease because you can't
4 take their medicine for them. So it's very different --
5 very simple, but it's absolutely going to be a
6 dramatically different system from the point of view of
7 the patient. They will have to be far more involved in
8 their health than they are now in their health care. So
9 that's the good news. The bad news is that being involved
10 in your health care isn't always easy. And furthermore,
11 we have never involved patients much in their health care;
12 we have told them what was wrong and what they needed to
13 do to get better. You cannot do that in a health and
14 wellness system, so I see this conversation about how do
15 we talk to people about genetic issues as part of this
16 whole larger issue of how are we going to talk to
17 ourselves about a patient-centered health care system in
18 which, truly, the patient is a part of the care delivery
19 system.

20 One of the things I worked the most on was the
21 development of chronic disease management demonstrations.
22 And the hardest thing was how do you get this into a fee-

1 for-service system. And when you look at what's happened,
2 you see all of those systems, and that's why the call-in
3 system, the telephone advising system that we've heard
4 something about is something we actually know about. But
5 we also know that you have to change the way you talk to
6 patients and you have to constantly change the way you
7 talk to patients because patients are experiencing
8 different things as they manage their own diseases. So
9 this issue of, how do we talk to ourselves and what are
10 the implications, is something that's extraordinarily
11 important to us not just in terms of how are we going to
12 integrate genetic medicine into a more holistic health-
13 oriented care delivery system, but because in that area
14 almost more than any other area, honesty is hard,
15 transparency is difficult, but if your communication isn't
16 both honest and transparent, we will not be able to
17 generate the quality health system that we have the
18 science and technology to enjoy.

19 So I consider this an extremely important
20 discussion that we're having here today, and I'm delighted
21 with the people that we have in this panel, as we have had
22 excellent people all day long. There are different

1 characteristics to these conversations; when I talk to
2 doctors who do pharmacogenetic testing for patients that
3 have mental illness or some other things where there's
4 quite a specific relationship between the testing and the
5 medical treatment, you certainly get one kind of response.
6 But in the larger arena, how do we make sure that what we
7 begin to -- how we begin to talk to ourselves and how we
8 begin to handle this new knowledge in that communication
9 does indeed deepen not only the health knowledge of those
10 who take the tests, but the health knowledge of the
11 general population. And how do we deepen their ability to
12 judge value from the kinds of information that they are
13 going to get in the future, whether it's about how to
14 manage their diabetes or in this rather more complex but
15 very important area. I mean, what is the relationship
16 between genetic testing and diabetes? And if it comes to
17 where there's a pretty good relationship, how does
18 government foster that? How does the private sector
19 react? What are we doing to motivate? So it's really a
20 big and important conversation, and I'm delighted with the
21 kind of people that are going to do the big talking here.
22 But we'll start with Ronni Sandroff who is Director of

1 Health and Family for Consumer Reports and responsible for
2 the health coverage across media products, including
3 Consumer Reports magazine, Consumer Reports on Health's
4 monthly newspaper, CR TV, and the new health website,
5 consumerreportshealth.org. Thank you very much, Sandy
6 (sic), for being here with us.

7 MS. SANDROFF: Oh, thank you. I'm so happy to
8 be here. It's been a very interesting meeting so far.

9 Someone asked me in the ladies room, why is
10 Consumer Reports here? And I've been health editor there
11 for nine years; we've covered health for 70 years, but we
12 are best known for the car ratings.

13 So one of the things we've been doing for the
14 last few years is trying to apply some of the methods we
15 use for ratings and recommendations of products to rate
16 more health care products and even to get into rating
17 treatments, drugs, hospitals, and so on. It's not the
18 same thing at all, but I think what is the same is our
19 ability to communicate to consumers the relative value of
20 various products, and in some way, that's kind of, you
21 know, dealing with relative risk is one of the hardest
22 concepts, but that is what we do all the time.

1 So how do we evaluate health care service? We
2 look at the research and we look to you for good summaries
3 of the research; we don't do it ourselves. But we're also
4 aware of something I call flopability. We do an article
5 every couple of years on overturned health truths, and we
6 never have trouble finding material. So we've done
7 estrogen, antibiotics for ear infections, I could go on
8 and on. So I'm concerned about that -- the genetic test
9 results that you get today, what will they mean in five
10 years or in five months? I also am concerned as the many
11 panelists have raised on the usefulness of the results,
12 both medical -- and I do think there is personal
13 usefulness if there's good predictive value, but perhaps
14 not that much you can do about the disease right now. I
15 think it's up to the individual to decide what they might
16 do with that information.

17 But the thing that really attracted me to this
18 meeting was the prediction from the organizers that there
19 was going to be an explosion in direct-to-consumer
20 advertising for genetic testing over the next few years.
21 And we are very concerned about the power of dtc ads. I
22 mean, we've seen in the pharmaceutical area over the last

1 ten years, perhaps some good education coming from all the
2 pharma ads, but also maybe a waste of medical time. I
3 mean, how many times a day are you told to ask your doctor
4 about something that might not really be your most
5 important issue? And very much concerned about the over-
6 prescription of some new drugs because of the advertising.

7 I'd also like to support a point that Ryan made
8 earlier. People will assume when they hear these ads that
9 they're on the up and up; they will assume that the
10 results are valid, that the government has kind of taken
11 care of it. I mean, we've surveyed -- and many people
12 have surveyed consumers about the use of dietary
13 supplements, and an amazing amount of people assume that
14 the FDA has tested them for safety and efficacy. After
15 all, they allow them on the shelves; they allow them to be
16 advertised on TV. So I think that that's an area that we
17 will be watching. One of the great things about working
18 for consumer Reports is that we don't take ads, which
19 means we can criticize your ads. So we'll be watching
20 that.

21 But what I was asked to do for this panel was to
22 -- as we're looking to the future, to come up with some

1 cases. And we kind of do this in publishing; we try to
2 imagine the consumer. So I've imagined two consumers who
3 in -- maybe two -- maybe not now, but in two years, three
4 years, might be attracted by direct-to-consumer ads to get
5 their genomes tested.

6 My first victim here is Adam (phonetic), 42, and
7 he's a bit of health nut. He wants the best of
8 everything. Both his parents are overweight, they both
9 have diabetes, they both have heart problems, and they're
10 on multiple medications. And he's already exercising and
11 dieting and doing everything. But he's gotten a promise
12 that he's going to get customized advice about how to
13 lower his risk. So my question to the rest of the
14 panelists is, you know, will that promise be fulfilled?
15 Is it worth his \$1000?

16 And then let's go out a few more years into the
17 future and think about Jack and Jill, and they're both 32
18 and they've just become engaged. She has a brother with
19 autism, he has a family history of Type 1 diabetes,
20 although he doesn't have it himself, and they've decided
21 to have their whole genomes tested and scoured for risks.
22 So will a genetic test give them useful information about

1 whether to have children? If they decide to adopt, will
2 those adopted children be screened for genetic risks? I'm
3 not sure, but I'm very interested.

4 MS. JOHNSON. Thank you very much. Our next
5 panelist is Angela Trepanier; she is a certified genetic
6 counselor and has led the development of genetic
7 counseling at at least two universities and is currently
8 the President of the National Society of Genetic
9 Counselors, responsible for leading that association and
10 being its chief spokesman. It's a pleasure to have you
11 here today, Angela.

12 MS. TREPANIER: Thank you. So I'm not going to
13 answer your questions, but present my own cases for
14 consideration because the points that I wanted to make is
15 that for personalized medicine really to have promise, you
16 have to have a personalize approach based on the patient.

17 So I'm going to start with two patients: Alice
18 (phonetic) and Mary (phonetic), both of whom had a father
19 who was diagnosed with diabetes in their 40's. With
20 Alice's case, her father was diagnosed after a routine
21 physical exam revealed that he had an elevated blood
22 glucose. He had the appropriate follow-up testing and was

1 found to have the disease, and then managed his condition
2 through exercise, diet, and medication. So he got that
3 information about his health through routine health care
4 and was proactive about the way he managed it. And so the
5 message is that Alice got from her father is that diabetes
6 is manageable, you just have to do some things, but these
7 are very reasonable things to do to prevent complications
8 from the disease.

9 Mary, on the other hand, her father was
10 diagnosed with diabetes after being hospitalized with
11 severe elevated glucose and ketoacidosis, and he almost
12 died at the time of hospitalization. She was 7-years-old
13 at the time and remembers that critical event very well.
14 Her family is Italian; their diet consisted of pastas,
15 meat sauces, not things consistent with a good diet for a
16 diabetic. And her father was obese and didn't comply with
17 diet or exercise regimen, but did take his medication.
18 But he unfortunately died at the age of 65 from congestive
19 heart failure, basically, complications of diabetes.

20 So if you take these same two women who at face
21 value have exactly the same family history in terms of at
22 least the person affected, their needs are going to be

1 very, very different. Alice, I know -- now know that you
2 can classify her as one of these "lead the way" people
3 because her family taught her that if you have a condition
4 or a risk, you manage it aggressively and it's easy to do
5 that. She's already dieting, she's exercising, and she
6 might present for genetic testing and genetic counseling
7 because she wants to know what else she can do. She's
8 already scoured the internet, she's found out about the
9 genomic testing that's available. We'll assume this is
10 three or four or five -- I'm not sure how many years down
11 the road -- when we know that what the value of the
12 information is when it's coming from one of these tests.
13 And not only does she want to know what her risk is,
14 because she sort of already thinks she's at increased
15 risk, she wants to know if there's something else she can
16 do. She's happy to diet, she's happy to exercise, but she
17 wants to take it to the next level.

18 Mary, on the other hand, is referred for genetic
19 testing and counseling because her primary care physician
20 is frustrated. She's overweight, she doesn't exercise --
21 for years he's been telling her that those are risk
22 factors for the disease that her father died from, but she

1 won't budge. So he's sending her off to genetics to get
2 information and hopes that that will trigger some change
3 in her behavior.

4 And so the approach that you need to take with
5 these two women or two men or whoever it is, is going to
6 be completely different. With Alice, who is very
7 proactive and has done the research, she is coming in
8 potentially for information and she wants to be proactive.

9 With Mary, if you don't deal with the anxiety
10 that she has about the diabetes in the family and figure
11 out why it is -- with the assistance of other health care
12 providers, that she won't comply with diet and exercise
13 and what the issues are that prevent her from doing that,
14 then giving her a genetic test may not provide any benefit
15 whatsoever. And so the important message here is that
16 it's not enough just to have a genomic or a genetic test,
17 you really have to take into consideration the person
18 presenting in front of you and what their concerns are and
19 what they're capable of doing. And then you also have to
20 -- for both women -- present the information that you're
21 providing in a way that's conducive to how they learn
22 information. So we know from genetic counseling that some

1 people are visual learners, some people are oral learners,
2 some people want face-to-face consultations, some people
3 want to do telephone counseling, some people want internet
4 resources, some people want written materials. All of
5 those things have to be available if you want to provide
6 good information to all the people who might potentially
7 benefit from genetic testing and counseling.

8 You also want to make sure that -- here in this
9 example I have you in the example of two people who have a
10 family history, so they have a context for the disease for
11 which they might be at increased risk -- there are going
12 to be a lot of genetic tests, like we've already heard,
13 where there's no family history and all of the sudden
14 somebody's told -- assuming that it's credible information
15 -- that they have an increased risk for something that
16 they have no experience with. And they're going to need
17 something more than your word to make them believe that
18 that information is valid information.

19 So what we need to do now in getting ready for
20 good genetic testing, is start to educate people about the
21 broader applications of genomic testing. It's not just
22 about these single gene disorders that other people have,

1 it's about chronic diseases that any of us can get, and we
2 need to start including that information in our health
3 classes and also in our textbooks, and then also -- and
4 most important -- we need to make sure that all health
5 care providers are educated about the availability and the
6 validity and the credibility of genomic tests. Because
7 coming and getting a test result and just meeting with a
8 genetic counselor who tells you this is what you need to
9 do, is meaningless if the rest of the health care team
10 that needs to be there to help that person act on that
11 information doesn't give them the same information and
12 validate what they've heard.

13 MS. JOHNSON: Thank you very much, Angela. You
14 can certainly see through her comments how the system has
15 to change -- how profoundly it has to change.

16 Katherine Johansen is the Senior Scientist at
17 the American Medical Association's Program in Genetics and
18 Molecular Medicine. Before joining the AMA, her main
19 focus was laboratory research on molecular cell and
20 developmental biology projects. At the AMA, she leads the
21 development of physician education programs on medical
22 genetics, including pharmacogenetics, the genetic basis

1 for Warfarin dosing, the genetics of common disorders, and
2 the translation of genetic technology into the clinical
3 setting.

4 Thanks, Kathy.

5 MS. JOHANSEN: Thank you. So, like Nancy said,
6 our main focus at the AMA and the Program on Genetics and
7 Molecular Medicine is to provide educational resources and
8 support to physicians as they integrate new genetic
9 technologies into clinical practice. And so because this
10 area at this dtc genetic testing area has really exploded
11 in the last few years, it is an area that has become an
12 area of interest and concern even for the AMA.

13 So recently the Board of Trustees of the AMA
14 decided to study this in a bit more detail and recently
15 set forth policy on what the AMA feels should be the next
16 few steps in dealing with direct-to-consumer genetic
17 testing.

18 So in 2004, which is when our old policy was
19 established, the AMA House of Delegates which is the
20 policy setting chamber of the AMA, really just decided to
21 generally oppose direct-to-consumer genetic testing. And
22 one can imagine that there were many reasons for that and

1 probably one of them is something that we heard a bit
2 earlier, which is that there's kind of an old fashioned
3 view that physicians want to be the sole source of health
4 information and don't want to give that up. And that is
5 certainly possible that that was the reason that our old
6 policy existed, but I think that physicians now are
7 realizing that that is just not going to be effective.
8 It's not going to be effective to just blanketly oppose
9 direct-to-consumer genetic testing because it's here and
10 it's something that needs to be dealt with. So at our
11 recent policy-making meeting in June, a new policy was
12 adopted which really still encourages patients or
13 consumers to come to their physicians with questions, but
14 doesn't blanketly oppose direct-to-consumer genetic
15 testing anymore.

16 So instead, like I said, the AMA encourages
17 consumers with questions to come to their physician. And
18 it also addresses advertising, which is something we
19 haven't really talked about a lot yet. There -- a lot of
20 the information that consumers are getting about direct-
21 to-consumer genetic testing is through advertising, and so
22 one concern that physicians have is that they are getting

1 truthful information in that advertising since that
2 advertising is partially what drives consumers to decide
3 that they want to take this test.

4 So the AMA, along with some other organizations,
5 would really like to come up with some good criteria for
6 direct-to-consumer advertising to make sure that the
7 advertising is truthful and not misleading, it presents a
8 fair balance of the tests' capabilities and limitations so
9 that the consumer -- and all at the consumer level so that
10 the consumer really understands what it is that they are
11 about to undertake.

12 The policy also advocates for education of
13 physicians. And I think is going to be key to making sure
14 that consumers know what to do with the information once
15 they have this genetic test. The problem that we've seen,
16 however, is that there are some physicians who are not
17 ready to deal with these test results when their patients
18 bring them in.

19 So we realize that we are advocating for
20 physicians to provide education for patients that come in
21 with these types of tests, but we need to make sure that
22 the physicians are knowledgeable, first of all, in basic

1 genetics, which we see is not the case sometimes. And we
2 also need to make sure that they are knowledgeable in how
3 to interpret a genetic test.

4 So the -- I guess the point of this panel and
5 the questions that we were asked to answer is, what kind
6 of resources do consumers need? And so I'm just going to
7 take a step, sort of a different attack on that and ask,
8 what is it that the physician can do for the consumer? If
9 we are indeed advocating that physicians should be a
10 source of information for consumers who want to undertake
11 this testing, what is it that the physician can provide to
12 the patient. And before that even can be answered the
13 physician has to understand some intricacies about these
14 tests. The physician first of all has to understand
15 whether a test is even indicated for this patient, and
16 that hinges upon a basic knowledge of genetics in the
17 first place.

18 The physician needs to be able to tell the
19 patient whether a test is worth getting. Is there any
20 scientific evidence that this test is really worth
21 getting, and is this test going to tell the patient
22 anything? And again, that goes back to the physician

1 being able to understand what the predictive value and the
2 utility of a test actually is.

3 And then the physician also has to be able to
4 use results that a patient might bring into them to come
5 up with a therapeutic plan. And again, that gets back to,
6 does the physician know enough about genetics to use that
7 information in the context of other health information of
8 that patient to come up with a therapeutic plan for that
9 patient.

10 So in the future I think we really are just
11 going to see more and more of this direct-to-consumer
12 genetic testing and I think that just underpins the need
13 for physicians to be educated a bit more on this topic.

14 And we also need to make sure that this is not a
15 question of physicians just wanting a piece of the pie and
16 not wanting to let go of that power of being able to have
17 the control over ordering a genetic test. This is
18 something that really does have the potential for benefit
19 for the patient if done in a proper way. And so if we can
20 sort of convince physicians to accept this information and
21 understand whether they should accept the information when
22 a test is actually valuable and when it might not be, we

1 might be able to actually give physicians another tool in
2 their, sort of, arsenal in diagnosis and therapy. So,
3 thank you.

4 MS. JOHNSON: Thank you. As the wife of a
5 physician, I remember those discussions or the
6 conversations that didn't have a clear to-do list, and
7 that is hard.

8 Mari Baker is currently the Executive in
9 Residence at Kleiner Perkins Caufield & Byers. But before
10 that, she was President of the BabyCenter of Johnson &
11 Johnson Company which was the leading website for new and
12 expectant parents winning numerous online health awards,
13 but expanding also significantly offline and
14 internationally. Equally interesting was her work as
15 Senior Vice President at Intuit where she was the product
16 manager for Quicken and led it's growth into the number
17 one personal finance product in the world along with
18 international expansion and the launch of Quicken.com. So
19 she comes to Navigenics with a lot of experience. Mari.

20 MS. BAKER: Thanks, Nancy. And actually,
21 currently I'm President and CEO of Navigenics and have
22 been since early on in the company's days and had the

1 opportunity to be involved with the company before it
2 actually got funding from it's investors. And the vision
3 that we've always had with the company that our founders,
4 Dr. David Agus and Dr. Dietrich Stephan brought to the
5 table was exactly the line of thinking, Nancy, that I
6 think you used in a lot of the introduction is that there
7 was tremendous opportunity to use genetic information to
8 improve health outcomes, to identify people at risk for
9 disease, and begin to have them work with their physicians
10 to identify potential courses of action, if relevant, that
11 can be taken pre-symptomatically to delay or prevent the
12 onset of disease. And, you know, as we look at -- and in
13 answer to the question about the usefulness of this data
14 today, you know, first of all, when we look at some of the
15 data that we have back from our early participants early
16 on as we developed the product, nearly half of the people
17 who got -- 46 percent of the people who had gotten their
18 results participated in our study indicated they had made
19 a change in their daily life as the result of having this
20 information. Their genetic information does in fact
21 create a tremendous teachable moment for people that can
22 lead to changes in diet, exercise, visits to the doctor,

1 working with their doctor to look to see whether they
2 needed any follow-on tests or changes in medication or
3 anything of that nature. It causes, you know, people to
4 think when there is an issue that's identified and causes
5 people to think.

6 One of those stories I'd like to get a chance to
7 also share is an early customer that we had who identified
8 a high risk for colon cancer, previously had no known of
9 the classic risk factors which, you know, you might look
10 at a BMI over 30 which provides a predictive odds ratio of
11 1.7 towards 1.75 towards colon cancer, being a current
12 smoker provides an odds ratio of 1.32 towards colon
13 cancer, and having a family history of colon cancer
14 delivers an odds ratio of 2.24. She had none of these
15 situations and yet her genetic data came back showing a
16 high risk for colon cancer. And when you look at the SNPs
17 that we're using for that condition, they have odds ratios
18 of 1.47, 1.37, and 1.7 being just as good as any of the
19 classic risk factors, and when taken together, provide a
20 maximum potential odds ratio of 2.54, just as good if not
21 better than a family history. So she took this
22 information, talked to her doctor, her doctor in

1 consultation -- which, again, is what we find our
2 customers will do is if they find something they want to
3 do something about, they'll talk to their doctor about it,
4 which is the right next step. And they decided to go
5 ahead and do a colonoscopy where they found a 1.5
6 centimeter polyp which she got removed. And, you know, it
7 is now, you know, going to be on a path of being able to
8 watch for this in the future and, you know, the important
9 thing is she was 39-years-old. Now, you know, the normal,
10 standard practice in medicine would have been she would
11 have not even been offered a colonoscopy until she was 50,
12 and, you know, who knows what would have transpired in the
13 following decade with the polyp that had been identified.

14 And it's a story like that that we believe to
15 Katy's point, does help to provide an additional tool in
16 the toolbox for a physician to look at the patient in
17 front of them, to look at the information that they know
18 about that patient, and the additional insights that
19 genetic information can provide to determine an
20 appropriate course of action, if any. And I think we've
21 heard about a number of those here today.

22 And so I think, you know, it's evident that the

1 data -- given the nascent nature of this industry, or at
2 least, you know, many of us here today that there are real
3 examples of people deriving real benefit from these
4 services. And absolutely, there are real issues that
5 these companies need to grapple with; we are working
6 together to grapple with those and to come up with
7 solutions. But there is real benefit being delivered and
8 real usefulness today.

9 MS. JOHNSON: Well, we're going to open it now
10 to questions from the floor. We can start circulating the
11 microphone. Yes, back there in the back.

12 DR. LESTER: Yes. My name is Jeff Lester, I'm
13 board certified internist; also I'm doing a medical
14 genetics fellowship at University of Miami. One of the
15 things I wanted to mention, we had been talking about
16 doctors sitting down with their patients and talking to
17 their patients. With doctors, you know, primary care
18 doctors, pediatricians, and internists seeing 10 to 15
19 patients a day and what they do and how they manage this
20 information, I think it's important to remember and
21 understand that doctors -- the internists and the
22 pediatricians care about a couple basic things, you know,

1 one thing is what is a diagnosis for this patient, what
2 test do I need to order to get the diagnosis, what drug do
3 I prescribe to the patient to make them better, and also,
4 am I going to get paid for this for this service that I'm
5 providing for them. Those are the key issue is that they
6 want to know. And then, you know, another question that
7 they have is, you know, if the person comes with a
8 printout from a company and today their risk factor is a
9 25 percent lifetime risk of getting breast cancer, and
10 then they get a bilateral radical mastectomy and then a
11 couple, five years later they find out that their risk
12 factor was only 15 percent -- am I going to get sued, you
13 know? And what happens? Am I going to lose my practice?
14 Am I going to lose my medical license because I'm sitting
15 down and talking with them? You know, is the information
16 I'm giving them good information and is it something that
17 can be put out, you know, in the next 10, 15, 20 years for
18 them.

19 So, you know, when somebody sits down and -- you
20 know, we'll have to make sure that the information that
21 the doctors have is quick, easy, succinct information. I
22 know that's almost impossible to do at this point, you

1 know, there are thousands of diseases out there, but
2 having a one or two page synopsis for that patient, that
3 disease, is what the primary care doctor wants in order to
4 make sure that when they talk to somebody that they're not
5 spending an hour trying to figure this out, that they have
6 something very concrete to talk about to make sure they're
7 effective and they can give good information. But, you
8 know, doing it in an efficient and effective way.

9 MS. JOHNSON: You know, you're absolutely right.
10 The current system is set up that way and that's the way
11 it -- doctors have to work in order to get paid, in order
12 to protect themselves from malpractice suits. So how do
13 we get from here to there? You can't move from here to
14 there with today's level of knowledge. We just don't know
15 enough. So what happens is people will, through their own
16 free will, decide to do this. And from what the
17 scientists learn in the lab and what all the schools of
18 medicine -- I mean, there are groups all over the country
19 that are doing really remarkable work and it's a credit to
20 HHS incidentally that they even thought of having this
21 meeting today. And in the fall in Utah, they're going to
22 get those communities together that are working on

1 translating genetic information, genetic research into
2 medical practice, and from all those things, we as a
3 society will begin to know different things. And then we
4 can translate that into payment policy and into,
5 hopefully, liability law. But it is a process. And part
6 of the reason electronic health information technology is
7 so important is it begins to build those teams of
8 communication. And the communication between multiple
9 members of a team around this kind of issue is critical to
10 a good outcome. So, you know, what you're really asking
11 is, how does a society go about making major change? And
12 the policy makers don't lead change, knowledge and
13 experience lead change. So it is very important for us to
14 do these conversations and for them to have good
15 communication with the government, and for FDA and other
16 regulators not to jump in there and regulate without a
17 better understanding of what you're doing. But
18 fundamental -- and this is something that really is
19 different about today's world than it was 5 years ago or
20 10 years ago or 20 years ago -- the pace of change is so
21 rapid that we have to accelerate the communication between
22 the private-sector and what's happening in this kind of an

1 area, and the regulators and policymakers because
2 otherwise they will make mistakes. They will regulate
3 this the way they have regulated the world of the past,
4 see? And so if you don't want that model, then we do have
5 to move. But we can't move without constantly keeping in
6 mind exactly all the points that you have made about
7 today's world. But I have found -- and when you look at
8 what's happened in chronic disease management, you don't
9 see it very much because nobody reports on it. But
10 anyway, the dynamic of the conversation that develops,
11 both in those communities where electronic records are
12 widespread and so you have a team sport here of caring for
13 people, and also, where chronic disease has been the
14 focus, it is a different dialogue. It is a different
15 team. You see this in the big systems of Kaiser and Mayo
16 and some of those. So that does have to spread but this
17 conversation is part of that, and we can never forget the
18 sort of now anachronistic barriers that have been put in
19 place by the old system of illness treatment and by the
20 old liability system that presented a different kind of
21 thinking.
22

1 But there was another one down here and then
2 we'll go over there. Yeah.

3 UNKNOWN: Yeah, I have two questions. One kind
4 of a more wacky one, one more serious. So you decide
5 which one is which. So why have -- the first question is
6 this: why have academic health centers stayed so behind
7 the private-sector in terms of incorporating genetics into
8 health care, particularly in the areas of risk, early
9 intervention, as we said with the prostate situation here,
10 and prevention?

11 And the other question, since everybody's using
12 this nice case studies, I'm going to give another case
13 story for 2018. So Mary (phonetic) goes to a dinner at
14 her boyfriend Joe's (phonetic) house. She gets there, the
15 young brother is autistic, an uncle that's there at the
16 dinner had colon surgery at a relatively early age with no
17 symptoms, and there is a second cousin once removed who is
18 bipolar. So they are driving -- he is driving her back
19 home, and then he asks, you know, about the family; she
20 says, "Oh, they are wonderful people. I like your father
21 and mother," et cetera, "but I'm 37-years-old, I don't
22

1 have a lot of time to waste here, and if we're going to go
2 on dating, I want to see your Navigenics profile."

3 [LAUGHTER]

4 So since the sea of Navigenics is here, what
5 should Joe say to Mary?

6 [LAUGHTER]

7 MS. JOHNSON: Mari?

8 MS. BAKER: And that one wasn't the wacky
9 question?

10 [LAUGHTER]

11 Well, I think that part of what you touch on is
12 this notion that at some point, you know, I think the
13 point has been made here today that at some point out in
14 the future, you know, this stuff is moving along. We will
15 have these sort of insights into, you know, what's in our
16 genes and, you know, hopefully, you know, if a move was
17 made on colon cancer, it would be because, you know, a
18 physician believed that that was the right thing to do for
19 a -- to do any sort of -- any surgery on anything,
20 obviously involves a physician that requires a thought
21 process that says this is an appropriate step to take.

22

1 The, you know, there's a wide range of, you
2 know, issues that go on, including -- I think back to the
3 prior comment about, you know, the, you know, not only do
4 we have to get people on electronic health records, which
5 still are not uniform and universal in this country, but
6 we also have to develop some of the decision support
7 systems that start to take the information in those health
8 records, combine it with family history, and combine it
9 with genetic information so the decision support systems
10 are in place to be able to give those insights and red
11 flags, or, you know, questions for physicians to know and
12 to think about in interacting with their patients. And so
13 these are all things that have to be put into place. I
14 think the question of what Joe answers back to Mary is
15 much more fundamental and has to do with the reasons why
16 even though we meet our in-laws, we still get married, and
17 it probably falls in the similar bucket.

18 MS. JOHNSON: Yes.

19 MS. AVEY: I'm now moved to tell my own personal
20 story, which is very briefly, that according to 23andMe, I
21 have a very low risk of colon cancer. And I put this in
22 the 23andMe blog for what it's worth, yet I happened to

1 know that I probably have a rather high risk; my father
2 continually has polyps and I've been tested a few times,
3 so -- three or four years ago I went and discovered I,
4 too, have a polyp. It was a flat one and it got removed.
5 So I know for practical purposes, I probably have a 98
6 percent risk of dying of colon cancer if I don't continue
7 to get checked and if I don't die of something else first.
8 And the point of this story and the point of me putting it
9 on the 23andMe blog is what really needs to happen is
10 people need to understand statistics and probability and
11 risk. And that's really, really tough. The way they're
12 going to understand it is if you have the early adopters,
13 the people who are really interested, the guys with \$1000
14 and more, doing this now and understanding what it means,
15 which in many cases is very, very little. As someone
16 said, the difference between a 52 and 42 percent risk is -
17 - it's meaningful but not for the individual because your
18 risk is either 100 percent or 0, but you only know that
19 after the fact. And for people to understand what this
20 does and what it doesn't do, for them to understand that
21 it's going to help them probably pick better drugs and
22 better treatments, but that a risk is only a risk, you --

1 nobody can tell them if they're actually going to get it
2 or not unless it's something that's completely
3 predetermined. That's really what we need to teach
4 society, and I think the way we do this best is by having
5 these discussions not just among people who already know
6 all this, but in the pages of the New York Times, in
7 public hearings with the state of California, in not just
8 the New York Times but the, you know, the (inaudible)
9 Gazette and in People magazine let's have some intelligent
10 discussion of the celebrities risks, and then people will
11 be able to apply their own lives just the way they
12 understand football scores. It sounds intuitive when you
13 talk about football, it needs to become that way -- that
14 genes.

15 MS. JOHNSON: Yeah. In other words, in a new
16 arena, remember what may look like danger is opportunity,
17 so New York and California are opportunity for this
18 industry. Ronni.

19 MS. SANDROFF: Yeah. I just wanted to say that
20 I think what would really be exciting for consumers would
21 be to get a genetic test and find out that you didn't ever
22 have to have a colonoscopy. And that there was something

1 you didn't have to do, and you didn't have to worry about.
2 And I think people kind of -- that's kind of the implied
3 promise. It's not, you know, if you're just going to find
4 out -- everybody's shaking their heads who knows more than
5 I do --

6 MS. JOHNSON: That's wrong.

7 MS. SANDROFF: -- so they're probably -- so
8 that's never happening, right? You're only going to find
9 out you have more things to do.

10 MS. AVEY: No, I think that that issue is that
11 these tests all try to be clear if there's environmental
12 impact and there's genetic impact. And that -- I think
13 that's, you know, one of the reasons we've all tried to,
14 you know, present information in a way that helps people
15 to know even if there is a lower genetic based risk, you
16 still need to pay attention to the other risk factors and
17 to the other things you need to do because there's two
18 pieces to the equation.

19 UNKNOWN: Speaking off microphone.

20 MS. AVEY: Yes. Is this on? Yeah. Just two
21 comments really quickly. One, there is some times
22 relatively good news. For example, with BRCA, somebody

1 with a known family mutation and the offspring or sibling
2 does not carry that same mutation, that is really good
3 news. But those are rare and few between in genetics, I
4 understand.

5 UNKNOWN: Speaking off microphone.

6 MS. AVEY: Well, they could still, but they
7 won't get the same one that their mother died of. Okay.
8 That's a big deal.

9 Unknown: Speaking off microphone.

10 MS. AVEY: Just the average risk. So -- but the
11 other thing I wanted to say, I was at the U.K. Human
12 Genetics Commission last week on the same topic that we're
13 all talking about on the voluntary code of practice for
14 direct-to-consumer and I noticed that day in the British
15 press that the first couple in England to have PGD for
16 BRCA had happened. And, I mean, I don't know that that
17 made it in the American press, but that's a big deal. And
18 that is -- let's go fast forward on your case study --
19 where's it going to go? Well, actually, it will go to
20 PGD.

21 MS. JOHNSON: Over here.

22

1 MR. RACKOVER: Mike Rackover from the American
2 Academy of Physician Assistants. I just think it's
3 important to -- that when we talk about patient care that
4 we do include nurse practitioners and physician
5 assistants. Our organization, we've partnered with the
6 genetics community and the National Human Genome Research
7 Institute to institute education that physician assistants
8 will be educated in the genetics that we're talking about
9 today.

10 I also have other concerns here, but we're
11 forgetting about the other 40 to 50 million people that
12 don't have health care insurance. We have to balance out
13 the information that you're talking about today in every
14 day reality of patient care. And we're moving very
15 quickly into forgetting about the challenge of everyday
16 medicine. The Navigenics -- the type of patients that are
17 now getting direct-to-consumer testing are typically an
18 educated population and it's a biased population in the
19 type of information that they're going to get. So, I
20 mean, what do we do for the patients that obviously that
21 we see that cannot afford these type of testing; what do
22 we do with these type of patients? We can't ev -- we

1 write prescriptions and they have to -- they can't even
2 afford the prescriptions that we write. So, I mean, we're
3 -- it's a bigger challenge here. And in fact, I realize
4 the importance of what we're talking about today, but
5 we're still forgetting about the everyday population that
6 comes to see us.

7 MS. JOHNSON: Yeah. Yeah. Don't forget,
8 though, that currently risk does drive payment policy, so
9 we pay for mammograms with women with a history of breast
10 cancer in their family and some other things. I mean,
11 it's very embryonic, you know, and it was a different kind
12 of analysis at risk, but the more you begin to know about
13 genetics and the more the testing turns up more increasing
14 the uniform results, I mean, that will reflect itself in
15 payment policy.

16 MR. RACKOVER: But my specialty was oncology.
17 When we first started evaluating patients that have
18 cancer, it was obviously imaging, x-rays, CT scans, MRI.
19 Now every patient gets a PET scan. So we're now spending
20 \$5000 to \$7000, \$8000, for every time a cancer patient is
21 diagnosed. It's -- there's something wrong with the
22 system. Nobody questioned the fact of the integration of

1 radiological imaging in the treatment of cancer -- or
2 evaluation process. Here, we can't get passed genetic
3 testing. The, you know, we've been spending years sitting
4 -- hearing all these committees being able to talk about
5 genetic testing and the treatment of cancer certainly has
6 moved to the cost of what it costs for cancer, it's huge.
7 But we can't do basic genetic testing.

8 MS. JOHNSON: but in those numbers of years, we
9 have learned a lot about where the costs are located in
10 the system, and if we could begin to weed those out and
11 move them and use them -- use modern science to move us
12 forward to -- so -- it's not hopeless, but I'm -- I
13 certainly recognize that today's system doesn't
14 differentiate between appropriate care and inappropriate
15 care or needed care and unneeded care. (Inaudible) --

16 MR. RACKOVER: Another thing, we have to pass a
17 law. We have to pass a law to basically get some type of
18 preventive testing done.

19 MS. JOHNSON: Well, it shouldn't be that way.
20 That is the way it's been, but see, as you -- and if you
21 develop a health system, it won't have to be that way
22 anyway. I won't -- we have an illness treatment system so

1 then we have to make special provision for prevention.
2 But as you change the laws and the systems, you can get
3 away from that.

4 MS. JOHANSEN: Can I just make a --

5 MS. JOHNSON: Yeah.

6 MS. JOHANSEN: So I -- can I just make a quick
7 comment about a few of the questions that I have heard?

8 MS. JOHNSON: Sure.

9 MS. JOHANSEN: I think there have been some
10 really related questions, and Rocky's question just sort
11 of brought it up again. And that's that, you know,
12 there's a question about why there is really slow uptake
13 of genetics in some medical centers and there was also a
14 comment by a physician saying that they're very time
15 constrained and don't have time to do this. And I think
16 some of these questions are actually --

17 MS. JOHNSON: (Inaudible).

18 MS. JOHANSEN: -- answering each other. I think
19 there's been very slow uptake, number one, because
20 physicians don't have time to add -- especially primary
21 care physicians, are so time constrained and don't have
22 time to add another, sort of, fancy, new test to their

1 limited five minutes with patients, and are not going to
2 do that until they see evidence that that test actually
3 impacts clinical utility. But that evidence isn't quite
4 there yet; there might be some hints that that evidence is
5 there, but until that is really shown, I think that might
6 be a shove in the right direction for physicians to start
7 using that information -- the genetic information. And so
8 I think Rocky's point also about, you know, who's going to
9 pay for patients that don't have health care; that's
10 another question that physicians have to confront when
11 they're -- when they think that a genetic test might be
12 appropriate for their patient. How are they going to say
13 to their patient, "Well, I think you should get this test,
14 but it's going to cost you \$500 and I don't know where
15 you're going to get that money." That's another reason
16 that I think there's been some slow uptake.

17 MS. JOHNSON: What about medical education? You
18 certainly have a hand in that from the AMA. Do our
19 medical schools even -- are they even training our doctors
20 in how to use this information?

21 MS. JOHANSEN: That's a question. Right. The -
22 -

1 MS. JOHNSON : The answer is pretty much no,
2 isn't it?

3 MS. JOHANSEN: Right. Well -- there are
4 movements. Right. I mean, there are movements in some
5 parts of the health care world, like the physician
6 assistants and the nurse practitioners have been very good
7 about integrating some genetics education into their
8 curriculum. But medical school education is a bit harder
9 to crack. The exams, the qualifying exams, and on other
10 exams that are along the way are set very far in advance
11 and it's hard to change the questions on those and because
12 it's hard to change the questions on those, it's hard to
13 change the curriculum that is taught in order for the
14 students to be able to answer those questions. And that
15 is absolutely something that needs to be addressed.

16 MS. JOHNSON: We can change that if we choose.

17 UNKNOWN: I'd like to make a series of
18 statements and see if the panel would like to comment on.
19 It's sort of like a sweeping generalization of the field
20 of personal genomics, and see if you all agree with my
21 assessment or not. And I say that with passion because I
22 don't want the field of personal genomics to suffer the

1 same fate as total body scans had a few years ago when,
2 you know, there was a craze, people went in, they had all
3 kinds of procedures -- some of them necessary, some of
4 them are not. We've heard some anecdotes about the
5 usefulness of this information both good and possibly not
6 that good in terms of the potential harms and benefits.
7 And so the way I look at the field right now, it's in a
8 state of flux. We're in this teachable moment where what
9 we need to do in addition to discovery research of finding
10 new genes and genetic risk factor, is to do the
11 translational research to allow the kind of -- that kind
12 of information from both clinical validity and clinical
13 utility perspective to be shown, you know, the balance of
14 harms and benefits, do the clinical trials that need to be
15 done. Unfortunately, this will take time and it will take
16 money to do it. But we're already spending billions of
17 dollars to do the \$1000 genomes and, you know, if the
18 public and the private-sector can come together to do
19 translational genomics and in the sense to allow us to do
20 the kind of research that shows really the added value of
21 genetic information in a health care delivery system that
22 is already crumbling under it's own weight, I mean, we

1 might be suffering the same fate of other new
2 technologies. So, I mean, that's sort of a plea that I
3 have. I don't know if people agree with that assessment.
4 But in the meantime, clinical validity is low because it's
5 probabilistic information, no matter how many new genes
6 you add, it's still going to be, you know, 51 versus 47
7 percent. And it could be misleading, like we've seen,
8 because some information is not in the genome so the -- we
9 need to look at the benefits, sort of, the balance of
10 harms and benefits. And we all think that there could be
11 benefit that will come out of this, but there could be
12 some real harms, especially if implemented on a population
13 basis.

14 MS. JOHNSON: Comment?

15 MS. JOHANSEN: I don't know where you draw the
16 line in terms of determining when this technology is
17 available for primetime, but I do think that if you offer
18 it prematurely when there's a lot of flux and a lot of
19 variability in terms of what the results might mean, then
20 you stand to lose being able to get consumers to buy into
21 the technology. So if you use the information prematurely
22 and you get a lot of results that change pretty

1 dramatically over the next five or ten years, then people
2 are going to start to think that this is not good
3 technology and they might not use it in the future when it
4 is good technology. So I think that's my cautionary note,
5 I think, and it goes along with what you're saying.

6 I mean, right now is probably the time to build
7 the infrastructure, find out what the questions are -- I'm
8 not saying, "Don't do it," but people need to know what
9 they're getting into and what the limitations are, and it
10 needs to be presented in multiple different ways because
11 even if you think that you're presenting information in a
12 way that suggests that it's probabilistic, numeracy in
13 this country is horribly low. So you -- we just have to
14 be very careful in how we proceed, and I do think that we
15 need to keep in mind that if we lose consumers -- and when
16 the promise is met in the future, they might not want to
17 use this information. And that would be a tragedy because
18 I think in the future this information will help cut
19 health care costs, will help us target health care, and
20 there is tremendous promise.

21 MS. BAKER: I want to just add a note on that
22 too, which is, it's a little bit of this, you know,

1 discussion of, well, how can we make this more accessible
2 to people? But yet we're not sure that broad populations
3 know how to deal with statistical data and make these
4 tradeoffs. So one might argue that for right now, having
5 these services be at a relatively high price allows the
6 opportunity for, you know, learning and knowledge and
7 education among an educated audience who is paying for
8 this out-of-pocket. And for, you know, for us to be able
9 to learn these issues as we move along, and I think, you
10 know, one of the things that was pointed out earlier is,
11 you know, an example of somebody having taken multiple
12 tests from the three different services and getting
13 different answers. Well, it's not that people are
14 calculating things differently, which is certainly the
15 case, but underneath there, you know, right now people are
16 using different SNPs to determine, you know, results for a
17 condition. Those things clearly need to be standardized.
18 And, you know, the ability to be able to look at this
19 information and see these differences enables us all to
20 work together to come up with these industry best
21 practices and to be able to move forward on this. You
22 know, I think that, you know, the question on the

1 translational genomics, we would love to see that funded.
2 We would love to see that worked on. We would love to see
3 the clinical studies done around all these points. But we
4 shouldn't forget that medicine changes, as well. You
5 know, it wasn't that long ago when in some case -- right,
6 doctors were advertising to -- in smoking ads, right, for
7 cigarettes. And look how long it took us to decide that
8 smoking was actually bad for you. You know, it took a
9 long time for mammograms to get reimbursed. You know, it
10 takes -- some of these things take a long time, and
11 medical knowledge changes, science knowledge changes.
12 It's something that's a fact today and will continue to be
13 the case with this. This makes it more transparent, this,
14 you know, these sort of services help people keep up to
15 date, you know, with this information. And, you know,
16 that's -- you know, I think there's a value in that for
17 people to know that, you know, there will always have the
18 latest rather than being subject to things that might be
19 20 or 30, you know, tested, or 20 or 30 years old and
20 haven't been updated in time.

21 MS. JOHNSON: The best protection against that
22 danger, which is real, I would say, is for the private-

1 sector and the public-sector to work together more
2 aggressively than we have in the past when new things came
3 forward, and in a more kind of intelligent fashion so the
4 industry, if they have any sense, won't indulge in an
5 explosion of direct-to-consumer advertising, particularly
6 until the -- I mean, we use the New York and California
7 experiences as an opportunity, an opportunity to talk
8 about what they're doing, an opportunity to work together
9 to get more standardized tests and talk about the need for
10 that. You know, transparency and openness and directness
11 will save this industry, but if there isn't that kind of
12 openness, it will erode trust and it will all -- I mean,
13 we're talking about -- among enlightened people, we were
14 talking about the top level of consumer users. Not only
15 can they afford the \$1000, but they're interested and they
16 care about their health for the most part. But if you
17 talk -- remember all the people out there who would be
18 panicked if they knew they had any propensity, any risk
19 whatsoever toward any serious disease. And we aren't
20 prepared yet to differentiate between levels of risk. So
21 there's a lot of public educating to do, and what could be
22 a better forum than the two biggest states in the nation

1 at each end of the country as a way to talk about this and
2 begin to think publicly -- help the public think through
3 what do you gain and what are the risks you take? And
4 unless the private-sector better understands this issue of
5 public education, then in today's world and with it's,
6 sort of, volatility and the simplification of messages
7 that's typical of every kind of media, we will lose the
8 opportunity in this area and it won't come back for five
9 or ten years.

10 So we have time for one more question; I'm being
11 signaled. Is there -- there it is.

12 UNKNOWN: Speaking off microphone.

13 MS. JOHNSON: Well, two more if you're short.
14 We've got five minutes.

15 UNKNOWN: I'll be quick. Well, it's about
16 medical education, so, some data. Through the end of
17 2005, 15 percent of medical schools, as reported by their
18 deans, said they teach no genetics. And of those who
19 teach genetics, 17 percent teach less than 60 hours
20 throughout the four years of medical school. So you could
21 argue good, bad, or indifferent, but the key piece is what
22 Mari said, which is it's a changing field. And one of the

1 key issues that was meant as I understand to deal with the
2 changing field is continuing medical education. And 48
3 states, I believe, have CME requirements on a regular
4 basis. Over the last ten years one of the key things that
5 I've -- AMA and others were involved in, is requiring most
6 states have 5 to 10 percent of those CME hours have to be
7 on risk stratification, to look for abuse or other
8 challenges in the home. So what about the idea of using -
9 - and I clearly have a point of view here, but the idea of
10 using this CME process as one that acknowledges that the
11 world is changing so we can't teach everything, you know,
12 in four years or two years of medical school and expect
13 those physicians to be up to date 10, 20, 30, 40 years
14 later, but using a system that already exists with
15 potentially some requirements around a percent of that is
16 on genetics or emerging technologies, so -- because as I
17 look at -- I guess this isn't short -- but as I hear
18 something that came up on every panel today, it's doctors
19 today, health care providers starting with physicians,
20 need to be able to lead the way because whatever you get
21 in a personal genomics, you can't do your colonoscopy
22 yourself. You can't write the prescriptions for the most

1 part; you need to go through your physician. So one
2 organizing issue that I saw is that we need to educate our
3 providers in a better way for any part of this industry to
4 become fully transparent.

5 MS. JOHNSON: (Inaudible) so many other things,
6 we know more about this than we think; Marshfield is a big
7 system, they do translational research, they -- every year
8 they set aside a day-and-a-half for the education of their
9 physicians and what they're doing, and I'd be surprised if
10 some of the other big systems don't too. So we could
11 inject that into the medical schools more rapidly, if we
12 cared to.

13 UNKNOWN: I just want --

14 UNKNOWN: (Inaudible).

15 MS. BAKER: -- one comment on that because I
16 think that's exactly the right point. And, you know, we
17 have attempted to make a tiny step, you know, in that
18 direction. But that one tiny effort -- I think that the
19 results are emblematic of the interest and gaps that exist
20 in this space. So we funded Medscape to create a CME
21 course in personal genomics and in clinical practice.
22 And, you know, they went out, found somebody to develop

1 the course, and I think it's 25 CME credits, so it's not
2 huge, but it's, you know, reasonable, it's something. And
3 in the first -- so I have data through the end of May and
4 it went out I think in late -- like the last couple days
5 of February, so -- March, April, May -- three months of
6 data. Over 5,000 Medscape members, health care
7 professionals, read the course, and 2,500 completed it for
8 CME credits. And I, you know, it is acknowledgeable a
9 very small, simple first step, but I think it shows the
10 amount of interest among health care professionals in
11 absorbing this information, learning about this
12 information, and I think a lot of the benefits of an
13 online venue, too, and make it easier for people, which
14 Medscape is, is an online venue for taking -- getting CME
15 credits, to be able to have access and get that learning
16 in the time they have available.

17 MS. JOHNSON: Excellent. Last question.

18 MR. MILLER: Just a quick question. My name is
19 Paul Miller, I'm a law professor and a professor of
20 disability studies at the University of Washington in
21 Seattle, and also a member of the Secretary's Advisory
22 Committee. I wanted to jump in to sort of a side

1 conversation we had a couple of conversations ago about
2 PGD and sort of where all this information is going. I
3 think one of the underlying -- and sort of put on the
4 table -- an issue; the underlying, sort of, assumption
5 with all this information is that information is good and
6 that people that we're talking about conditions that
7 either today or in the future, somebody can do something
8 about, that these are sort of health outcomes. There's
9 another perspective from the disability point of view that
10 people with disabilities -- that parents are going to --
11 or others -- are going to begin to look for genetic
12 anomalies, genetic disorders, and sort of, take those out
13 of the system to basically use PGD, to use these genetic
14 markers to eliminate people with or to reduce pregnancies
15 of people with disabilities. And I think that that's
16 something that both the genetics community, the physician
17 community, and others interested, really need to be sort
18 of aware of and to sort of think about the impact on
19 people with disabilities, both as members of society, the
20 move of and support of social services and government
21 services to disability programs and the relationship
22 between PGD and genetic anomaly identification, and

1 pregnancy and birth. I think it's an important issue and
2 I just wanted to put it on the table.

3 MS. JOHNSON: Thank you. And thanks to our
4 panel for bringing their rich experience of consumers to
5 the table as we conclude this panel -- this day's -- this
6 half-days discussion. Thank you very much for your past
7 work and your continued contribution.

8 [APPLAUSE]

9 DR. COWAN: Could we have another quick round of
10 applause for all the panels and the speakers? I think
11 we've had a pretty terrific day.

12 [APPLAUSE]

13 We're going to wrap this up very quickly. I'm
14 going to make a few comments and then turn it over to Dr.
15 Greg Downing; he started the conference, and he'll end the
16 conference and we'll be on our way.

17 This was to look at the future in this field and
18 I think very clearly as we talked through this day, much
19 of our future has arrived, it's just lumpy. It just
20 hasn't arrived everywhere at the same time. There are
21 elements that will affect our profession for 20 or 50
22 years; we see them, we know what they are. And then the

1 day, I think, was really centered around, first, a
2 convergence of thought -- that that's a fairly desirable
3 future from the point of view of consumers. From the
4 potential of genomics, that there was divergence among the
5 group over issues about regulation, oversight. What
6 drives this? Is it the research and science that should
7 drive it? Is it the market that should drive it? Is it
8 both?

9 What is this enterprise? I heard recognition on
10 several things. One is that risk communications and
11 effective communications, not only within the profession
12 but with patients, will be key to whatever success comes
13 out of our efforts; that there are very divided views on
14 privacy, and they are very grave concerns over both
15 privacy and the reliability and integrity of information.

16 There were additional concerns about the
17 engagement of health care providers. How do we bring this
18 future across our health care establishment? And there
19 was a big question, what's good enough? When is something
20 good enough to be in the market and when is it not? I
21 heard that least through this conversation all day.

22

1 If I could sum this up, I would say that what we
2 have here is a clear and predictable evidence of growing
3 pains for a science moving very fast, turning
4 pharmascience into a young industry, and trying to figure
5 out how to handle the risk, the science, the motivations,
6 the markets, the trust that have to be successful and have
7 to come together in a system for all of this to be the
8 benefit to have the potential that we all described at the
9 beginning and thought we saw here. And I'm sure and still
10 think we do.

11 Two observations: one, I am proud of my
12 profession. I'm proud of my fellow health care providers
13 and the scientists and the entrepreneurs in here who have
14 all come together and had a very frank and open debate
15 with a great deal of passion that's sometimes sharp
16 differences of opinion, but all done in a manner of most
17 admirable mutual respect. I asked for no hitting and
18 there wasn't any. It just -- you followed orders very
19 good. I'm so proud of you.

20 I did not hear the word ethics mentioned once.
21 I heard regulation and I heard governance and I heard
22 market and I heard the science and I heard the facts and I

1 heard -- I never heard anybody talk about the ethics. And
2 I think sometimes -- and I just throw this out for
3 thought. Sometimes we have a tendency to take a
4 scientific advance, make it work, and then we put it in a
5 market or we take it to people, and then after awhile,
6 then we start figuring out the ethics. But we often don't
7 figure out the ethics first, we often figure out the
8 ethics after the governance has come along and been the
9 third thing that's kind of come in the wake of sometimes
10 not thinking these important things through. And we are
11 now reaching a point that the complexity and the power of
12 our science is so overwhelming, that it almost butts up
13 against the level that it begins to make a difference as
14 to what we are as human beings. So I think as this
15 community goes forward, the idea of developing an ethical
16 framework, as you have developed these many other
17 frameworks around these other issues, might be something
18 to think about.

19 Finally, all the thoughts here have been
20 captured. We set out to have a conversation, we did that;
21 I think my analogy to the Manhattan Project and the
22 importance of the dialogue was not off at all. In fact,

1 I'm more convinced of its appropriateness now than when we
2 started. I think this will be a very great value to
3 everyone concerned, and let me ask you to give one more
4 round of applause to Greg Downing and his team who put
5 this on. And I will turn the floor over to Greg for his
6 final remarks.

7 [APPLAUSE]

8 DR. DOWNING: Thank you, Michael. I think we
9 have a small team of vested futurists within the
10 department that worked over the last year to share ideas
11 about how to facilitate a discussion that we think
12 probably for everyone is at times uncomfortable, and
13 perhaps that's where the dialogue ends today is still with
14 an unease but more reflective of an appreciation for other
15 viewpoints that are exhibited here. And I'm sure if we
16 came back a year from now we're going to know a lot more
17 about this terrain.

18 I just wanted to finally thank Mike for helping
19 work with the group that came together today. Obviously a
20 lot of thought given to your remarks, and the appreciation
21 that we have for being able to have a candid discussion
22 about our own viewpoints is an important thing to start

1 with. I think from the Department's viewpoint there is a
2 lot more work to be done and we've certainly been leaning
3 on our Advisory Committees in a variety of different ways
4 these past several years to help develop some of the
5 boundaries about which the conversation and the actions
6 that take place go forward. We'll do that in the form of
7 a summary from this meeting and it'll be posted on the
8 website and certainly the materials from this will be
9 available to those who wish to utilize them for their work
10 going forward.

11 I want to thank all the speakers again, and from
12 Rick and everyone at the Department, we appreciate
13 everyone's engagement in this and hope that it builds on
14 some of the foundations here about openness and
15 transparency and the engagement that all of you had to ask
16 yourselves the critical questions about whether we're
17 doing the right things in the right ways for the people
18 that we're all here for. So again, thank you for your
19 time this afternoon. We've enjoyed the opportunity and
20 hope that this has been a value to all of your efforts
21 here as well. So thank you.

22 [APPLAUSE]